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AN ACT concerning the office of Secretary of State.

Be it enacted by the People of the State of Illinois,represented in the General Assembly:

4 Section 5. The Secretary of State Act is amended by
5 adding Section 14 as follows:

6 (15 ILCS 305/14 new)

7 <u>Sec. 14. Inspector General.</u>

8 (a) The Secretary of State must, with the advice and 9 consent of the Senate, appoint an Inspector General for the purpose of detection, deterrence, and prevention of fraud, 10 waste, mismanagement, misconduct, and other abuses in the 11 Office of the Secretary of State. The Inspector General 12 shall serve a 2-year term. If no successor is appointed and 13 qualified upon the expiration of the Inspector General's 14 term, the office of Inspector General is deemed vacant and 15 the powers and duties under this Section may be exercised 16 only by an appointed and qualified interim Inspector General 17 until a successor Inspector General is appointed and 18 19 qualified. If the General Assembly is not in session when a vacancy in the office of Inspector General occurs, the 20 Secretary of State may appoint an interim Inspector General 21 whose term shall expire 2 weeks after the next 22 regularly-scheduled session day of the Senate. 23

24 (b) The Inspector General shall have the following 25 qualifications:

26(1) has not been convicted of any felony under the27laws of this State, another State, or the United States;

28 (2) has earned a baccalaureate degree from an
 29 institution of higher education; and

30 (3) has either (A) 5 or more years of service with
 31 a federal, State, or local law enforcement agency, at

least 2 years of which have been in a progressive investigatory capacity; (B) 5 or more years of service as a federal, State, or local prosecutor; or (C) 5 or more years of service as a senior manager or executive of a federal, State, or local law enforcement agency.

6 (c) The Inspector General may review, coordinate, and 7 recommend methods and procedures to increase the integrity, 8 productivity, and efficiency of the Office of the Secretary 9 of State. The duties of the Inspector General shall 10 supplement and not supplant the duties of the Chief Auditor 11 for the Secretary of State's Office. The Inspector General 12 must report directly to the Secretary of State.

(d) The Secretary of State may designate the Inspector 13 General and inspectors who are members of the Inspector 14 15 General's office as peace officers; however, the Inspector 16 General and his or her inspectors may not be members of the Secretary of State's police force. These inspectors shall 17 have all the powers possessed by police officers in 18 19 municipalities and by sheriffs of counties, and the inspectors may exercise those powers anywhere in the State 20 but only in the investigation of allegations of misconduct or 21 22 criminal behavior by the Secretary of State or employees of 23 the Office of the Secretary of State.

No inspector may have peace officer status or exercise police powers unless he or she successfully completes the basic police training mandated and approved by the Illinois Law Enforcement Training Standards Board or the Board waives the training requirement by reason of the inspector's prior law enforcement experience or training, or both.

30 The Board may not waive the training requirement unless 31 the inspector has had a minimum of 5 years of experience as a 32 sworn officer of a local, State, or federal law enforcement 33 agency, 2 of which must have been in an investigatory 34 capacity.

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1 (e) In addition to the authority otherwise provided by 2 this Section, but only when investigating the Office of the 3 Secretary of State, its employees, or their actions, the 4 Inspector General is authorized: 5 (1) To have access to all records, reports, audits, reviews, documents, papers, recommendations, or other 6 material available that relates to programs and 7 8 operations with respect to which the Inspector General 9 has responsibilities under this Section. 10 (2) To make any investigations and reports relating 11 to the administration of the programs and operations of the Office of the Secretary of State that are, in the 12 13 judgement of the Inspector General, necessary or 14 desirable. 15 (3) To request any information or assistance that may be necessary for carrying out the duties and 16 responsibilities provided by this Section from any local, 17 State, or federal governmental agency or unit thereof. 18 (4) To require by subpoena the appearance of 19 witnesses and the production of all information, 20 21 documents, reports, answers, records, accounts, papers, 22 and other data and documentary evidence necessary in the performance of the functions assigned by this Section, 23 24 with the exception of subsection (c). A subpoena may be issued under this paragraph (4) only by the Inspector 25 General and not by members of the Inspector General's 26 27 staff. Any person subpoenaed by the Inspector General has the same rights as a person subpoenaed by a grand 28 29 jury. Any person who knowingly (A) fails to appear in response to a subpoena or (B) fails to produce any books 30 31 or papers pertinent to an investigation under this Section is guilty of a Class A misdemeanor. 32 (5) To have direct and prompt access to the 33 34 Secretary of State for any purpose pertaining to the

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1 performance of functions and responsibilities under this 2 Section. (f) The Inspector General may receive and investigate 3 4 complaints or information from an employee of the Secretary of State concerning the possible existence of an activity 5 constituting a violation of law, rules, or regulations; 6 mismanagement; abuse of authority; or substantial and 7 specific danger to the public health and safety. Any 8 9 employee who knowing files a false complaint or files a complaint with reckless disregard for the truth or the 10 11 falsity of the facts underlying the complaint may be subject to discipline as set forth in the rules of the Department of 12 Personnel of the Secretary of State. 13 The Inspector General may not, after receipt of a 14 complaint or information from an employee, disclose the 15 16 identity of the employee without the consent of the employee. Any employee who has the authority to take, direct others 17 to take, recommend, or approve any personnel action may not, 18 19 with respect to that authority, take or threaten to take any action against any employee as a reprisal for making a 20 21 complaint or disclosing information to the Inspector General, 22 unless the complaint was made or the information disclosed with the knowledge that it was false or with willful 23 disregard for its truth or falsity. 24 (g) The Inspector General must adopt rules, in 25 accordance with the provisions of the Illinois Administrative 26 Procedure Act, establishing minimum requirements for 27 initiating, conducting, and completing investigations. The 28 rules must establish criteria for determining, based upon the 29 nature of the allegation, the appropriate method of 30 investigation, which may include, but is not limited to, site 31 visits, telephone contacts, personal interviews, or requests 32

33 <u>for written responses. The rules must also clarify how the</u>

34 Office of the Inspector General shall interact with other

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1 local, State, and federal law enforcement investigations.

2 (h) On or before January 1 of each year, the Inspector 3 General shall report to the President of the Senate, the 4 Minority Leader of the Senate, the Speaker of the House of 5 Representatives, and the Minority Leader of the House of 6 Representatives on the types of investigations and the 7 activities undertaken by the Office of the Inspector General 8 during the previous calendar year.

9 Section 10. The Secretary of State Merit Employment Code
10 is amended by changing Section 16 as follows:

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(15 ILCS 310/16) (from Ch. 124, par. 116)

Sec. 16. Status of present employees. Employees holding positions in the Office of the Secretary of State herein shall continue under the following conditions:

15 (1) Employees who have been appointed as the result of 16 having passed examinations in accordance with the provisions 17 of the "Personnel Code", and who have satisfactorily passed 18 the probationary period or who have been promoted in 19 accordance with the rules thereunder, shall be continued 20 without further examination, but shall be otherwise subject 21 to provisions of this Act and the rules made pursuant to it.

(2) Employees who have been appointed as the result of 22 23 having passed examinations pursuant to the provisions of the "Personnel Code" but have not completed their probationary 24 period on the effective date of this Act shall be continued 25 without further examination but shall be otherwise subject to 26 provisions of this Act and the rules made pursuant to it. 27 28 Time served on probation prior to the effective date of this Act shall count as time served on the probationary period 29 30 provided by this Act.

31 (2.5) Persons who, immediately before the effective date
 32 of this amendatory Act of the 92nd General Assembly, were

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1 employees with investigatory functions of the Inspector 2 General within the Office of the Secretary of State and who are subject to the Secretary of State Merit Employment Code 3 4 shall be appointed to the position of inspector, as described in Section 14 of the Secretary of State Act, if they: (i) 5 meet the requirements described in Section 14 of the 6 7 Secretary of State Act; (ii) pass a qualifying examination as prescribed by the Director of Personnel within 6 months after 8 9 the effective date of this amendatory Act of the 92nd General Assembly; and (iii) satisfactorily complete their respective 10 probationary periods. The qualifying examination for 11 inspectors shall be similar to those required for entrance 12 examinations for comparable positions in the office of the 13 Secretary of State. Inspectors shall be appointed without 14 regard to eligible lists. Nothing in this subsection 15 precludes the office of the Secretary of State from 16 17 reclassifying or reallocating employees who would otherwise qualify as inspectors. 18

(3) All other such employees subject to the provisions 19 of this Act shall be continued in their respective positions 20 if they pass a qualifying examination prescribed by the 21 Director within 9 months from the effective date of this Act, 22 23 and satisfactorily complete their respective probationary periods. Such qualifying examinations shall be similar to 24 25 those required for entrance examinations for comparable the Office of the Secretary of State. 26 positions in Appointments of such employees shall be without regard to 27 lists. Nothing herein 28 eligible precludes the reclassification or reallocation as provided by this Act of 29 30 any position held by such incumbent.

31 (4) Nothing in this Act shall be construed to prejudice,
32 reduce, extinguish or affect the rights or privileges
33 determined through judicial process to have been conferred on
34 any present or past employee under the Illinois Personnel

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1 Code. In the event that any court of competent jurisdiction 2 shall determine that present or past employees of the 3 Secretary of State have any rights arising from the Illinois 4 Personnel Code, those rights shall be recognized under this 5 Act.

6 (5) Any person who, as a result of any court order, 7 court approved stipulation or settlement, has any employment 8 or re-employment rights prior to the effective date of this 9 Act shall continue to have such rights after the effective 10 date of this Act.

11 (Source: P.A. 80-13.)

Section 99. Effective date. This Act takes effect uponbecoming law.

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