## 98TH GENERAL ASSEMBLY

## State of Illinois

## 2013 and 2014

## SB1496

Introduced 2/7/2013, by Sen. Iris Y. Martinez

## SYNOPSIS AS INTRODUCED:

See Index

Amends the Regulatory Sunset Act. Extends the repeal of the Registered Surgical Assistant and Registered Surgical Technologist Title Protection Act from January 1, 2014 to January 1, 2024. Amends the Registered Surgical Assistant and Registered Surgical Technologist Title Protection Act. Makes changes in provisions concerning definitions, the Illinois Administrative Procedure Act, registration applications, application of the Act, registration requirements, supervision requirement, inactive status, fees, disciplinary action, suspension of registration, cease and desist orders, investigations, hearings, record of proceedings, restoration of registration, and civil penalties. Provides that all information collected by the Department of Financial and Professional Regulation in the course of an examination or investigation of a registrant or applicant shall be maintained for the confidential use of the Department and shall not be disclosed. Effective December 31, 2013.

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FISCAL NOTE ACT MAY APPLY

A BILL FOR

1

AN ACT concerning regulation.

## 2 Be it enacted by the People of the State of Illinois, 3 represented in the General Assembly:

Section 5. The Regulatory Sunset Act is amended by changing
Section 4.24 and by adding Section 4.34 as follows:

6 (5 ILCS 80/4.24)

Sec. 4.24. Acts and Section repealed on January 1, 2014.
The following Acts and Section of an Act are repealed on
January 1, 2014:

- 10 The Electrologist Licensing Act.
- 11 The Illinois Certified Shorthand Reporters Act of 1984.

12 The Illinois Occupational Therapy Practice Act.

- 13 The Illinois Public Accounting Act.
- The Private Detective, Private Alarm, Private Security,
  Fingerprint Vendor, and Locksmith Act of 2004.

16 The Registered Surgical Assistant and Registered Surgical
17 Technologist Title Protection Act.

18 Section 2.5 of the Illinois Plumbing License Law.

- 19 The Veterinary Medicine and Surgery Practice Act of 2004.
- 20 (Source: P.A. 97-1139, eff. 12-28-12.)
- 21 (5 ILCS 80/4.34 new)
- 22 Sec. 4.34. Act repealed on January 1, 2024The following Act

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## 1

is repealed on January 1, 2024:

# 2 <u>The Registered Surgical Assistant and Registered Surgical</u> 3 Technologist Title Protection Act.

Section 10. The Registered Surgical Assistant and
Registered Surgical Technologist Title Protection Act is
amended by changing Sections 10, 20, 30, 40, 45, 50, 55, 65,
70, 75, 77, 80, 85, 90, 95, 100, 105, 110, 115, 120, 125, 130,
135, 145, 150, and 165 and by adding Section 87 as follows:

9 (225 ILCS 130/10)

- 10 (Section scheduled to be repealed on January 1, 2014)
  11 Sec. 10. Definitions. As used in this Act:
- 12 <u>"Address of record" means the designated address recorded</u>
  13 by the Department in the applicant's or registrant's
  14 application file or registration file as maintained by the
  15 Department's licensure maintenance unit. It is the duty of the
  16 applicant or registrant to inform the Department of any change
  17 of address and those changes must be made either through the
  18 Department's website or by contacting the Department.

19 "Department" means the Department of <u>Financial and</u>
20 Professional Regulation.

21 "Direct supervision" means supervision by <u>a licensed</u> <del>an</del> 22 <del>operating</del> physician, licensed podiatrist, or licensed dentist 23 who is physically present and who personally directs delegated 24 acts and remains available to personally respond to an emergency until the patient is released from the operating room. A registered professional nurse may also provide direct supervision within the scope of his or her license. A registered surgical assistant or registered surgical technologist shall perform duties as assigned.

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### "Director" means the Director of Professional Regulation.

7 "Physician" or "operating physician" means a person
8 licensed to practice medicine in all of its branches under the
9 Medical Practice Act of 1987.

10 "Registered surgical assistant" means a person who (i) is 11 not licensed to practice medicine in all of its branches, (ii) 12 is certified by the National Surgical Assistant Association as 13 a Certified Surgical Assistant on the Certification of Surgical Assistants, the National Board for Surgical Technology and 14 Surgical Assisting Liaison Council on Certification for the 15 16 Surgical Technologist as a certified first assistant, or the 17 Board of Surgical American Assistants as Surgical Assistant-Certified Assisting, (iii) performs duties under 18 direct supervision, (iv) provides services only in a licensed 19 hospital, ambulatory treatment center, or office of a physician 20 21 licensed to practice medicine in all its branches, and (v) is 22 registered under this Act.

23 "Registered surgical technologist" means a person who (i) 24 is not a physician licensed to practice medicine in all of its 25 branches, (ii) is certified by the <u>National Board for Surgical</u> 26 <u>Technology and Surgical Assisting</u> <u>Liaison Council on</u>

Certification for the Surgical Technologist, (iii) performs duties under direct supervision, (iv) provides services only in a licensed hospital, ambulatory treatment center, or office of a physician licensed to practice medicine in all its branches, and (v) is registered under this Act.

<u>"Secretary" means the Secretary of Financial and</u>
<u>Professional Regulation.</u>
(Source: P.A. 93-280, eff. 7-1-04.)

9 (225 ILCS 130/20)

10 (Section scheduled to be repealed on January 1, 2014)

11 Sec. 20. Illinois Administrative Procedure Act; rules. (a) 12 The Illinois Administrative Procedure Act is expressly adopted and incorporated in this Act as if all of the provisions of the 13 Illinois Administrative Procedure Act were included in this 14 15 Act, except that the provision of subsection (d) of Section 16 10-65 of the Illinois Administrative Procedure Act that provides that at hearings the registrant has the right to show 17 18 compliance with all lawful requirements for retention, 19 continuation, or renewal of the registration is specifically 20 excluded. For purposes of this Act, the notice required under 21 Section 10-25 of the Illinois Administrative Procedure Act is 22 deemed sufficient when mailed to the the registrant's address 23 of record last known address of a party.

24 (b) The Director may promulgate rules for the
 25 administration and enforcement of this Act and may prescribe

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#### forms to be issued in connection with this Act. 1

2 (Source: P.A. 93-280, eff. 7-1-04.)

3 (225 ILCS 130/30)

4 (Section scheduled to be repealed on January 1, 2014)

5 30. Social Security Number Sec. on registration 6 application. In addition to any other information required to 7 be contained in the application, every application for an 8 original certificate of registration under this Act shall 9 include the applicant's Social Security Number, which shall be 10 retained in the agency's records pertaining to the registration 11 license. As soon as practical, the Department shall assign a 12 customer's identification number to each applicant for a 13

registration license.

Every application for a renewed, reinstated, renewal or 14 15 restored registration license shall require the applicant's 16 customer identification number.

(Source: P.A. 97-400, eff. 1-1-12.) 17

18 (225 ILCS 130/40)

19 (Section scheduled to be repealed on January 1, 2014)

20 Sec. 40. Application of Act. This Act shall not be 21 construed to prohibit the following:

(1) A person licensed in this State under any other Act 22 23 from engaging in the practice for which he or she is 24 licensed, including but not limited to a physician licensed

1 to practice medicine in all its branches, physician 2 assistant, advanced practice registered nurse, or nurse 3 performing surgery-related tasks within the scope of his or 4 her license, nor are these individuals required to be 5 registered under this Act.

6 (2) A person from engaging in practice as a surgical 7 assistant or surgical technologist in the discharge of his 8 or her official duties as an employee of the United States 9 government.

10 (3) One or more registered surgical assistants <u>or</u> 11 <u>surgical technologists</u> from forming a professional service 12 corporation in accordance with the Professional Service 13 Corporation Act and applying for licensure as a corporation 14 providing surgical assistant <u>or surgical technologist</u> 15 services.

(4) A student engaging in practice as a surgical
assistant or surgical technologist under the direct
supervision of a physician licensed to practice medicine in
all of its branches as part of his or her program of study
at a school approved by the Department or in preparation to
qualify for the examination as prescribed under Sections 45
and 50 of this Act.

(5) A person from assisting in surgery at <u>a</u> an
 operating physician's discretion, including but not
 limited to medical students and residents, nor are medical
 students and residents required to be registered under this

Act.

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2 (6) A hospital, health system or network, ambulatory surgical treatment center, physician licensed to practice 3 medicine in all its branches, physician medical group, or 4 5 other entity that provides surgery-related services from employing individuals that the entity considers competent 6 7 to assist in surgery. These entities are not required to 8 utilize registered surgical assistants or registered 9 surgical technologists when providing surgery-related 10 services to patients. Nothing in this subsection shall be 11 construed to limit the ability of an employer to utilize 12 the services of any person to assist in surgery within the 13 employment setting consistent with the individual's skill 14 and training.

15 (Source: P.A. 93-280, eff. 7-1-04.)

16 (225 ILCS 130/45)

17 (Section scheduled to be repealed on January 1, 2014)

Sec. 45. Registration requirements; surgical assistant. A person shall qualify for registration as a surgical assistant if he or she has applied in writing on the prescribed form, has paid the required fees, and meets all of the following requirements:

23

(1) Is at least 21 years of age.

(2) Has not violated a provision of Section <u>75</u> <del>95</del> of
 this Act. In addition the Department may take into

consideration any felony conviction of the applicant, but a
 conviction shall not operate as an absolute bar to
 registration <u>unless otherwise provided by law</u>.

4 (3) Has completed a medical education program approved 5 by the Department or has graduated from a United States 6 Military Program that <u>emphasizes</u> <del>emphasized</del> surgical 7 assisting.

8 (4) Has successfully completed a national certifying
9 examination approved by the Department.

10 (5) Is currently certified by the National Surgical 11 Assistant Association as a Certified Surgical Assistant on 12 the Certification of Surgical Assistants, the National 13 Board for Surgical Technology and Surgical Assisting Liaison Council on Certification for the Surgical 14 15 Technologist as a certified first assistant, or the 16 American Board of Surgical Ass<u>istants as Surgical</u> 17 Assistant-Certified Assisting.

18 (Source: P.A. 93-280, eff. 7-1-04.)

19 (225 ILCS 130/50)

20 (Section scheduled to be repealed on January 1, 2014)

Sec. 50. Registration requirements; surgical technologist. A person shall qualify for registration as a surgical technologist if he or she has applied in writing on the prescribed form, has paid the required fees, and meets all of the following requirements: - 9 - LRB098 06227 MGM 36268 b

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(1) Is at least 18 years of age.

2 (2) Has not violated a provision of Section <u>75</u> <del>95</del> of 3 this Act. In addition the Department may take into 4 consideration any felony conviction of the applicant, but a 5 conviction shall not operate as an absolute bar to 6 registration <u>unless otherwise provided by law</u>.

7 (3) Has completed a <u>nationally accredited</u> surgical
 8 <u>technology technologist</u> program approved by the Department
 9 <u>or has graduated from a United States Military Program that</u>
 10 <u>emphasizes surgical technology</u>.

11 (4) Has successfully completed the surgical 12 technologist national certification examination provided 13 by the <u>National Board for Surgical Technology and Surgical</u> 14 <u>Assisting Liaison Council on Certification for the</u> 15 <u>Surgical Technologist</u> or its successor agency.

16

(5) (Blank).

17 (6) Is currently certified by the <u>National Board for</u>
 18 <u>Surgical Technology and Surgical Assisting Liaison Council</u>
 19 on Certification for the Surgical Technologist or its
 20 successor agency and has met the requirements set forth for
 21 certification.

22 (Source: P.A. 97-813, eff. 7-13-12.)

23 (225 ILCS 130/55)

24 (Section scheduled to be repealed on January 1, 2014)

25 Sec. 55. Supervision requirement. A person registered

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1	under this Act shall pract	cice <del>as a</del>	surgical assistant only
2	under direct supervision.		
3	(Source: P.A. 93-280, eff. 7	-1-04.)	
4	(225 ILCS 130/65)		
5	(Section scheduled to be	e repealed	on January 1, 2014)
6	Sec. 65. Inactive sta	atus. A :	registrant who <u>notifies</u>
7	notified the Department in	writing on	forms prescribed by the
8	Department may elect to p	place his	or her registration on
9	inactive status and shall, s	subject to	rules of the Department,
10	be excused from payment of	of renewal	fees until he or she
11	notifies the Department in	writing of	his or her intention to
12	restore the registration. A	registrar	t requesting restoration
13	from inactive status shall	pay the	current renewal fee and
14	shall restore his or her	registrat	ion in accordance with
15	Section 60 of this Act. A re	gistrant w	hose <u>registration</u> <del>license</del>
16	is on inactive <u>or non-renew</u> e	<u>ed</u> status s	shall not hold himself or
17	herself out as a registered	d surgical	assistant or registered
18	surgical technologist. To do	o so shall	be grounds for discipline
19	under Section 75 of this Act		
20	(Source: P.A. 93-280, eff. 7	-1-04.)	

21 (225 ILCS 130/70)

22 (Section scheduled to be repealed on January 1, 2014)

23 Sec. 70. Fees; returned checks.

24 (a) The Department shall set by rule fees for the

1 administration of this Act, including but not limited to fees 2 for initial and renewal registration and restoration of a 3 certificate of registration.

(b) A person who delivers a check or other payment to the 4 5 Department that is returned to the Department unpaid by the 6 financial institution upon which it is drawn shall pay to the Department, in addition to the amount already owed to the 7 8 Department, a fine of \$50. The fines imposed by this Section 9 are in addition to any other discipline provided under this 10 Act. The Department shall notify the person that fees and fines 11 shall be paid to the Department by certified check or money 12 order within 30 calendar days of the notification. If, after the expiration of 30 days from the date of the notification, 13 14 the person has failed to submit the necessary remittance, the 15 Department shall automatically terminate the registration or 16 deny the application without a hearing. If the person seeks a 17 registration license after termination or denial, he or she shall apply to the Department for restoration or issuance of 18 the registration license and pay all fees and fines due to the 19 20 Department. The Department may establish a fee for the 21 processing of an application for restoration of a registration 22 license to defray the expenses of processing the application. 23 The Secretary Director may waive the fines due under this Section in individual cases if the Secretary Director finds 24 25 that the fines would be unreasonable or unnecessarily 26 burdensome.

1 (c) All of the fees and fines collected under this Act 2 shall be deposited into the General Professions Dedicated Fund. 3 All moneys in the Fund shall be used by the Department, as 4 appropriated, for the ordinary and contingent expenses of the 5 Department.

6 (Source: P.A. 93-280, eff. 7-1-04.)

7 (225 ILCS 130/75)

8 (Section scheduled to be repealed on January 1, 2014)

9 Sec. 75. Grounds for disciplinary action.

10 (a) The Department may refuse to issue, renew, or restore a 11 registration, may revoke or suspend a registration, or may 12 place on probation, <del>censure,</del> reprimand, or take other disciplinary or non-disciplinary action with regard to a person 13 registered under this Act, including but not limited to the 14 15 imposition of fines not to exceed \$10,000 \$5,000 for each 16 violation and the assessment of costs as provided for in Section 90, for any one or combination of the following causes: 17 18 (1)Making a material misstatement in furnishing 19 information to the Department.

20 (2) Violating a provision of this Act or its rules
 21 <u>adopted under this Act</u>.

(3) <u>Conviction by plea of guilty or nolo contendere,</u>
 <u>finding of guilt, jury verdict, or entry of judgment or by</u>
 <u>sentencing of any crime, including, but not limited to,</u>
 <u>convictions, preceding sentences of supervision,</u>

conditional discharge, or first offender probation, under 1 2 the laws of any jurisdiction of the United States that is 3 (i) a felony or (ii) a misdemeanor, an essential element of which is dishonesty, or that is directly related to the 4 5 practice of the profession. Conviction under the laws of a 6 United States jurisdiction of a crime that is a felony 7 misdemeanor, an essential element of which is dishonesty, 8 or of a crime that is directly related to the practice 9 surgical assistant or surgical technologist.

10 (4) <u>Fraud or Making a misrepresentation in applying</u>
 11 <u>for, renewing, restoring, reinstating, or procuring a</u>
 12 <u>registration under this Act</u> <del>for the purpose of obtaining,</del>
 13 <del>renewing, or restoring a registration</del>.

14 (5) <u>Aiding Wilfully aiding</u> or assisting another person
 15 in violating a provision of this Act or its rules.

16 (6) Failing to provide information within 60 days in
 17 response to a written request made by the Department.

18 (7) Engaging in dishonorable, unethical, or
19 unprofessional conduct of a character likely to deceive,
20 defraud, or harm the public, as defined by rule of the
21 Department.

(8) Discipline by another United States jurisdiction,
<u>governmental agency</u>, unit of government, or foreign
nation, if at least one of the grounds for discipline is
the same or substantially equivalent to those set forth in
this Section.

(9) Directly or indirectly giving to or receiving from 1 2 a person, firm, corporation, partnership, or association a 3 fee, commission, rebate, or other form of compensation for professional services not actually or personally rendered. 4 5 Nothing in this paragraph (9) affects any bona fide 6 independent contractor or employment arrangements among 7 health care professionals, health facilities, health care 8 providers, or other entities, except as otherwise 9 prohibited by law. Any employment arrangements may include 10 provisions for compensation, health insurance, pension, or 11 other employment benefits for the provision of services 12 within the scope of the registrant's licensee's practice under this Act. Nothing in this paragraph (9) shall be 13 14 construed to require an employment arrangement to receive 15 professional fees for services rendered.

16 (10) A finding by the Department that the registrant,
17 after having his or her registration placed on probationary
18 status, has violated the terms of probation.

19 (11) <u>Willfully</u> Wilfully making or filing false records
20 or reports in his or her practice, including but not
21 limited to false records or reports filed with State
22 agencies.

23 (12) <u>Willfully</u> Wilfully making or signing a false
 24 statement, certificate, or affidavit to induce payment.

25 (13) <u>Willfully</u> Wilfully failing to report an instance
 26 of suspected child abuse or neglect as required under the

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Abused and Neglected Child Reporting Act.

(14) Being named as a perpetrator in an indicated
report by the Department of Children and Family Services
under the Abused and Neglected Child Reporting Act and upon
proof by clear and convincing evidence that the <u>registrant</u>
<del>licensee</del> has caused a child to be an abused child or
neglected child as defined in the Abused and Neglected
Child Reporting Act.

9 (15) <u>(Blank)</u>. Employment of fraud, deception, or any 10 unlawful means in applying for or securing a license as a 11 surgical assistant.

12 (16) Failure to report to the Department (A) any 13 adverse final action taken against the registrant by 14 another registering or licensing jurisdiction, government 15 agency, law enforcement agency, or any court or (B) 16 liability for conduct that would constitute grounds for 17 action as set forth in this Section.

18 (17) Habitual <u>or excessive use or abuse of drugs</u> 19 <u>defined in law as controlled substances, alcohol, or any</u> 20 <u>other substance that results in the inability to practice</u> 21 <u>with reasonable judgment, skill, or safety intoxication or</u> 22 <del>addiction to the use of drugs</del>.

(18) Physical <u>or mental</u> illness, including but not
limited to deterioration through the aging process or loss
of motor skills, which results in the inability to practice
the profession for which he or she is registered with

1 reasonable judgment, skill, or safety.

2 (19) Gross malpractice resulting in permanent injury
 3 or death of a patient.

4 (20) Immoral conduct in the commission of an act 5 related to the registrant's practice, including but not 6 limited to sexual abuse, sexual misconduct, or sexual 7 exploitation.

8 (21) Violation of the Health Care Worker Self-Referral
9 Act.

10 (b) The Department may refuse to issue or may suspend 11 without hearing the registration of a person who fails to file 12 a return, to pay the tax, penalty, or interest shown in a filed return, or to pay a final assessment of the tax, penalty, or 13 14 interest as required by a tax Act administered by the 15 Department of Revenue, until the requirements of the tax Act 16 are satisfied in accordance with subsection (g) of Section 17 2105-15 of the Civil Administration Code of Illinois.

(c) The determination by a circuit court that a registrant 18 is subject to involuntary admission or judicial admission as 19 20 provided in the Mental Health and Developmental Disabilities Code operates as an automatic suspension. The suspension will 21 22 end only upon (1) a finding by a court that the patient is no 23 longer subject to involuntary admission or judicial admission, (2) issuance of an order so finding and discharging the 24 25 patient, and (3) filing of a petition for restoration 26 demonstrating fitness to practice the recommendation of the

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## Department to the Director that the registrant be allowed to resume his or her practice.

3 (d) The Department shall deny a registration or renewal 4 authorized by this Act to a person who has defaulted on an 5 educational loan or scholarship provided or guaranteed by the 6 Illinois Student Assistance commission or any governmental 7 agency of this State in accordance with paragraph (5) of 8 subsection (a) of Section 2105-15 of the Civil Administrative 9 Code of Illinois.

10 (e) In cases where the Department of Healthcare and Family 11 Services has previously determined a registrant or a potential 12 registrant is more than 30 days delinquent in the payment of child support and has subsequently certified the delinquency to 13 14 the Department, the Department may refuse to issue or renew or may revoke or suspend that person's registration or may take 15 16 other disciplinary action against that person based solely upon 17 the certification of delinguency made by the Department of Healthcare and Family Services in accordance with paragraph (5) 18 19 of subsection (a) of Section 1205-15 of the Department of 20 Professional Regulation Law of the Civil Administrative Code of 21 Illinois.

(f) In enforcing this Section, the Department, upon a showing of a possible violation, may compel any individual registered under this Act or any individual who has applied for registration to submit to a mental or physical examination and evaluation, or both, that may include a substance abuse or

1	sexual offender evaluation, at the expense of the Department.
2	The Department shall specifically designate the examining
3	physician licensed to practice medicine in all of its branches
4	or, if applicable, the multidisciplinary team involved in
5	providing the mental or physical examination and evaluation, or
6	both. The multidisciplinary team shall be led by a physician
7	licensed to practice medicine in all of its branches and may
8	consist of one or more or a combination of physicians licensed
9	to practice medicine in all of its branches, licensed
10	chiropractic physicians, licensed clinical psychologists,
11	licensed clinical social workers, licensed clinical
12	professional counselors, and other professional and
13	administrative staff. Any examining physician or member of the
14	multidisciplinary team may require any person ordered to submit
15	to an examination and evaluation pursuant to this Section to
16	submit to any additional supplemental testing deemed necessary
17	to complete any examination or evaluation process, including,
18	but not limited to, blood testing, urinalysis, psychological
19	testing, or neuropsychological testing.
20	The Department may order the examining physician or any
21	member of the multidisciplinary team to provide to the
22	Department any and all records, including business records,
23	that relate to the examination and evaluation, including any
24	supplemental testing performed. The Department may order the
25	examining physician or any member of the multidisciplinary team
26	to present testimony concerning this examination and

1	evaluation of the registrant or applicant, including testimony
2	concerning any supplemental testing or documents relating to
3	the examination and evaluation. No information, report,
4	record, or other documents in any way related to the
5	examination and evaluation shall be excluded by reason of any
6	common law or statutory privilege relating to communication
7	between the registrant or applicant and the examining physician
8	or any member of the multidisciplinary team. No authorization
9	is necessary from the registrant or applicant ordered to
10	undergo an evaluation and examination for the examining
11	physician or any member of the multidisciplinary team to
12	provide information, reports, records, or other documents or to
13	provide any testimony regarding the examination and
14	evaluation. The individual to be examined may have, at his or
15	her own expense, another physician of his or her choice present
16	during all aspects of the examination.
17	Failure of any individual to submit to mental or physical
18	examination and evaluation, or both, when directed, shall
19	result in an automatic suspension without hearing until such
20	time as the individual submits to the examination. If the
21	Department finds a registrant unable to practice because of the
22	reasons set forth in this Section, the Department shall require
23	such registrant to submit to care, counseling, or treatment by

24 physicians approved or designated by the Department as a 25 condition for continued, reinstated, or renewed registration.

26 When the Secretary immediately suspends a registration

1	under this Section, a hearing upon such person's registration
2	must be convened by the Department within 15 days after such
3	suspension and completed without appreciable delay. The
4	Department shall have the authority to review the registrant's
5	record of treatment and counseling regarding the impairment to
6	the extent permitted by applicable federal statutes and
7	regulations safeguarding the confidentiality of medical
8	records.

9 <u>Individuals registered under this Act and affected under</u> 10 <u>this Section shall be afforded an opportunity to demonstrate to</u> 11 <u>the Department that they can resume practice in compliance with</u> 12 <u>acceptable and prevailing standards under the provisions of</u> 13 <u>their registration.</u>

14 (g) All fines imposed under this Section shall be paid 15 within 60 days after the effective date of the order imposing 16 the fine or in accordance with the terms set forth in the order 17 imposing the fine.

18 (Source: P.A. 96-1482, eff. 11-29-10.)

19 (225 ILCS 130/77)

20 (Section scheduled to be repealed on January 1, 2014)

Sec. 77. Suspension of registration for failure to pay restitution. The Department, without further process or hearing, shall suspend the <u>registration</u> <del>license or other</del> <del>authorization to practice</del> of any person <del>issued under this Act</del> who has been certified by court order as not having paid restitution to a person under Section 8A-3.5 of the Illinois Public Aid Code or under Section 17-10.5 or 46-1 of the Criminal Code of 1961. A person whose <u>registration</u> <del>license or</del> <del>other authorization to practice</del> is suspended under this Section is prohibited from practicing until the restitution is made in full.

7 (Source: P.A. 96-1551, eff. 7-1-11.)

8 (225 ILCS 130/80)

9 (Section scheduled to be repealed on January 1, 2014)

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Sec. 80. Cease and desist order<u>; injunctions</u>.

11 (a) If a person violates a provision of this Act, the 12 Secretary Director, in the name of the People of the State of 13 Illinois through the Attorney General of the State of Illinois, 14 or the State's Attorney of a county in which the violation is 15 alleged to have occurred occurs, may petition for an order 16 enjoining the violation or for an order enforcing compliance with this Act. Upon the filing of a verified petition in court, 17 the court may issue a temporary restraining order without 18 19 notice or bond and may preliminarily and permanently enjoin the 20 violation. If it is established that the registrant has 21 violated or is violating the injunction, the court may punish 22 the offender for contempt of court. Proceedings under this Section shall be in addition to, and not in lieu of, all other 23 24 remedies and penalties provided by this Act.

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(b) If a person holds himself or herself out as a surgical

1 assistant or surgical technologist without being registered 2 under this Act, then any registrant under this Act, interested 3 party, or person injured thereby, in addition to the <u>Secretary</u> 4 <u>Director</u> or State's Attorney, may petition for relief as 5 provided in subsection (a) of this Section.

6 (c) If the Department determines that a person violated a 7 provision of this Act, the Department may issue a rule to show cause why an order to cease and desist should not be entered 8 9 against him or her. The rule shall clearly set forth the 10 grounds relied upon by the Department and shall provide a 11 period of 7 days from the date of the rule to file an answer to 12 the satisfaction of the Department. Failure to answer to the 13 satisfaction of the Department shall cause an order to cease and desist to be issued immediately. 14

15 (Source: P.A. 93-280, eff. 7-1-04.)

16 (225 ILCS 130/85)

17 (Section scheduled to be repealed on January 1, 2014) 18 Sec. 85. Investigation; notice; hearing. Certificates of registration may be refused, revoked, suspended, or otherwise 19 20 disciplined in the manner provided by this Act and not 21 otherwise. The Department may upon its own motion and shall 22 upon the verified complaint in writing of any person setting forth facts that if proven would constitute grounds for refusal 23 24 to issue or for suspension or revocation under this Act, 25 investigate the actions of a person applying for, holding, or

claiming to hold a certificate of registration. The Department 1 2 shall, before refusing to issue or renew a registration  $\overline{\tau}$ suspending, or revoking a certificate of registration or taking 3 other disciplinary or non-disciplinary action discipline 4 5 pursuant to Section 75 of this Act, and at least 30 days prior 6 to the date set for the hearing, (i) notify in writing the 7 applicant or registrant licensee of the any charges made and the time and place for a hearing of the charges, shall afford 8 9 the applicant or registrant an opportunity to be heard in 10 person or by counsel in reference to the charges, and (ii) 11 direct the applicant or registrant to file a written answer to 12 the Department under oath within 20 days after the service of the notice, and (iii) inform the applicant or registrant that 13 failure to file an answer will result in default being taken 14 15 against the applicant or registrant and that the certificate of 16 registration may be suspended, revoked, placed on probationary 17 status, or other disciplinary action may be taken, including 18 limiting the scope, nature, or extent of practice, the 19 Director may deem proper.

20 Written notice <u>and any notice in the subsequent proceeding</u> 21 may be served <del>by personal delivery to the applicant or</del> 22 <del>registrant or by mailing the notice</del> by <u>registered or</u> certified 23 mail to <u>the applicant or registrant's address of record</u> <del>his or</del> 24 her last known place of residence or to the place of business 25 last specified by the applicant or registrant in his or her 26 last notification to the Department. If the person fails to

file an answer after receiving notice, his or her certificate 1 2 of registration may, in the discretion of the Department, be suspended, revoked, or placed on probationary status or the 3 4 Department may take whatever disciplinary or non-disciplinary 5 action deemed proper, including limiting the delegated tasks or 6 the imposition of a fine, without a hearing, if the act or acts 7 charged constitute sufficient grounds for such action under 8 this Act. At the time and place fixed in the notice, the 9 Department shall proceed to hearing of the charges and the 10 parties and their counsel both the applicant or registrant and 11 the complainant shall be afforded ample opportunity to present, 12 in person or by counsel, any pertinent statements, testimony, evidence, and arguments that may be pertinent to the charges or 13 to their defense. The Department may continue a hearing from 14 15 time to time. The Department may continue a hearing for a period not to exceed 30 days. 16

17 (Source: P.A. 93-280, eff. 7-1-04.)

18 (225 ILCS 130/87 new)

Sec. 87. Confidentiality. All information collected by the Department in the course of an examination or investigation of a registrant or applicant, including, but not limited to, any complaint against a registrant filed with the Department and information collected to investigate any such complaint shall be maintained for the confidential use of the Department and shall not be disclosed. The Department shall not disclose the

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information to anyone other than law enforcement officials, 1 regulatory agencies that have an appropriate regulatory 2 3 interest as determined by the Secretary, or a party presenting 4 a lawful subpoena to the Department. Information and documents 5 disclosed to a federal, State, county, or local law enforcement agency shall not be disclosed by the agency for any purpose to 6 7 any other agency or person. A formal complaint filed against a registrant by the Department or any other issued by the 8 9 Department against a registrant or applicant shall be a public

10 record, except as otherwise prohibited by law.

11 (225 ILCS 130/90)

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12 (Section scheduled to be repealed on January 1, 2014) Sec. 90. Record of proceedings. The Department, at its 13 14 expense, shall preserve a record of all proceedings at a formal 15 hearing conducted pursuant to Section 85 of this Act. Any 16 registrant who is found to have violated this Act or who fails to appear for a hearing to refuse to issue, restore, or renew a 17 18 registration or to discipline a registrant may be required by the Department to pay for the costs of the proceeding. These 19 20 costs are limited to costs for court reporters, transcripts, 21 and witness attendance and mileage fees. All costs imposed under this Section shall be paid within 60 days after the 22 effective date of the order imposing the fine. The notice of 23 24 hearing, complaint, and all other documents in the nature of 25 pleadings and written motions filed in the proceedings, the

transcript of testimony, the report of the Department or hearing officer, and orders of the Department shall be the record of the proceeding. The Department shall supply a transcript of the record to a person interested in the hearing on payment of the fee required under Section 2105 115 of the Department of Professional Regulation Law of the Civil Administrative Code of Illinois.

8 (Source: P.A. 93-280, eff. 7-1-04.)

9 (225 ILCS 130/95)

10 (Section scheduled to be repealed on January 1, 2014)

11 Sec. 95. Order for production of documents. A circuit court 12 may, upon application of the Department, or its designee, or of the applicant or registration against whom proceedings 13 14 pursuant to Section 85 of this Act are pending, enter an may 15 order requiring the attendance and testimony of witnesses and 16 their testimony and the production of relevant documents, papers, files, books, and records in connection with a hearing 17 or investigation authorized by this Act. The court may compel 18 19 obedience to its order through contempt proceedings.

20 (Source: P.A. 93-280, eff. 7-1-04.)

## 21 (225 ILCS 130/100)

22 (Section scheduled to be repealed on January 1, 2014)

23 Sec. 100. Subpoena power.

24 (a) The Department may has the power to subpoena and bring

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1 before it any person <del>in this State and</del> to take the oral or 2 written testimony or compel the production of any books, 3 papers, records, or any other documents that the Secretary or his or her designee deems relevant or material to any 4 5 investigation or hearing conducted by the Department orally or by deposition, with the same fees and mileage and in the same 6 7 manner as prescribed by law in judicial proceedings in civil cases in circuit courts of this State. 8

9 (b) The Secretary, the hearing officer, or a certified 10 shorthand court reporter may Director shall have the authority 11 to administer oaths, at any hearing that the Department 12 conducts is authorized to conduct under this Act, oaths to 13 witnesses and any other oaths authorized to be administered by the Department under this Act. Notwithstanding any other 14 statute or Department rule to the contrary, all requests for 15 testimony, production of documents, or records shall be in 16 17 accordance with this Act.

18 (Source: P.A. 93-280, eff. 7-1-04.)

19 (225 ILCS 130/105)

20 (Section scheduled to be repealed on January 1, 2014)

Sec. 105. Disciplinary report. At the conclusion of the hearing, the <u>hearing officer</u> <del>Department</del> shall present to the <u>Secretary</u> <del>Director</del> a written report of <u>his or her</u> <del>its</del> findings of fact, conclusions of law, and recommendations. In the report, the <u>hearing officer</u> <del>Department</del> shall make a finding of

whether or not the charged registrant or applicant violated a 1 2 provision of this Act or its rules and shall specify the nature of the violation. In making its recommendations for discipline, 3 the Department may take into consideration all facts and 4 5 circumstances bearing upon the reasonableness of the conduct of 6 the respondent and the potential for future harm to the public, including but not limited to previous discipline of that 7 8 respondent by the Department, intent, degree of harm to the 9 public and likelihood of harm in the future, any restitution 10 made, and whether the incident or incidents complained of 11 appear to be isolated or a pattern of conduct. In making its 12 recommendations for discipline, the Department shall seek to that the severity of the discipline 13 ensure -recommended some reasonable relationship to the severity of the violation. 14

15 (Source: P.A. 93-280, eff. 7-1-04.)

16 (225 ILCS 130/110)

17

Sec. 110. Motion for rehearing. In a case involving the 18 refusal to issue or renew a registration or the discipline of a 19 registrant, a copy of the hearing officer's Department's report 20 21 shall be served upon the respondent by the Department, either 22 personally or as provided under Section 20 of this Act for the service of the notice of hearing. Within 20 days after the 23 24 service, the respondent may present to the Department a motion in writing for a rehearing, which shall specify the particular 25

(Section scheduled to be repealed on January 1, 2014)

grounds for a rehearing. If no motion for rehearing is filed, 1 2 then upon the expiration of the time specified for filing the motion, or if a motion for rehearing is denied, then upon the 3 denial the Secretary Director may enter an order in accordance 4 5 with recommendations of the Department, except as provided in 6 Section 115 or 120 of this Act. If the respondent orders a transcript of the record from the reporting service and pays 7 for the transcript within the time for filing a motion for 8 9 rehearing, the 20-day period within which such a motion may be 10 filed shall commence upon the delivery of the transcript to the 11 respondent.

12 (Source: P.A. 93-280, eff. 7-1-04.)

13 (225 ILCS 130/115)

14 (Section scheduled to be repealed on January 1, 2014)

15 Sec. 115. Order of <u>Secretary</u> <del>Director</del>.

16 (a) The Director shall issue an order concerning the 17 disposition of the charges (i) following the expiration of the 18 filing period granted under Section 110 of this Act if no 19 motion for rehearing is filed or (ii) following a denial of a 20 timely motion for rehearing.

21 (b) The <u>Secretary's</u> Director's order shall be based on the 22 recommendations contained in the Department report unless, 23 after giving due consideration to the Department's report, the 24 <u>Secretary</u> Director disagrees in any regard with the report of 25 the Department, in which case he or she may issue an order in

contravention of the report. The Director shall provide a 1 2 written report to the Department on any deviation from the Department's report and shall specify with particularity the 3 or her deviation in the final order. The reasons for his 4 5 hearing officer's <del>Department's</del> report and Secretary's Director's order are not admissible in evidence against the 6 7 person in a criminal prosecution brought for a violation of 8 this Act, but the hearing, report, and order are not a bar to a 9 criminal prosecution brought for the violation of this Act.

10 (Source: P.A. 93-280, eff. 7-1-04.)

11 (225 ILCS 130/120)

12 (Section scheduled to be repealed on January 1, 2014)

13 Sec. 120. Hearing officer. The Secretary <del>Director</del> shall 14 have the authority to appoint an attorney licensed to practice 15 law in this State to serve as the hearing officer in a hearing 16 authorized under Section 90 of this Act. The hearing officer shall have full authority to conduct the hearing. The hearing 17 officer shall report his or her findings of fact, conclusions 18 19 of law, and recommendations to the Department. If the Secretary 20 Director disagrees in any regard with the report of the 21 Department, he or she may issue an order in contravention of 22 the report. The Secretary Director shall provide a written explanation to the Department on a deviation from 23 the 24 Department's report and shall specify with particularity the reasons for his or her deviation in the final order. 25

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1 (Source: P.A. 93-280, eff. 7-1-04.)

2 (225 ILCS 130/125)

3 (Section scheduled to be repealed on January 1, 2014)

4 Sec. 125. Rehearing on order of <u>Secretary</u> <del>Director</del>. 5 Whenever the <u>Secretary</u> <del>Director</del> is not satisfied that 6 substantial justice has been achieved in the discipline of a 7 registrant, the <u>Secretary</u> <del>Director</del> may order a rehearing by the 8 same or another hearing officer.

9 (Source: P.A. 93-280, eff. 7-1-04.)

10 (225 ILCS 130/130)

11 (Section scheduled to be repealed on January 1, 2014)

12 Sec. 130. Order; prima facie proof. An order or a certified 13 copy of an order, over the seal of the Department and 14 purporting to be signed by the <u>Secretary</u> <del>Director</del>, shall be 15 prima facie proof that:

16 (1) the signature is the genuine signature of the
 17 <u>Secretary Director</u>; and

18 (2) the <u>Secretary</u> <del>Director</del> is duly appointed and
 19 qualified.

20 (Source: P.A. 93-280, eff. 7-1-04.)

21 (225 ILCS 130/135)

22 (Section scheduled to be repealed on January 1, 2014)

23 Sec. 135. Restoration of registration <u>from discipline</u>. At

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any time after the successful completion of a term of 1 indefinite probation, <u>suspension</u>, or revocation of a 2 registration, the Department may restore the registration to 3 active status unless, after an investigation and a hearing, the 4 Secretary determines that restoration is not in the public 5 6 interest. No person whose registration has been revoked as 7 authorized in this Act may apply for restoration of that registration until such time as provided for in the Civil 8 9 Administrative Code of Illinois. suspension or revocation of a certificate of registration, the Department may restore it to 10 11 the registrant unless, after an investigation and a hearing, 12 the Department determines that restoration is not in the public 13 interest. Where circumstances of suspension or revocation 14 indicate, the Department may require an examination of the 15 registrant before restoring his or her certificate of 16 registration.

17 (Source: P.A. 93-280, eff. 7-1-04.)

18 (225 ILCS 130/145)

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19 (Section scheduled to be repealed on January 1, 2014)

20 Sec. 145. <u>Summary Temporary</u> suspension. The <u>Secretary</u> 21 <u>Director may summarily temporarily</u> suspend the registration of 22 a surgical assistant or surgical technologist without a 23 hearing, simultaneously with the institution of proceedings 24 for a hearing provided for in Section 85 of this Act, if the 25 Secretary <u>Director</u> finds that evidence in his or her possession indicates that continuation in practice would constitute an imminent danger to the public. If the <u>Secretary</u> <del>Director</del> <u>summarily</u> temporarily suspends a <u>registration</u> <del>license</del> without a hearing, a hearing by the Department shall be <u>commenced</u> <del>held</del> within 30 days after the suspension has occurred and shall be concluded <u>as expeditiously as possible</u> without appreciable <del>delay</del>.

8 (Source: P.A. 93-280, eff. 7-1-04.)

## 9 (225 ILCS 130/150)

10 (Section scheduled to be repealed on January 1, 2014)

11 Sec. 150. Certificate of record. The Department shall not 12 be required to certify any record to a court or file an answer in court or otherwise appear in a court in a judicial review 13 14 proceeding unless and until the Department has received from 15 the plaintiff there is filed in the court, with the complaint, 16 a receipt from the Department acknowledging payment of the costs of furnishing and certifying the record, which costs 17 18 shall be determined by the Department. Exhibits shall be certified without cost. Failure on the part of the plaintiff to 19 20 file a receipt in court shall be grounds for dismissal of the action. 21

22 (Source: P.A. 93-280, eff. 7-1-04.)

23 (225 ILCS 130/165)

24 (Section scheduled to be repealed on January 1, 2014)

1

Sec. 165. Civil penalties.

(a) In addition to any other penalty provided by law, a
person who violates Section 35 of this Act shall pay a civil
penalty to the Department in an amount not to exceed \$10,000
\$5,000 for each offense as determined by the Department. The
civil penalty shall be assessed by the Department after a
hearing is held in accordance with the provisions set forth in
this Act regarding a hearing for the discipline of a licensee.

9 (b) The Department has the authority and power to 10 investigate any and all unregistered activity.

11 (c) The civil penalty assessed under this Act shall be paid 12 within 60 days after the effective date of the order imposing 13 the civil penalty. The order shall constitute a judgment and 14 may be filed and execution had on the judgment in the same 15 manner as a judgment from a court of record.

16 (Source: P.A. 93-280, eff. 7-1-04.)

Section 99. Effective date. This Act takes effect December31, 2013.

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