HB3841 Engrossed

1 AN ACT concerning regulation.

## 2 Be it enacted by the People of the State of Illinois, 3 represented in the General Assembly:

Section 5. The Nursing Home Care Act is amended by changing
Section 2-201.5 as follows:

6 (210 ILCS 45/2-201.5)

7

Sec. 2-201.5. Screening prior to admission.

(a) All persons age 18 or older seeking admission to a 8 9 nursing facility must be screened to determine the need for nursing facility services prior to being admitted, regardless 10 of income, assets, or funding source. Screening for nursing 11 facility services shall be administered through procedures 12 established by administrative rule. Screening may be done by 13 14 agencies other than the Department established by as administrative rule. This Section applies on and after July 1, 15 16 1996. No later than October 1, 2010, the Department of 17 Healthcare and Family Services, in collaboration with the Department on Aging, the Department of Human Services, and the 18 Department of Public Health, shall file administrative rules 19 providing for the gathering, during the screening process, of 20 21 information relevant to determining each person's potential 22 for placing other residents, employees, and visitors at risk of harm. 23

HB3841 Engrossed - 2 - LRB099 09358 RPS 29563 b

(a-1) Any screening performed pursuant to subsection (a) of 1 2 this Section shall include a determination of whether any person is being considered for admission to a nursing facility 3 due to a need for mental health services. For a person who 4 5 needs mental health services, the screening shall also include an evaluation of whether there is permanent supportive housing, 6 7 or an array of community mental health services, including but 8 limited to supported housing, assertive not. community 9 treatment, and peer support services, that would enable the 10 person to live in the community. The person shall be told about 11 the existence of any such services that would enable the person 12 to live safely and humanely and about available appropriate 13 nursing home services that would enable the person to live 14 safely and humanely, and the person shall be given the assistance necessary to avail himself or herself of 15 any 16 available services.

17 (a-2) Pre-screening for persons with a serious mental illness shall be performed by a psychiatrist, a psychologist, a 18 registered nurse certified in psychiatric nursing, a licensed 19 20 clinical professional counselor, or a licensed clinical social worker, who is competent to (i) perform a clinical assessment 21 22 of the individual, (ii) certify a diagnosis, (iii) make a 23 determination about the individual's current need for 24 treatment, including substance abuse treatment, and recommend 25 specific treatment, and (iv) determine whether a facility or a 26 community-based program is able to meet the needs of the HB3841 Engrossed - 3 - LRB099 09358 RPS 29563 b

1 individual.

entering a nursing 2 For any person facility, the 3 pre-screening agent shall make specific recommendations about what care and services the individual needs to receive, 4 5 beginning at admission, to attain or maintain the individual's 6 highest level of independent functioning and to live in the 7 most integrated setting appropriate for his or her physical and 8 personal care and developmental and mental health needs. These 9 recommendations shall be revised as appropriate by the 10 pre-screening or re-screening agent based on the results of 11 resident review and in response to changes in the resident's 12 wishes, needs, and interest in transition.

Upon the person entering the nursing facility, the Department of Human Services or its designee shall assist the person in establishing a relationship with a community mental health agency or other appropriate agencies in order to (i) promote the person's transition to independent living and (ii) support the person's progress in meeting individual goals.

(a-3) The Department of Human Services, by rule, shall 19 20 provide for a prohibition on conflicts of interest for pre-admission screeners. The rule shall provide for waiver of 21 22 those conflicts by the Department of Human Services if the 23 Department of Human Services determines that a scarcity of qualified pre-admission screeners exists in a given community 24 25 and that, absent a waiver of conflicts, an insufficient number 26 of pre-admission screeners would be available. If a conflict is HB3841 Engrossed - 4 - LRB099 09358 RPS 29563 b

waived, the pre-admission screener shall disclose the conflict 1 2 of interest to the screened individual in the manner provided 3 for by rule of the Department of Human Services. For the purposes of this subsection, a "conflict of interest" includes, 4 5 but is not limited to, the existence of a professional or financial relationship between (i) a PAS-MH corporate or a 6 PAS-MH agent and (ii) a community provider or long-term care 7 8 facility.

9 (b) In addition to the screening required by subsection 10 (a), a facility, except for those licensed as long term care 11 for under age 22 facilities, shall, within 24 hours after 12 admission, request a criminal history background check pursuant to the Uniform Conviction Information Act for all 13 persons age 18 or older seeking admission to the facility, 14 15 unless (i) a background check was initiated by a hospital 16 pursuant to subsection (d) of Section 6.09 of the Hospital 17 Licensing Act; (ii) the transferring resident is immobile; (iii) the transferring resident is moving into hospice; (iv) 18 19 the transferring resident is wheelchair bound and is 75 years 20 of age or older; or (v) the transferring resident is 65 years 21 of age or older and has undergone a fingerprint screening that 22 showed no violent criminal history or criminal history for at 23 least 15 years and, based on risk analysis, does not show 24 indications of substance abuse or serious mental health issues. 25 The exemptions provided in items (ii) through (v) of this subsection (b) shall apply only if a background check was 26

HB3841 Engrossed - 5 - LRB099 09358 RPS 29563 b

completed by the facility the resident resided at prior to 1 2 seeking admission to the facility and the resident was 3 transferred to the facility with no time passing during which the resident was not institutionalized. If item (ii), (iii), 4 5 (iv), or (v) of this subsection (b) applies, the prior facility shall provide a copy of its background check of the resident 6 7 and all supporting documentation, including, when applicable, the criminal history report and the security assessment, to the 8 9 facility to which the resident is being transferred. Background 10 checks conducted pursuant to this Section shall be based on the 11 resident's name, date of birth, and other identifiers as 12 required by the Department of State Police. If the results of 13 the background check are inconclusive, the facility shall initiate a fingerprint-based check, unless the fingerprint 14 check is waived by the Director of Public Health based on 15 16 verification by the facility that the resident is completely 17 immobile or that the resident meets other criteria related to the resident's health or lack of potential risk which may be 18 established by Departmental rule. A waiver issued pursuant to 19 20 this Section shall be valid only while the resident is immobile or while the criteria supporting the waiver exist. The facility 21 22 shall provide for or arrange for any required fingerprint-based 23 checks to be taken on the premises of the facility. If a 24 fingerprint-based check is required, the facility shall 25 arrange for it to be conducted in a manner that is respectful 26 of the resident's dignity and that minimizes any emotional or

HB3841 Engrossed - 6 - LRB099 09358 RPS 29563 b

1 physical hardship to the resident.

2 (c) If the results of a resident's criminal history 3 background check reveal that the resident is an identified 4 offender as defined in Section 1-114.01, the facility shall do 5 the following:

6 (1) Immediately notify the Department of State Police, 7 in the form and manner required by the Department of State 8 Police, in collaboration with the Department of Public 9 Health, that the resident is an identified offender.

10 (2) Within 72 hours, arrange for a fingerprint-based 11 criminal history record inquiry to be requested on the 12 identified offender resident. The inquiry shall be based on the subject's name, sex, race, date of birth, fingerprint 13 14 images, and other identifiers required by the Department of 15 State Police. The inquiry shall be processed through the 16 files of the Department of State Police and the Federal 17 Bureau of Investigation to locate any criminal history record information that may exist regarding the subject. 18 The Federal Bureau of Investigation shall furnish to the 19 20 Department of State Police, pursuant to an inquiry under 21 this paragraph (2), any criminal history record 22 information contained in its files.

23 The facility shall comply with all applicable provisions 24 contained in the Uniform Conviction Information Act.

All name-based and fingerprint-based criminal historyrecord inquiries shall be submitted to the Department of State

HB3841 Engrossed - 7 - LRB099 09358 RPS 29563 b

Police electronically in the form and manner prescribed by the Department of State Police. The Department of State Police may charge the facility a fee for processing name-based and fingerprint-based criminal history record inquiries. The fee shall be deposited into the State Police Services Fund. The fee shall not exceed the actual cost of processing the inquiry.

(d) (Blank).

7

8 The Department shall develop maintain (e) and а 9 de-identified database of residents who have injured facility 10 staff, facility visitors, or other residents, and the attendant 11 circumstances, solely for the purposes of evaluating and 12 improving resident pre-screening and assessment procedures 13 (including the Criminal History Report prepared under Section 14 2-201.6) and the adequacy of Department requirements 15 concerning the provision of care and services to residents. A 16 resident shall not be listed in the database until a Department 17 survey confirms the accuracy of the listing. The names of persons listed in the database and information that would allow 18 them to be individually identified shall not be made public. 19 20 Neither the Department nor any other agency of State government may use information in the database to take any action against 21 22 individual, licensee, or other entity, unless anv the 23 Department or agency receives the information independent of this subsection (e). All information collected, maintained, or 24 25 developed under the authority of this subsection (e) for the 26 purposes of the database maintained under this subsection (e)

	HB3841 Engrossed	- 8 -	LRB099 09358	8 RPS 29563 b
1	shall be treated in th	ne same manner	as informat	tion that is
2	subject to Part 21 of	Article VIII	of the Co	de of Civil
3	Procedure.			
4	(Source: P.A. 96-1372, e	eff. 7-29-10; 9 <sup>-</sup>	7-48, eff. 6-	28-11.)
5	Section 99. Effect	ive date. This	Act takes	effect upon
6	becoming law.			