

97TH GENERAL ASSEMBLY State of Illinois 2011 and 2012 SB3727

Introduced 2/10/2012, by Sen. Don Harmon

SYNOPSIS AS INTRODUCED:

See Index

Amends the Swimming Facility Act. Makes changes to certain definitions. Makes changes to provisions concerning licenses to operate, permits for construction or major alteration, license renewal, and payment of fees. Sets forth provisions concerning plan certification and plan resubmittal. Establishes various fee structures for licensure and inspection. Makes changes in provisions concerning rules, violations at facilities, records, and fees for copies of records held by the Department of Public Health. Includes the Attorney General in the list of entities that shall enforce a closing order. Provides that violations of the Act shall be punishable by a fine of \$1,000 for each day the violation exists in addition to civil penalties or up to 6 months imprisonment or both a fine and imprisonment. Provides that the Department of Public Health may impose administrative civil penalties for violations of the Act by any person and that the State's Attorney of the county in which the violation occurred or the Attorney General shall bring an action for collection. Makes changes in provisions concerning the applicability of the Act. Makes changes in provisions concerning the adoption of ordinances. Sets forth provisions concerning the suspension and modification of prequalifications and licenses. Provides that any person seeking to perform construction, installation, modification, or repair of a swimming facility must be licensed by the Department of Public Health. Makes other changes. Effective January 1, 2013.

LRB097 14566 DRJ 65183 b

CORRECTIONAL
BUDGET AND
IMPACT NOTE ACT
MAY APPLY

FISCAL NOTE ACT MAY APPLY

1 AN ACT concerning health regulation.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- 4 Section 5. The Swimming Facility Act is amended by changing
- 5 Sections 2, 3, 3.01, 3.02, 3.05, 3.10, 3.12, 3.13, 4, 5, 6, 8,
- 6 9, 11, 13, 14, 15.1, 17, 20, 21, 22, 23, and 27 and by adding
- 7 Sections 3.14, 3.15, 3.16, 3.17, 3.18, 3.19, 3.20, 3.21, 5.1,
- 8 5.2, 8.1, 8.2, 8.3, 22.2, 30, 31, and 32 as follows:
- 9 (210 ILCS 125/2) (from Ch. 111 1/2, par. 1202)
- 10 Sec. 2. Legislative purpose. It is found that there exists,
- and may in the future exist, within the State of Illinois
- 12 public swimming facilities, including swimming pools, spas,
- 13 water slides, public bathing beaches, and other swimming
- 14 facilities, which are substandard in one or more important
- 15 features of safety, cleanliness or sanitation. Such conditions
- adversely affect the public health, safety and general welfare
- of persons.
- Therefore, the purpose of this Act is to protect, promote
- and preserve the public health, safety and general welfare by
- 20 providing for the establishment and enforcement of minimum
- 21 standards for safety, cleanliness and general sanitation for
- 22 all swimming facilities, including swimming pools, spas, water
- 23 slides, public bathing beaches, and other aquatic features now

- in existence or hereafter constructed, developed, or altered,
- 2 and to provide for inspection and licensing of all such
- 3 facilities.
- 4 (Source: P.A. 96-1081, eff. 7-16-10.)
- 5 (210 ILCS 125/3) (from Ch. 111 1/2, par. 1203)
- 6 Sec. 3. Definitions. As used in this Act, unless the
- 7 context otherwise requires, the terms specified in Sections
- 8 3.01 through 3.21 3.13 have the meanings ascribed to them in
- 9 those Sections.
- 10 (Source: P.A. 96-1081, eff. 7-16-10.)
- 11 (210 ILCS 125/3.01) (from Ch. 111 1/2, par. 1203.01)
- 12 Sec. 3.01. Swimming pool. "Swimming Pool" means any
- 13 artificial basin of water which is modified, improved,
- 14 constructed or installed for the purpose of public swimming,
- wading, floating, or diving, and includes: pools for community
- 16 use, pools at apartments, condominiums, and other groups or
- 17 associations having 5 or more living units, clubs, churches,
- camps, schools, institutions, Y.M.C.A.'s, Y.W.C.A.'s, parks,
- 19 recreational areas, motels, hotels, health clubs, golf and
- 20 country clubs, and other commercial establishments. It does not
- 21 include pools at private <u>single-family</u> residences intended
- only for the use of the owner and guests.
- 23 (Source: P.A. 92-18, eff. 6-28-01.)

- 1 (210 ILCS 125/3.02) (from Ch. 111 1/2, par. 1203.02)
- Sec. 3.02. "Public Bathing Beach" means any body of water,
- 3 except as defined in Section 3.01, or that portion thereof used
- 4 for the purpose of public swimming or recreational bathing, and
- 5 includes beaches at: apartments, condominiums, subdivisions,
- 6 and other groups or associations having 5 or more living units,
- 7 clubs, churches, camps, schools, institutions, parks,
- 8 recreational areas, motels, hotels and other commercial
- 9 establishments. It includes shores, equipments, buildings and
- 10 appurtenances pertaining to such areas. It does not include
- 11 bathing beaches at private residences intended only for the use
- of the owner and guests.
- 13 (Source: P.A. 78-1149.)
- 14 (210 ILCS 125/3.05) (from Ch. 111 1/2, par. 1203.05)
- 15 Sec. 3.05. "Person" means any individual, group of
- 16 individuals, association, trust, partnership, limited
- 17 liability company, corporation, person doing business under an
- 18 assumed name, county, municipality, the State of Illinois, or
- any political subdivision or department thereof, or any other
- 20 entity.
- 21 (Source: P.A. 78-1149.)
- 22 (210 ILCS 125/3.10)
- Sec. 3.10. Spa. "Spa" means a basin of water designed for
- 24 recreational or therapeutic use that is not drained, cleaned,

- or refilled for each user. It may include hydrojet circulation,
- 2 hot water, cold water mineral bath, air induction bubbles, or
- 3 some combination thereof. It includes "therapeutic pools",
- 4 "hydrotherapy pools", "whirlpools", "cold spas", "hot spas",
- 5 and "hot tubs". It does not include these facilities at
- 6 individual <u>single-family</u> residences intended for use by the
- 7 occupant and his or her guests.
- 8 (Source: P.A. 92-18, eff. 6-28-01.)
- 9 (210 ILCS 125/3.12)
- 10 Sec. 3.12. Swimming facility. "Swimming Facility" means a
- swimming pool, spa, public bathing beach, water slide, lazy
- 12 river, spray pool, or other aquatic feature and its
- appurtenances, singular or aggregated together, that exists
- 14 for the purpose of providing recreation or therapeutic services
- 15 to the public. It does not include isolation or flotation
- 16 tanks.
- 17 (Source: P.A. 96-1081, eff. 7-16-10.)
- 18 (210 ILCS 125/3.13)
- 19 Sec. 3.13. Spray pool. "Spray pool" means an aquatic
- 20 feature recreational facility that is not a swimming pool and
- 21 that has structures or fittings for spraying, dumping, or
- 22 shooting water. The term does not include features facilities
- 23 having as a source of water a public water supply that is
- 24 regulated by the Illinois Environmental Protection Agency or

- 1 the Illinois Department of Public Health and that has no
- 2 capacity to recycle water.
- 3 (Source: P.A. 96-1081, eff. 7-16-10.)
- 4 (210 ILCS 125/3.14 new)
- 5 <u>Sec. 3.14. Prequalified architect or professional</u>
- 6 engineer. "Prequalified architect" or "prequalified
- 7 professional engineer" means an individual who is prequalified
- 8 by the Department and is responsible for coordinating the
- 9 design, planning, and creation of specifications for swimming
- 10 facilities and for applying for a permit for construction or
- 11 major alteration.
- 12 (210 ILCS 125/3.15 new)
- 13 Sec. 3.15. Licensed swimming facility contractor.
- 14 "Licensed swimming facility contractor" means an individual
- who is licensed by the Department to perform the construction,
- installation, modification, or repair of a swimming facility
- and its appurtenances.
- 18 (210 ILCS 125/3.16 new)
- 19 Sec. 3.16. Aquatic feature. "Aquatic feature" means any
- swimming facility other than a swimming pool or spa or bathing
- 21 beach, including, but not limited to, a lazy river, water
- slide, spray pool, or other swimming facility.

- 1 (210 ILCS 125/3.17 new)
- Sec. 3.17. Lapsed fee. "Lapsed fee" means the amount
- 3 charged to a licensee for failing to renew a swimming facility
- 4 license within one year after the expiration of the license.
- 5 This fee is in addition to any other fees associated with
- 6 <u>renewal of a swimming facility license.</u>
- 7 (210 ILCS 125/3.18 new)
- 8 Sec. 3.18. Living unit. "Living unit" means a home, mobile
- 9 home, duplex unit, apartment unit, condominium unit, or any
- 10 dwelling unit in a multi-unit residential structure or a
- 11 campground lot.
- 12 (210 ILCS 125/3.19 new)
- Sec. 3.19. Major alteration. "Major alteration" means any
- 14 change to a swimming facility or its aquatic features or
- 15 appurtenances that alters the facility's functionality or
- 16 as-built or as-permitted condition. It does not include
- 17 maintenance or minor repair or the replacement of equipment
- with exactly matching components.
- 19 (210 ILCS 125/3.20 new)
- Sec. 3.20. Subsequent inspection. "Subsequent inspection"
- 21 means any inspection made by the Department or its agents for
- 22 purposes of annual renewals, responding to a substantiated
- complaint, complying with a request by the licensee or its

- 1 agent, or ensuring compliance with an order of the Department.
- 2 The term does not include initial inspections relating to
- 3 permitted construction, interim compliance inspections, or
- 4 Department inspections in a case in which no violations are
- 5 found.
- 6 (210 ILCS 125/3.21 new)
- 7 <u>Sec. 3.21. Initial review. "Initial review" means the</u>
- 8 first review of any submittal made by an applicant for a permit
- 9 for construction or major alteration, as provided for in
- 10 Section 5 of this Act.
- 11 (210 ILCS 125/4) (from Ch. 111 1/2, par. 1204)
- 12 Sec. 4. License to operate. After May 1, 2002, it shall be
- 13 unlawful for any person to open, establish, maintain or operate
- 14 a swimming facility within this State without first obtaining a
- 15 license therefor from the Department. Applications for
- original licenses shall be made on forms furnished by the
- 17 Department. Each application to the Department shall be signed
- 18 by the applicant and accompanied by an affidavit of the
- 19 applicant as to the truth of the application and, except in the
- 20 case of an application by an organization incorporated under
- 21 the General Not for Profit Corporation Act, as amended, by the
- 22 payment of a license application fee of \$50. License fees are
- 23 not refundable. Each application shall contain: the name and
- 24 address of the applicant, or names and addresses of the

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partners if the applicant is a partnership, or the name and 1 2 addresses of the officers if the applicant is a corporation or the names and addresses of all persons having an interest 3 therein if the applicant is group of individuals, а or trust; and the location of the swimming 5 association, 6 facility. A license shall be valid only in the possession of 7 the person to whom it is issued and shall not be the subject of 8 assignment, or other transfer, voluntary, sale, 9 involuntary, nor shall the license be valid for any premises 10 other than those for which originally issued. Upon receipt of 11 an application for an original license the Department shall 12 inspect such swimming facility to insure compliance with this 13 Act.

14 (Source: P.A. 96-1081, eff. 7-16-10.)

15 (210 ILCS 125/5) (from Ch. 111 1/2, par. 1205)

Sec. 5. Permit for construction or major alteration. No swimming facility shall be constructed, developed, installed, or altered in a major manner until plans, specifications, and other information relative to such swimming facility and appurtenant facilities as may be requested on forms provided by the Department are submitted to and reviewed by the Department and found to comply with minimum sanitary and safety requirements and design criteria, and until a permit for the construction or development is issued by the Department. Permits are valid for a period of one year from date of issue.

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They may be reissued upon application to the Department and payment of the permit fee as provided in this Act.

The fee to be paid by an applicant, other than an organization incorporated under the General Not for Profit Corporation Act, as now or hereafter amended, for a permit for construction, development, major alteration, or installation of each swimming facility shall be in accordance with Sections 8.1, 8.2, and 8.3 of this Act and is \$50, which shall accompany such application. Permit applications shall be made by an architect or professional engineer prequalified in accordance with Section 30 of this Act. An application must include the sealed technical submissions of the prequalified architect or prequalified professional engineer responsible for the application.

- 15 (Source: P.A. 96-1081, eff. 7-16-10.)
- 16 (210 ILCS 125/5.1 new)

17 Sec. 5.1. Permit applications; certification. Permit applications shall be made by a prequalified architect or 18 prequalified professional engineer on forms provided by the 19 20 Department. An application must bear the signature of the 21 prequalified architect or prequalified professional engineer 22 responsible for the application, certifying that the 23 application meets the requirements of the rules, standards, and 24 applicable codes. Applications submitted prior to the adoption 25 of rules to implement the provisions of this amendatory Act of

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the 97th General Assembly by the Department shall not require

the certification of a prequalified architect or prequalified

professional engineer. The requirements for permit

applications and plan certification by a prequalified

architect or prequalified professional engineer shall take

effect upon implementation of rules for the prequalification of

architects or professional engineers by the Department.

8 (210 ILCS 125/5.2 new)

Sec. 5.2. Plan resubmittal. If a permit application fails to qualify for a permit for construction or major alteration after review by the Department, it must be supplemented, within 30 days, by a plan resubmittal. If a plan resubmittal is not submitted, the application for a permit shall be deemed null and void. A plan resubmittal shall include, but need not be limited to, revised plans, specifications, and other required documentation sufficient to correct deficiencies in the application and demonstrate compliance with the rules. A plan resubmittal shall be submitted to the Department by a prequalified architect or prequalified professional engineer and shall be accompanied by a fee in accordance with Sections 8.1, 8.2, and 8.3 of this Act. Only one plan resubmittal may be submitted within a 60-day period. The requirements for plan resubmittals by a prequalified architect or prequalified professional engineer shall take effect upon adoption of rules to implement Section 30 of this Act.

1 (210 ILCS 125/6) (from Ch. 111 1/2, par. 1206)

Sec. 6. License renewal. Applications and fees for renewal 2 3 of the license shall be made in writing by the holder of the 4 license, on forms furnished by the Department, and, except in 5 the case of an application by an organization incorporated 6 under the General Not for Profit Corporation Act, as now or 7 hereafter amended, shall be accompanied by a application fee in accordance with Sections 8.1, 8.2, and 8.3 8 9 of this Act of \$50, which shall not be refundable, and shall 10 contain any change in the information submitted since the 11 original license was issued or the latest renewal granted. In addition to any other fees required under this Act, a late fee 12 in accordance with Sections 8.1, 8.2, and 8.3 of this Act of 13 14 \$20 shall be charged when any renewal application is received 15 by the Department after the license has expired; however, 16 educational institutions and units of State or local government shall not be required to pay late fees. If, after inspection, 17 the Department is satisfied that the swimming facility is in 18 19 substantial compliance with the provisions of this Act and the rules and regulations issued thereunder, the Department shall 20 21 issue the renewal license.

- 22 (Source: P.A. 96-1081, eff. 7-16-10.)
- 23 (210 ILCS 125/8) (from Ch. 111 1/2, par. 1208)
- 24 Sec. 8. Payment of fees; display of licenses. All fees and

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penalties generated under the authority of this Act shall be deposited into the Facility Licensing Fund and, subject to appropriation, shall be used by the Department in the administration of this Act. All fees and penalties shall be submitted in the form of a check or money order, or by other means authorized by the Department. All licenses provided for in this Act shall be displayed in a conspicuous place for public view, within or on such premises. In case of revocation or suspension, the <u>licensee</u> owner or operator or both shall cause the license to be removed and to post the notice of revocation or suspension issued by the Department. Fees for a permit for construction or major alteration, an original license, and a plan resubmittal shall be determined by the total water surface area of the swimming facility, except that special features and bathing beaches shall be charged a fixed fee regardless of water surface area. License renewal fees shall be determined by the total water surface area of the swimming facility, except that special features and bathing beaches shall be charged a fixed fee regardless of water surface area. Late renewal, lapsed, initial inspection, and subsequent inspection fees shall be fixed fees regardless of water surface area. Fees shall be determined in accordance with the ownership designation of the swimming facility at the time of

26 (Source: P.A. 96-1081, eff. 7-16-10.)

application.

1	(210 ILCS 125	5/8.1 new)					
2	Sec. 8.1. Fee schedule for all licensees except certain						
3	tax-exempt orga	nizations, gov	vernmental units	, and public			
4	elementary and s	secondary schoo	ls. The fee sc	hedule for all			
5	licensees, excep	ot those specif	fically identified	ed in Sections			
6	8.2 and 8.3 of th	is Act, shall be	e as follows:				
7	Water Surface	Construction	<u>Major</u>	<u>Plan</u>			
8	Area or Other	Permit Fee	Alteration Fee	<u>Resubmittal</u>			
9	<u>Feature</u>			<u>Fee</u>			
10	<u>0-500 sq ft</u>	\$625	<u>\$310</u>	\$200			
11	501-1,000 sq ft	\$1,250	<u>\$625</u>	\$200			
12	<u>1,001-2,000 sq</u>	\$1,500	<u>\$750</u>	\$200			
13	<u>ft</u>						
14	2,001 sq ft and	<u>\$1,950</u>	<u>\$975</u>	\$200			
15	<u>up</u>						
16	Aquatic Feature	<u>\$625</u>	<u>\$310</u>	\$200			
17	Bathing Beach	<u>\$625</u>	<u>\$310</u>	\$200			
18	Water Surface Are	ea or Other	Original License	and License			
19	<u>Feature</u>	<u>.</u>	Renewal Fee				
20	<u>0-500 sq ft</u>		\$150				
21	501-1,000 sq ft		\$300				
22							

\$500

23 <u>2,001 sq ft and up</u>

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1	Aquatic Feature		\$150			
2	Bathing Beach		\$150			
3	Late Renewal Fee		\$100			
4	Lapsed Fee		\$150			
5	Inspections		<u>Fee</u>			
6	Initial Inspection	<u>1</u>	\$150			
7	Subsequent Inspect	<u>cion</u>	<u>\$75</u>			
8	All fees set	forth in this	s Sectio	n shall	be charge	ed on a
9	per-swimming-facil	lity or per	-aquatic	-feature	basis,	unless
10	otherwise noted.					
11	(210 ILCS 125/	8.2 new)				
12	Sec. 8.2.	Fee schedu	ile fo	r certa	in tax	-exempt
13	organizations. Th	e fee schedu	ale for	a licen	see that	is an
14	organization recog	gnized by the	United	States I	nternal	Revenue
15	Service as tax-exe	empt under Tit	le 26 of	the Unit	ted State	s Code,
16	<u>Section 501(c)(3)</u>	shall be as f	ollows:			
17	Water Surface	Construction	Major A	lteration	<u>Plan</u>	
18	Area or Other	<u>Permit Fee</u>	<u>Fee</u>		Resubmi	ttal
19	<u>Feature</u>				<u>Fee</u>	
20	<u>0-500 sq ft</u>	\$150	\$50		\$200	
21	501-1,000 sq ft	\$150	<u>\$50</u>		\$200	
22	1,001-2,000 sq ft	<u>\$150</u>	<u>\$50</u>		\$200	

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1	2,001 sq ft and	<u>\$150</u>		\$200		\$20	<u>0</u>	
2	<u>up</u>							
3	Aquatic Feature	\$600		\$300		\$20	0	
4	Bathing Beach	\$150		\$50		\$20	<u>0</u>	
5	Water Surface Area	or Other		<u>Origina</u>	l Licen	se and	Lice	nse
6	<u>Feature</u>			Renewal	Fee			
7	<u>0-500 sq ft</u>			\$0				
8	501-1,000 sq ft			\$0				
9	1,001-2,000 sq ft			<u>\$0</u>				
10	2,001 sq ft and up			<u>\$0</u>				
11	Aquatic Feature			<u>\$75</u>				
12	Bathing Beach			<u>\$75</u>				
13	Late Renewal Fee			<u>\$50</u>				
14	Lapsed Fee			<u>\$75</u>				
15	<u>Inspections</u>			<u>Fee</u>				
16	Initial Inspection			<u>\$0</u>				
17	Subsequent Inspect	ion		\$100				
18	All fees set	forth in	this	S Section	n shall	be ch	arge	ed on a
19	per-swimming-facil	ity or per	r-aq	uatic-f	eature l	oasis.		
20	(210 ILCS 125/	8.3 new)						
21	<u>Sec. 8.3. Fee</u>	e schedule	for	<u>certai</u>	n gover	nmental	uni	its and
22	schools. The fee	schedule	for	a lice	ensee th	nat is	a u	nit of

1 State or local government or a public elementary or secondary

3	Water Surface	Construction	Major Alteration	<u>Plan</u>
4	Area or Other	Permit Fee	Permit Fee	Resubmittal
5	<u>Feature</u>			<u>Fee</u>
6	<u>0-500 sq ft</u>	<u>\$0</u>	<u>\$0</u>	<u>\$200</u>
7	501-1,000 sq ft	<u>\$0</u>	<u>\$0</u>	<u>\$200</u>
8	1,001-2,000 sq ft	<u>\$0</u>	<u>\$0</u>	<u>\$200</u>
9	2,001 sq ft and	<u>\$0</u>	<u>\$0</u>	<u>\$200</u>
10	<u>up</u>			
11	Aquatic Feature	<u>\$600</u>	\$300	\$200
12	Bathing Beach	<u>\$0</u>	<u>\$0</u>	\$200
13	Water Surface Area	a or Other	Original License	and License
14	<u>Feature</u>		Renewal Fee	
15	<u>0-500 sq ft</u>		<u>\$0</u>	
16	501-1,000 sq ft		<u>\$0</u>	
17	1,001-2,000 sq ft		<u>\$0</u>	
18	2,001 sq ft and up	<u> </u>	<u>\$0</u>	
19	Special Feature		<u>\$0</u>	
20	Bathing Beach		<u>\$0</u>	
21	Late Renewal Fee		\$50	
22	Lapsed Fee		<u>\$75</u>	
23	Inspections		<u>Fee</u>	

- 1 <u>Initial Inspection</u> <u>\$0</u>
- 2 <u>Subsequent Inspection</u> \$100
- Construction permit fees and major alteration permit fees 3 4 set forth in this Section shall be due only if the Department 5 produces an initial review within 60 days after receipt of the application. Aquatic feature construction permit and major 6 7 alteration permit fees shall be charged at the rates set forth in this Section per feature when the number of such features is 8 9 greater than one. All other fees set forth in this Section shall be charged on a per-swimming-facility 10 11 per-aquatic-feature basis.
- 12 (210 ILCS 125/9) (from Ch. 111 1/2, par. 1209)
- 13 9. Inspections. Subject to constitutional 14 limitations, the Department, by its representatives, after 15 proper identification, is authorized and shall have the power 16 to enter at reasonable times upon private or public property for the purpose of inspecting and investigating conditions 17 18 relating to the enforcement of this Act and regulations issued 19 hereunder. Written notice of all violations shall be given to each person against whom a violation is alleged the owners, 20 operators and licensees of swimming facilities. 21
- 22 (Source: P.A. 92-18, eff. 6-28-01.)
- 23 (210 ILCS 125/11) (from Ch. 111 1/2, par. 1211)

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Sec. 11. Department's agents. The Department may designate certified local health departments as its agents for purposes of carrying out this Act. An agent so designated may charge fees, as prescribed by this Act, for costs associated with enforcing this Act. When the Department and its agent perform initial or subsequent inspections of the same swimming facility, the fee for the inspection shall be paid to the agent, except that in cases in which one or more violations are found or in which the Department is assisting the agent in the enforcement of an order, the Department may require a fee to be paid both to the Department and to the agent full-time Municipal, District, County or multiple-County Health -making its agents Departments in investigations.

15 (Source: P.A. 78-1149.)

16 (210 ILCS 125/13) (from Ch. 111 1/2, par. 1213)

Sec. 13. Rules. The Department shall promulgate, publish, adopt and amend such rules as may be necessary for the proper enforcement of this Act, to protect the health and safety of the public using swimming facilities such pools and beaches, spas, and their appurtenances, and may, when necessary, utilize the services of any other state agencies to assist in carrying out the purposes of this Act. These rules shall include but are not limited to design criteria for swimming facility areas and bather preparation facilities, standards

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relating to sanitation, cleanliness, plumbing, water supply, sewage and solid waste disposal, design and construction of all equipment, buildings, rodent and insect control, communicable disease control, safety and sanitation of appurtenant swimming facilities. The rules must include provisions for the prevention of bather entrapment or entanglement at new and existing swimming facilities. Bather preparation facilities consisting of dressing room space, toilets and showers shall be available for use of patrons of swimming facilities, except as provided by Department rules.

12 (210 ILCS 125/14) (from Ch. 111 1/2, par. 1214)

(Source: P.A. 96-1081, eff. 7-16-10.)

- Sec. 14. <u>Violations; notice.</u> Whenever the Department determines that there are reasonable grounds to believe that there has been <u>a</u> violation of any provision of this Act or the rules issued hereunder, the Department shall give notice of such alleged violation to the person <u>against whom the violation</u> is alleged to whom the license was issued, as herein provided. Such notice shall:
- 20 (a) be in writing;
- 21 (b) include <u>a reference to the particular Sections of</u>
 22 <u>the Act or rules involved</u> a statement of the reasons for
 23 <u>the issuance of the notice;</u>
 - (c) <u>include a short and plain statement of the matters</u> asserted, the consequences of a failure to respond, and the

official file or other reference number (blank); and

- (d) be served personally or by certified or registered mail or as otherwise provided by law upon the person against whom the violation is alleged or his or her agent. be served upon the owner, operator or licensee as the case may require; provided that such notice or order shall be deemed to have been properly served upon such owner, operator or licensee when a copy thereof has been sent by registered or certified mail to his last known address as furnished to the Department; or, when he has been served with such notice by any other method authorized by the laws of this State;
- (e) (blank).
- 14 (Source: P.A. 96-1081, eff. 7-16-10.)
- 15 (210 ILCS 125/15.1)
- Sec. 15.1. Violations at facilities; penalties; plan of correction.
 - (a) If the Department finds violations at swimming facilities requiring licensure under this Act, the Department shall issue a written report or notice of the violations. In accordance with subsections (b), (c), and (d), each violation shall be categorized as either Type "A", Type "B", or Type "C".
 - (b) Type "A" Violation. The situation, condition, or practice constituting a Type "A" violation shall be abated or eliminated immediately. A Type "A" violation not corrected

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1	during an inspection or within another timeframe provided by
2	the Department, not to exceed 48 hours, shall become a Type "B"
3	violation. , unless a fixed period of time, not exceeding 10
4	days, as determined by the Department and specified in the
5	notice of violation or inspection report, is required for
6	correction.
7	Type "A" violations shall include, but not be limited to:
8	(1) Inoperable gauges or flowmeters.
9	(2) The failure to provide a warning sign as required
10	by rules The failure to maintain appropriate water quality
11	within 20% of standard.
12	(3) The failure to maintain required water quality
13	within standards set forth in Section 820.320 of Title 77
14	of the Illinois Administrative Code The failure to maintain
15	or provide operation reports.
16	(4) The failure to properly secure the pool area or the
17	equipment/storage area The failure to provide and maintain
18	necessary safety equipment prescribed by rule.
19	(5) The failure to conduct required water quality and
20	equipment testing and record results in daily operational
21	reports The failure to maintain cleanliness of the facility
22	(cracks, leaks, lint, dirt, and sediment).
23	(6) The failure to obey assigned bather load The

improper use of starting platforms.

(7)

The failure to properly display a

<u>Department-issued license</u> The failure to maintain

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equipment in proper work order (including, but not limited to, skimmers, pumps, and chlorinators), such that the public is not endangered.

- (8) The failure to post Patron Regulations and Bather Load signs.
- (c) Type "B" Violation. The situation, condition, or practice constituting a Type "B" violation shall be abated or eliminated immediately, unless a fixed period of time, not exceeding 10 days, as determined by the Department and specified in the notice of violation or inspection report, is required for correction. At the time of issuance of a notice of a Type "B" violation, the Department shall request a plan of correction that is subject to the Department's approval. The facility shall have 10 days after receipt of a notice of violation in which to prepare and submit a plan of correction. The Department may extend this period up to 30 days where correction involves substantial capital improvement. The plan shall include a fixed time period, not to exceed 90 days, within which violations are to be corrected. If the Department rejects a plan of correction, it shall send notice of the rejection and the reason for the rejection to the facility. The facility shall have 10 days after receipt of the notice of rejection in which to submit a modified plan. If the modified plan is not timely submitted, or if the modified plan is rejected, the facility shall follow an approved plan of correction imposed by the Department.

Type	"B"	violations	shall	include,	but	not	be	limited	to:
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- (1) The continued failure to conduct required water quality and equipment testing and record results in daily operational reports Ongoing repeat Type "A" violations not corrected in accordance with a notice or inspection report.
- (2) The failure to maintain cleanliness of the facility (such as allowing cracks, leaks, lint, dirt, sediment, and so forth) The failure to submit a Drowning and Injury Report within 24 hours.
- (3) The improper use of starting platforms The failure to provide a lifeguard or a warning sign as required by the rules.
- (4) The failure to maintain equipment (including, but not limited to, skimmers, pumps, and chlorinators) in proper work order, such that the public is not endangered The failure to maintain water quality in accordance with Section 820.320 of Title 77 of the Illinois Administrative Code, and in excess of that allowed for in a Type "A" violation.
- (5) The repeated failure to maintain water quality in accordance with Section 820.320 of Title 77 of the Illinois Administrative Code The failure to properly secure the pool area or the equipment/storage area.
- (6) Ongoing repeat Type "A" violations not corrected in accordance with a notice or inspection report The failure to maintain any operational reports.

1	(7) The failure to submit a Drowning and Injury Report
2	within 24 hours The failure to obey assigned bather load.
3	(8) The failure to properly display a
4	Department-issued license.
5	(d) Type "C" Violation. Type "C" violations include those
6	violations that may lead to serious injury or death of patrons,
7	employees, or the general public. Upon finding a Type "C"
8	violation at a facility, the Department shall immediately take
9	such actions as necessary to protect public health, including $_{m L}$
10	but not limited to, ordering the immediate closure of \underline{a} the
11	facility, ordering the abatement of conditions deemed
12	dangerous by the Department, or ordering the cessation of any
13	practice deemed dangerous or improper by the Department.
14	Type "C" violations shall include, but not be limited to:
15	(1) The failure to obtain a license prior to operating.
16	(2) The failure to construct the <u>swimming facility</u> pool
17	in accordance with the Department-issued permit to
18	construct.
19	(3) The failure to secure a permit to alter the
20	swimming facility pool.
21	(4) The failure to close the swimming facility $\frac{1}{1}$ pool in
22	accordance with the rules.
23	(5) The failure to obey any lawful order of the
24	Department.
25	(6) The failure to provide access to the <u>swimming</u>

facility by the Department or any duly appointed agent

1	thereof.
2	(7) The failure to post <u>and maintain</u> a
3	Department-issued closure order.
4	(8) Operating the swimming facility in a manner that
5	results in imminent danger to the public.
6	(9) Submitting fraudulent documentation to the
7	Department or a duly appointed agent thereof.
8	(10) The failure to comply with a Department-approved
9	or Department-imposed plan of correction.
10	(11) Making repairs or alterations in a manner not in
11	accordance with rules.
12	(12) The failure to provide a lifeguard as required by
13	rules.
14	(e) In determining whether a penalty is to be imposed and
15	in fixing the amount of the penalty to be imposed, if any, for
16	a violation, the Director shall consider the following factors:
17	(1) The gravity of the violation, including the
18	probability that death or serious physical harm to the
19	public will result or has resulted; the severity of the
20	actual or potential harm; and the extent to which the
21	provisions of the applicable statutes or regulations were
22	violated.
23	(2) The reasonable diligence exercised by the licensee
24	and efforts to correct violations.
25	(3) Any previous violations committed by the licensee.
26	(4) The financial benefit to the facility for

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committing or continuing the violation.

Type "A" violations shall carry no penalty provided they are corrected within the terms set forth by this Act and in accordance with the rules established under this Act. Type "B" violations $\underline{\text{shall}}$ $\underline{\text{may}}$ be assessed a penalty of \$25 per day for each day the violation exists. Type "C" violations shall may be assessed a penalty of \$100 per day for each day the violation exists, in addition to any other penalties provided for by law.

(f) Plan of correction. At the time of issuance of a notice of any violation, the Department shall request from the facility a plan of correction that is subject to the Department's approval. The facility shall have 10 days after receipt of a notice of violation in which to prepare and submit a plan of correction. The Department may extend this period up to 30 days if correction involves substantial capital improvement. The plan shall include a fixed time period, not to exceed 90 days, within which violations are to be corrected.

If the Department rejects a plan of correction, it shall send notice of the rejection and the reason for the rejection to the facility. The facility shall have 10 days after receipt of the notice of rejection in which to submit a modified plan. If the modified plan is not timely submitted, or if the modified plan is rejected, the facility shall follow an approved plan of correction imposed by the Department.

(Source: P.A. 96-1081, eff. 7-16-10.)

1 (210 ILCS 125/17) (from Ch. 111 1/2, par. 1217)

Sec. 17. <u>Subpoenas; witness fees.</u> The Director or Hearing Officer may compel by subpoena or subpoena duces tecum the attendance and testimony of witnesses and the production of records or documents either in electronic or paper form books and papers and administer oaths to witnesses. All subpoenas issued by the Director or Hearing Officer may be served as provided for in a civil action.

The fees of witnesses for attendance and travel shall be the same as the fees for witnesses before the circuit court and shall be paid by the party to such proceeding at whose request the subpoena is issued. If such subpoena is issued at the request of the Department, the witness fee shall be paid as an administrative expense.

In cases of refusal of a witness to attend or testify, or to produce books or papers, concerning any matter upon which he might be lawfully examined, the circuit court of the county where the hearing is held, upon application of any party to the proceeding, may compel obedience by proceeding as for contempt. (Source: P.A. 83-334.)

(210 ILCS 125/20) (from Ch. 111 1/2, par. 1220)

Sec. 20. <u>Judicial review</u>. The Department is not required to certify any record or file any answer or otherwise appear in any proceeding for judicial review unless <u>there is filed in the court with the complaint a receipt from the Department</u>

acknowledging payment of the costs of furnishing and certifying the record, which costs shall be computed at the rate of \$1 per page of such record the party filing the complaint deposits with the clerk of the court the sum of \$1 per page representing costs of such certification. Failure on the part of the plaintiff to make such deposit shall be grounds for dismissal of the action. The Department may charge \$0.25 per each 8.5" x 11" page, whether paper or electronic, for copies of records held by the Department pursuant to this Act. For documents larger than 8.5" x 11", actual copying charges plus \$0.25 per page shall apply.

12 (Source: P.A. 82-1057.)

13 (210 ILCS 125/21) (from Ch. 111 1/2, par. 1221)

Sec. 21. Closure of facility. Whenever the Department finds any violation of this Act or the rules promulgated under this Act, if the violation presents an emergency or risk to public health, the Department shall, without prior notice or hearing, issue a written notice, immediately order the owner, operator, or licensee to close the swimming facility and to prohibit any person from using such facilities. Notwithstanding any other provisions in this Act, such order shall be effective immediately.

The notice shall state the reasons prompting the closing of the facilities and a copy of the notice must be posted conspicuously at the pool or beach by the owner, operator or

- 1 licensee.
- 2 The Attorney General and the State's Attorney and Sheriff
- 3 of the county in which the swimming facility is located shall
- 4 enforce the closing order after receiving notice thereof.
- 5 Any owner, operator or licensee affected by such an order
- is entitled, upon written request to the Department, to a
- 7 hearing as provided in this Act.
- 8 When such violations are abated in the opinion of the
- 9 Department, the Department may authorize reopening the
- 10 swimming facility.
- 11 (Source: P.A. 96-1081, eff. 7-16-10.)
- 12 (210 ILCS 125/22) (from Ch. 111 1/2, par. 1222)
- 13 Sec. 22. Criminal penalties. Any person who violates this
- 14 Act or any rule or regulation adopted by the Department, or who
- violates any determination or order of the Department under
- this Act, shall be guilty of a Class A misdemeanor punishable
- by a fine of \$1,000 for each day the violation exists, in
- addition to civil penalties, or up to 6 months imprisonment, or
- 19 both a fine and imprisonment.
- 20 Each day's violation constitutes a separate offense. The
- 21 State's Attorney of the county in which the violation occurred,
- or the Attorney General shall bring such actions in the name of
- 23 the people of the State of Illinois, or may in addition to
- 24 other remedies provided in this Act, bring action for an
- 25 injunction to restrain such violation, or to enjoin the

- 1 operation of any such establishment.
- 2 (Source: P.A. 78-1149.)
- 3 (210 ILCS 125/22.2 new)
- Sec. 22.2. Civil enforcement. The Department may impose 4 5 administrative civil penalties for violations of this Act and the rules promulgated thereunder, pursuant to rules for such 6 penalties adopted by the Department. The State's Attorney of 7 8 the county in which the violation occurred, or the Attorney General, shall bring actions for collection of penalties 9 10 imposed under this Section in the name of the people of the 11 State of Illinois. The State's Attorney or Attorney General 12 may, in addition to other remedies provided in this Act, bring 13 an action (i) for an injunction to restrain the violation, (ii) to impose civil penalties (if no penalty has been imposed by 14 15 the Department), or (iii) to enjoin the operation of any such 16 person or establishment.
- (210 ILCS 125/23) (from Ch. 111 1/2, par. 1223) 17
- Sec. 23. Applicability of Act. Nothing in this Act shall be 18 construed to exclude the State of Illinois and Departments and 19 20 educational institutions thereof and units of local government 21 except that the provisions in this Act for fees or late fees for licenses and permits, and the provisions for fine and 22 23 imprisonment shall not apply to the State of Illinois, to 24 Departments and educational institutions thereof, or units of

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- 1 local government. This Act shall not apply to beaches operated
- 2 by units of local government located on Lake Michigan.
- 3 (Source: P.A. 96-1081, eff. 7-16-10.)
- 4 (210 ILCS 125/27) (from Ch. 111 1/2, par. 1227)

Sec. 27. Adoption of ordinances. Any unit of government having a <u>certified local</u> full time municipal, district, county or multiple county health department and which employs full time a physician licensed in Illinois to practice medicine in all its branches and a professional engineer, registered in Illinois, with a minimum of 2 years' experience in environmental health, may administer and enforce this Act by adopting an ordinance electing to administer and enforce this Act and adopting by reference the rules and regulations promulgated and amended from time to time by the Department under authority of this Act.

A unit of local government that so qualified and elects to administer and enforce this Act shall furnish the Department a copy of its ordinance and the names and qualifications of the employees required by this Act. The unit of local government ordinance shall then prevail in lieu of the state licensure fee and inspection program with the exception of Section 5 of this Act which provides for permits for construction or major alteration, and Sections 5.1, 5.2, 30, and 31, development and installation, which provisions shall continue to be administered by the Department. With the exception of permits

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as provided for in Section 5 of this Act, a unit of local government may collect fees, as prescribed in this Act, for administration of ordinances adopted pursuant to this Section. Units of local government shall require such State permits as provided in Section 5 prior to issuing licenses for swimming facilities constructed, developed, installed, or altered in a major manner in accordance with this Act after the effective date of this Act.

Not less than once each year the Department shall evaluate each unit of local government's licensing and inspection program to determine whether such program is being operated and enforced in accordance with this Act and the rules and regulations promulgated thereunder. If the Department finds, after investigation, that such program is not being enforced within the provisions of this Act or the rules and regulations promulgated thereunder, the Director shall give written notice of such findings to the unit of government. If the Department finds, not less than 30 days after of such given notice, that the program is not being conducted and enforced within the provisions of this Act or the rules and regulations promulgated thereunder, the Director shall give written notice to the unit of government that its authority to administer this Act is revoked. Any unit of government whose authority to administer this Act is revoked may request an administrative hearing as provided in this Act. If the unit of government fails to request a hearing within 15 days after receiving the notice or

- 1 if, after such hearing, the Director confirms the revocation,
- 2 all swimming facilities then operating under such unit of
- 3 government shall be immediately subject to the State licensure
- 4 fee and inspection program, until such time as the unit of
- 5 government is again authorized by the Department to administer
- 6 and enforce this Act.
- 7 (Source: P.A. 92-18, eff. 6-28-01.)
- 8 (210 ILCS 125/30 new)
- 9 <u>Sec. 30. Prequalified architect or prequalified</u>
- 10 professional engineer.
- 11 (a) Any person responsible for designing, planning, and
- 12 creating specifications for swimming facilities and for
- applying for a permit for construction or major alteration of a
- swimming facility must be an architect or professional engineer
- 15 pregualified by the Department. A pregualified architect or
- prequalified professional engineer must be registered and in
- 17 good standing with the Illinois Department of Financial and
- 18 Professional Regulation and must possess public swimming
- 19 facility design experience as determined by rules promulgated
- 20 <u>by the Department. Persons seeking prequalification pursuant</u>
- 21 to this Section shall apply for pregualification pursuant to
- rules adopted by the Department.
- 23 (b) In addition to any other power granted in this Act to
- 24 adopt rules, the Department may adopt rules relating to the
- 25 <u>issuance or renewal of the prequalification of</u> an architect or

1	professional	engineer	or	the	susp	ension	С	of t	the
2	prequalification	on of any	such	person	or	entity,	, in	cludi	ng,
3	without limitat	cion, an int	cerim	or emerg	ency	susper	sion	with	out
4	a hearing found	ded on any o	one or	more of	the	bases	set	forth	in
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5 this subsection.

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The bases for an interim or emergency suspension of the prequalification of an architect or professional engineer include, but are not limited to, the following:

- (1) A finding by the Department that the public interest, safety, or welfare requires a summary suspension of the prequalification without a hearing.
- (2) The occurrence of an event or series of events which, in the Department's opinion, warrants a summary suspension of the prequalification without a hearing. Such events include, without limitation: (i) the indictment of the holder of the prequalification by a State or federal agency or another branch of government for a crime; (ii) the suspension of a license or prequalification by another State agency or by a federal agency or another branch of government after a hearing; (iii) failure to comply with State law, including, without limitation, this Act and the rules promulgated thereunder; and (iv) submission of fraudulent documentation or the making of false statements to the Department.
- (c) If a prequalification is suspended by the Department without a hearing for any reason set forth in this Section or

- 1 in Section 10-65 of the Illinois Administrative Procedure Act,
- 2 the Department, within 30 days after the issuance of an order
- 3 of suspension of the prequalification, shall initiate a
- 4 proceeding for the suspension of or other action upon the
- 5 prequalification.
- 6 (d) An applicant for prequalification under this Section
- 7 must, at a minimum, be licensed in Illinois as a professional
- 8 engineer or architect in accordance with the Professional
- 9 Engineering Practice Act of 1989 or the Illinois Architecture
- 10 Practice Act of 1989.
- 11 (210 ILCS 125/31 new)
- 12 Sec. 31. Pregualified swimming facility contractor.
- 1.3 (a) Any person seeking to perform construction,
- installation, modification, or repair of a swimming facility 14
- 15 must be prequalified by the Department. A prequalified swimming
- 16 facility contractor must be registered and in good standing
- with the Secretary of State and possess public swimming 17
- 18 facility construction experience as determined by rules
- 19 promulgated by the Department. Persons seeking
- 20 prequalification pursuant to this Section shall apply for
- 21 prequalification pursuant to rules adopted by the Department.
- 22 (b) In addition to any other power granted in this Act to
- 23 adopt rules, the Department may adopt rules relating to the
- 24 issuance or renewal of the prequalification of a swimming
- <u>facility contractor or</u> the suspension of the prequalification 25

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- of any such person or entity, including, without limitation, an 1 2 interim or emergency suspension without a hearing founded on 3 any one or more of the bases set forth in this subsection.
 - The bases for an interim or emergency suspension of the prequalification of a swimming facility contractor include, but are not limited to, the following:
 - (1) A finding by the Department that the public interest, safety, or welfare requires a summary suspension of the prequalification without a hearing.
 - (2) The occurrence of an event or series of events which, in the Department's opinion, warrants a summary suspension of the prequalification without a hearing. Such events include, without limitation: (i) the indictment of the holder of the prequalification by a State or federal agency or an other branch of government for a crime; (ii) the suspension or modification of a license by another State agency or by a federal agency or another branch of government after a hearing; (iii) failure to comply with State law, including, without limitation, this Act and the rules promulgated thereunder; and (iv) submission of fraudulent documentation or the making of false statements to the Department.
 - (c) If a prequalification is suspended by the Department without a hearing for any reason set forth in this Section or in Section 10-65 of the Illinois Administrative Procedure Act, the Department, within 30 days after the issuance of an order

- 1 of suspension of the prequalification, shall initiate a
- 2 proceeding for the suspension of or other action upon the
- 3 prequalification.
- 4 (210 ILCS 125/32 new)
- 5 Sec. 32. Service animals. It is the duty of any swimming
- 6 facility licensed under this Act to allow the use of service
- 7 animals as defined and prescribed in 28 C.F.R. 35.104, 28
- 8 <u>C.F.R.</u> 35.136, 28 C.F.R. 35.139, 28 C.F.R. 36.104, 28 C.F.R.
- 9 208, and 28 C.F.R. 302(c), et. seq. if the service animal has
- 10 been trained to perform a specific task or work, in the water,
- and the use of such animal does not pose a direct threat to the
- 12 health and safety of the patrons of the facility or the
- 13 function or sanitary conditions of the facility. Any use of a
- 14 licensed swimming facility by an animal other than a service
- animal as authorized under this Section is prohibited.
- 16 Section 99. Effective date. This Act takes effect January
- 17 1, 2013.

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