

## 96TH GENERAL ASSEMBLY State of Illinois 2009 and 2010 SB3057

Introduced 2/8/2010, by Sen. Don Harmon

## SYNOPSIS AS INTRODUCED:

See Index

Amends the Swimming Facility Act. Changes the statement of purpose and changes and adds definitions. Changes and adds provisions regarding: licensing of a swimming facility; swimming facility contractor licenses; swimming facility designer certification; permits for construction, development, installation, or major alteration of swimming facilities; license renewal; fees; rules; violations; civil and criminal penalties; hearings and determinations; facility closure; applicability; and other matters. Makes other changes. Effective immediately.

LRB096 16294 KTG 31552 b

CORRECTIONAL
BUDGET AND
IMPACT NOTE ACT
MAY APPLY

FISCAL NOTE ACT MAY APPLY

1 AN ACT concerning regulation.

## Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- Section 5. The Swimming Facility Act is amended by changing Sections 2, 3, 3.12, 4, 5, 6, 7, 8, 9, 13, 14, 15, 16, 21, 22, and 23 and by adding Sections 3.13, 3.14, 3.15, 4.1, 4.2, 4.9, and 29 as follows:
- 8 (210 ILCS 125/2) (from Ch. 111 1/2, par. 1202)
  - Sec. 2. Legislative purpose. It is found that there exists, and may in the future exist, within the State of Illinois public swimming pools, spas, water slides, public bathing beaches, and other <u>swimming facilities</u> aquatic features which are substandard in one or more important features of safety, cleanliness or sanitation. Such conditions adversely affect the public health, safety and general welfare of persons.

Therefore, the purpose of this Act is to protect, promote and preserve the public health, safety and general welfare by providing for the establishment and enforcement of minimum standards for safety, cleanliness and general sanitation for all swimming pools, spas, water slides, public bathing beaches, and other aquatic features now in existence or hereafter constructed, developed, or altered and to provide for inspection and licensing of all such facilities.

SB3057

- 1 (Source: P.A. 92-18, eff. 6-28-01.)
- 2 (210 ILCS 125/3) (from Ch. 111 1/2, par. 1203)
- 3 Sec. 3. Definitions. As used in this Act, unless the
- 4 context otherwise requires, the terms specified in Sections
- 5 3.01 through 3.15  $\frac{3.12}{3.12}$  have the meanings ascribed to them in
- 6 those Sections.
- 7 (Source: P.A. 92-18, eff. 6-28-01.)
- 8 (210 ILCS 125/3.12)
- 9 Sec. 3.12. Swimming facility. "Swimming Facility" means a
- swimming pool, spa, public bathing beach, water slide, lazy
- 11 river, spray pool, or other <del>similar</del> aquatic feature that exists
- 12 for the purpose of providing recreation or therapeutic services
- 13 to the public. It does not include isolation or flotation
- 14 tanks.
- 15 (Source: P.A. 92-18, eff. 6-28-01.)
- 16 (210 ILCS 125/3.13 new)
- 17 Sec. 3.13. Spray pool. "Spray pool" means an aquatic
- 18 recreational facility that is not a swimming pool and that has
- 19 structures or fittings for spraying, dumping, or shooting
- 20 water. The term does not include facilities having as a source
- 21 of water a public water supply that is regulated by the
- 22 Illinois Environmental Protection Agency or the Illinois
- 23 Department of Public Health and that has no capacity to recycle

1 water.

- 2 (210 ILCS 125/3.14 new)
- 3 Sec. 3.14. Swimming facility design professional.
- 4 "Swimming facility design professional" means an individual
- 5 who is certified by the Department to design swimming
- 6 facilities or aspects thereof, as prescribed by rules
- 7 promulgated by the Department.
- 8 (210 ILCS 125/3.15 new)
- 9 Sec. 3.15. Swimming facility contractor. "Swimming
- 10 facility contractor" means a person licensed by the Department
- 11 to construct, install, modify, or repair swimming facilities.
- 12 (210 ILCS 125/4) (from Ch. 111 1/2, par. 1204)
- Sec. 4. License to operate. After May 1, 2002, it shall be
- unlawful for any person to open, establish, maintain, or
- operate a swimming facility pool, water slide, or bathing beach
- 16 within this State without first obtaining a license therefor
- 17 from the Department. Requirements for licensure, including
- 18 fees, requirements, and standards, shall be prescribed by rules
- 19 promulgated by the Department. After May 1, 2003, it shall be
- 20 unlawful for any person to open, establish, maintain, or
- 21 operate a spa within this State without first obtaining a
- 22 license from the Department. Licenses for swimming facilities
- 23 shall expire May 1, next following the swimming season for

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which the license was issued, except that an original license for a swimming facility issued after February 1 and before May 1 shall expire on May 1 of the following year. Licenses for indoor pools that expire December 1, 2001 shall be renewed for a \$75 fee for a license that will expire on May 1, 2003. Applications for original licenses shall be made on forms furnished by the Department. Each application to the Department shall be signed by the applicant and accompanied by affidavit of the applicant as to the truth of the application and, except in the case of an application by an organization incorporated under the General Not for Profit Corporation Act, as amended, by the payment of a license application fee of \$50. License fees are not refundable. Each application shall contain: the name and address of the applicant, or names and addresses of the partners if the applicant is a partnership, or the name and addresses of the officers if the applicant is a corporation or the names and addresses of all persons having an interest therein if the applicant is a group of individuals, association, or trust; and the location of the swimming facility. A license shall be valid only in the possession of the person to whom it is issued and shall not be the subject of sale, assignment, or other transfer, voluntary, involuntary, nor shall the license be valid for any premises other than those for which originally issued. Upon receipt of an application for an original license the Department shall inspect such swimming facility to insure compliance with this

- 1 Act.
- 2 (Source: P.A. 92-18, eff. 6-28-01.)
- 3 (210 ILCS 125/4.1 new)
- 4 Sec. 4.1. Swimming facility contractor license. No person
- 5 shall offer or perform construction, installation,
- 6 modification, or repair of a swimming facility unless that
- 7 individual or entity is licensed by the Department. Those
- 8 persons required to be licensed shall make application and pay
- 9 fees and meet minimum requirements as prescribed by rules
- 10 promulgated by the Department. A license shall expire annually
- 11 according to a schedule determined by the Department. When a
- 12 licensure examination is required, the application for
- 13 licensure shall be submitted to the Department at least 30 days
- prior to the date of the scheduled examination. The Department
- shall evaluate each application based on its minimum standards
- for licensure, promulgated as rules, and render a decision.
- 17 Such standards may include a requirement for the successful
- 18 completion of a course of training approved by the Department.
- 19 If the Department denies the application, the applicant may
- 20 appeal such decision pursuant to the provisions of the
- 21 Administrative Review Law.
- 22 (210 ILCS 125/4.2 new)
- Sec. 4.2. Swimming facility design professional
- 24 certification. No person shall offer or perform design of a

- 1 swimming facility unless that person is certified by the
- 2 Department. Those persons wishing to be certified shall make
- 3 application and pay fees and meet minimum standards prescribed
- 4 by rules promulgated by the Department.
- 5 (210 ILCS 125/4.9 new)
- 6 Sec. 4.9. Fee schedule. The Department shall provide by
- 7 rule a fee schedule for a permit to construct or alter a
- 8 <u>swimming facility in a major manner, for licensing and</u>
- 9 <u>licensure renewal of swimming facilities and swimming facility</u>
- 10 contractors, and for certification of swimming facility
- designers, and shall establish standards and procedures for
- 12 their licensure. The fees shall be deposited into the
- 13 Facilities Licensing Fund and used by the Department for the
- 14 costs of licensing and enforcement activities prescribed by
- this Act.
- 16 (210 ILCS 125/5) (from Ch. 111 1/2, par. 1205)
- 17 Sec. 5. Permit for construction or major alteration. No
- swimming facility shall be constructed, developed, installed,
- or altered in a major manner until plans, specifications, and
- 20 other information relative to such swimming facility and
- 21 appurtenant facilities as may be requested by the Department
- 22 are submitted to and reviewed by the Department and found to
- comply with minimum sanitary and safety requirements and design
- 24 criteria, and until a permit for the construction or

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development is issued by the Department. Construction permits 1 for spas are not required until January 1, 2003. Permits are valid for a period of one year from date of issue. They may be reissued upon application to the Department and payment of the permit fee as prescribed by rules promulgated by the Department. An application for a permit shall be made by a 7 swimming facility design professional who is certified by the Department. The swimming facility design professional shall ensure that the application and accompanying plans, specifications, and certifications conform to standards established by the Department provided in this Act.

The fee to be paid by an applicant, other than an organization incorporated under the General Not for Profit Corporation Act, as now or hereafter amended, for a permit for construction, development, major alteration, or installation of each swimming facility shall be as prescribed by rules promulgated by the Department is \$50, which shall accompany such application. If more than one submission of plans to the Department is required prior to issuance of a permit, each submission shall be accompanied by a resubmittal fee and required documents as prescribed by rules promulgated by the Department.

- 23 (Source: P.A. 92-18, eff. 6-28-01.)
- 24 (210 ILCS 125/6) (from Ch. 111 1/2, par. 1206)
- 25 Sec. 6. License renewal. Applications and fees for renewal

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of the license shall be made as prescribed by rules promulgated by the Department. in writing by the holder of the license, on forms furnished by the Department and, except in the case of an application by an organization incorporated under the General Not for Profit Corporation Act, as now or hereafter amended, shall be accompanied by a license application fee of \$50, which shall not be refundable, and shall contain any change in the information submitted since the original license was issued or the latest renewal granted. In addition to any other fees required under this Act, a late fee of \$20 shall be charged when any renewal application is received by the Department after the license has expired; however, educational institutions and units of State or local government shall be required to pay late fees. If, after inspection, the Department is satisfied that the swimming facility is in substantial compliance with the provisions of this Act and the rules and regulations issued thereunder, the Department shall issue the renewal license.

(Source: P.A. 92-18, eff. 6-28-01.) 19

20 (210 ILCS 125/7) (from Ch. 111 1/2, par. 1207)

> Sec. 7. Conditional license. If the Department finds that the facilities of any swimming facility for which a license is sought are not in compliance with the provisions of this Act and the rules and regulations of the Department relating thereto, but may operate without undue prejudice to the public,

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the Department may issue a conditional license setting forth 1 2 the conditions on which the license is issued, the manner in 3 which the swimming facility fails to comply with the Act and such rules and regulations, and shall set forth the time, not 5 to exceed 3 years, within which the applicant must make any 6 changes or corrections necessary to fully comply with this Act 7 and the rules and regulations of the Department relating thereto. No more than 3 such consecutive annual conditional 8 9 licenses may be issued.

10 (Source: P.A. 92-18, eff. 6-28-01.)

11 (210 ILCS 125/8) (from Ch. 111 1/2, par. 1208)

Sec. 8. Payment of fees; display of licenses. All fees and penalties generated under the authority of this Act shall be deposited into the Facility Licensing Fund and, subject to appropriation, shall be used by the Department in the administration of this Act. All fees and penalties shall be submitted in the form of a check or money order or by other means authorized by the Department. All licenses provided for in this Act shall be displayed in a conspicuous place for public view, within or on such premises. In case of revocation or suspension, the owner or operator or both shall cause the license to be removed and to post the notice of revocation or suspension issued by the Department.

24 (Source: P.A. 92-18, eff. 6-28-01.)

- 1 (210 ILCS 125/9) (from Ch. 111 1/2, par. 1209)
- 2 Sec. 9. Inspections. Subject to constitutional
- 3 limitations, the Department, by its representatives, after
- 4 proper identification, is authorized and shall have the power
- 5 to enter at reasonable times upon private or public property
- 6 for the purpose of inspecting and investigating conditions
- 7 relating to the enforcement of this Act and <u>rules</u> <del>regulations</del>
- 8 issued hereunder. Written notice of all violations shall be
- 9 given to the owners, operators and licensees of swimming
- 10 facilities.
- 11 (Source: P.A. 92-18, eff. 6-28-01.)
- 12 (210 ILCS 125/13) (from Ch. 111 1/2, par. 1213)
- 13 Sec. 13. Rules. The Department shall promulgate, publish,
- adopt and amend such rules and regulations as may be necessary
- for the proper enforcement of this Act, to protect the health
- and safety of the public using such pools and beaches, spas,
- and other appurtenances, and may, when necessary, utilize the
- 18 services of any other state agencies to assist in carrying out
- 19 the purposes of this Act. These rules <del>regulations</del> shall include
- 20 but are not limited to establishing fees, design criteria for
- 21 swimming facility areas and bather preparation facilities,
- 22 standards relating to sanitation, cleanliness, plumbing, water
- 23 supply, sewage and solid waste disposal, design and
- 24 construction of all equipment, buildings, rodent and insect
- control, communicable disease control, safety and sanitation

of appurtenant swimming facilities. The rules regulations must 1 include provisions for the prevention of bather entrapment or 2 3 entanglement at new and existing swimming facilities. The rules shall establish standards for certification of swimming 4 5 facility design professionals and licensing swimming facility The Department may adopt less stringent 6 contractors. 7 requirements for spas existing prior to January 1, 2003 than 8 for new spas, provided minimum safety features, including provisions to protect against bather entrapment, are provided. 9 10 Bather preparation facilities consisting of dressing room 11 space, toilets, and showers shall be available for use of 12 patrons of swimming facilities, except as provided by Department rules regulations. 13

15 (210 ILCS 125/14) (from Ch. 111 1/2, par. 1214)

(Source: P.A. 92-18, eff. 6-28-01.)

16 Sec. 14.

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- Department determines 17 Whenever the that there 18 reasonable grounds to believe that there has been violation of 19 any provision of this Act or the rules and regulations issued hereunder, the Department shall give notice of such alleged 20 21 violation to the person to whom the license was issued, as 22 herein provided. Such notice shall:
- 23 (a) be in writing;
- 24 (b) include a statement of the reasons for the issuance of the notice;

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- (c) (blank) allow reasonable time as determined by the Department for the performance of any act it requires; and
- (d) be served upon the owner, operator or licensee as the case may require; provided that such notice or order shall be deemed to have been properly served upon such owner, operator or licensee when a copy thereof has been sent by registered or certified mail to his last known address as furnished to the Department; or, when he has been served with such notice by any other method authorized by the laws of this State. +
- (e) (Blank) contain an outline of remedial action, which, if taken, will be required to effect compliance with the provisions of this Act and the rules and regulations issued hereunder.
- 14 (Source: P.A. 78-1149.)
- 15 (210 ILCS 125/15) (from Ch. 111 1/2, par. 1215)
- 16 Sec. 15. Civil penalties. The Department may assess civil penalties, not to exceed \$5,000 per violation, for violations 17 of this Act and the rules promulgated under this Act pursuant 18 to rules for such penalties established by the Department. Each 19 20 date a violation exists shall constitute a separate violation. 21 The Department shall in any proceeding to suspend, revoke or 22 refuse to issue a license or permit, first serve served upon the applicant or licensee a written notice 23 24 specifying the way or ways in which such applicant or licensee 25 has failed to comply with this Act, or any rules, regulations

In the case of a revocation or suspension, this notice shall require the licensee to remove or abate such violation, insanitary or objectionable condition, specified in such notice, within 5 days or within a longer period of time as may be allowed by the Department; if the licensee fails to comply with the terms and conditions of the notice, within the time specified or such extended period of time, the Department may

or standard promulgated by the Department pertaining thereto.

revoke or suspend such license or permit. If an applicant fails

10 to comply with the Act, rules or regulations or standards

promulgated thereunder, the Department may refuse to issue a

12 <del>license.</del>

13 (Source: P.A. 78-1149.)

14 (210 ILCS 125/16) (from Ch. 111 1/2, par. 1216)

Sec. 16. The Director, after notice and opportunity for hearing to a party, may deny, suspend, or revoke a license or permit, or assess a civil penalty, in any case in which he or she finds that there has been a substantial failure to comply with the provisions of this Act or rules established under it.

Notice shall be provided by certified mail, return receipt requested, or served personally and by fixing a date, not less than 15 days from the date of such mailing or service, by which the applicant or license holder shall be given an opportunity to serve a written request for hearing upon the Department. The hearing shall be conducted by the Director or by an individual

designated in writing by the Director as Hearing Officer. On the basis of any such hearing, or upon default of the applicant or license holder, the Director shall make a determination specifying his or her findings and conclusions. A copy of the determinations shall be sent by certified mail, return receipt requested, or served personally upon the applicant or license holder.

The Department shall give written notice by certified or registered mail to any person refused a license or whose license is suspended or revoked; such person has a right to a hearing before the Department; however, a written notice of a request for such a hearing shall be served on the Department within 10 days of notice of such refusal of a license or suspension or revocation thereof. The hearing shall be conducted by the Director, or a Hearing Officer designated in writing by the Director, to conduct the hearing. A stenographic record shall be made of the hearing and the cost borne by the Department; however, a transcription of the hearing will be made only if a party requests and shall be transcribed at the cost of such party.

by the Department. The Director shall give written notice of the time and place of hearing, by registered or certified mail, to the owner, operator, licensee, or applicant, as the case may be, at least 20 days before such hearing. The Director or Hearing Officer shall permit the owner, operator, licensee or

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2 at the hearing at which time such party shall be afforded an

opportunity to present all relevant matter in support of his

applicant to appear in person or to be represented by counsel

application for license or in resisting the revocation or

5 suspension thereof.

6 (Source: P.A. 78-1149.)

7 (210 ILCS 125/21) (from Ch. 111 1/2, par. 1221)

Sec. 21. Closure of facility. Whenever the Department finds any violation of this Act or the rules promulgated hereunder, and the violation presents an emergency or risk to public health, of the conditions hereinafter set forth it shall, without prior notice or hearing, issue a by written notice, immediately ordering order the owner, operator, or licensee to close the swimming facility and prohibiting to prohibit any person from using such facility facilities. Nothwithstanding any other provisions in this Act, such order shall be effective immediately. ÷

(1) If conditions at a swimming facility and appurtenances, including bathhouse facilities, upon inspection and investigation by a representative of the Department, create an immediate danger to health or safety, including conditions that could lead to bather entrapment or entanglement; or

(2) When the Department, upon review of results of bacteriological analyses of water samples collected from a

1	swimming facility, finds that such water does not conform
2	to the bacteriological standards promulgated by the
3	Department for proper swimming water quality; or
4	(3) When an environmental survey of an area shows
5	evidence of sewage or other pollutional or toxic materials
6	being discharged to waters tributary to a beach creating an
7	immediate danger to health or safety; or
8	(4) When the Department finds by observation or test
9	for water clarity of the swimming facility water a higher
10	turbidity level than permitted in the standards for
11	physical quality as promulgated by the Department; or
12	(5) When in such cases as it is required, the presence
13	of a satisfactory disinfectant residual, prescribed by
14	rule as promulgated by the Department, is absent.
15	The notice shall state the reasons prompting the closing of
16	the facilities and a copy of the notice must be posted
17	conspicuously at the pool or beach by the owner, operator or
18	licensee.
19	The State's Attorney and Sheriff of the county in which the
20	swimming facility is located shall enforce the closing order
21	after receiving notice thereof.
22	Any owner, operator or licensee affected by such an order
23	is entitled, upon written request to the Department, to a
24	hearing as provided in this Act.
25	When such <u>violations</u> <del>conditions</del> are abated <del>or when the</del>
26	results of analyses of water samples collected from the

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swimming facility, in the opinion of the Department, comply with the Department's bacteriological standards for acceptable water quality, or when the turbidity decreases to the permissible limit, or when the disinfectant residual reaches a satisfactory level as prescribed by rule, the Department may authorize reopening the swimming facility pool or beach. When sources of sewage, pollution, or toxic materials discovered as a result of an environmental survey are eliminated, the Department may authorize reopening of such beach.

(Source: P.A. 92-18, eff. 6-28-01.)

11 (210 ILCS 125/22) (from Ch. 111 1/2, par. 1222)

Sec. 22. The Department may assess civil penalties for violations of this Act and the rules promulgated thereunder, pursuant to rules for such penalties established by the Department.

Any person who violates this Act or any rule or regulation adopted by the Department, or who violates any determination or order of the Department under this Act shall be guilty of a Class A misdemeanor.

Any person who knowingly violates this Act or the rules adopted by the Department shall be guilty of a Class 4 felony.

Each day's violation constitutes a separate offense. The State's Attorney of the county in which the violation occurred, or the Attorney General shall bring such actions in the name of the people of the State of Illinois, or may in addition to

- 1 other remedies provided in this Act, bring action for an
- 2 injunction to restrain such violation, or to enjoin the
- 3 operation of any such establishment.
- 4 (Source: P.A. 78-1149.)
- 5 (210 ILCS 125/23) (from Ch. 111 1/2, par. 1223)
- 6 Sec. 23. Applicability of Act. Nothing in this Act shall be
- 7 construed to exclude the State of Illinois and Departments and
- 8 educational institutions thereof and units of local government
- 9 except that the provisions in this Act for fees or late fees,
- or both, for licenses and permits, and the provisions for fine
- and imprisonment shall not apply to the State of Illinois, to
- 12 Departments and educational institutions thereof, or units of
- 13 local government. This Act shall not apply to beaches operated
- 14 by units of local government located on Lake Michigan.
- 15 (Source: P.A. 92-18, eff. 6-28-01.)
- 16 (210 ILCS 125/29 new)
- Sec. 29. Construction, installation, and alterations. All
- 18 construction, installation, and major alterations of swimming
- 19 facilities, other than water slides and bathing beaches, shall
- 20 be performed by a licensed swimming facility contractor.
- 21 Section 99. Effective date. This Act takes effect upon
- 22 becoming law.

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2	Statutes amended in order of appearance
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6	210 ILCS 125/3.13 new
7	210 ILCS 125/3.14 new
8	210 ILCS 125/3.15 new
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21	210 ILCS 125/16 from Ch. 111 1/2, par. 1216
22	210 ILCS 125/21 from Ch. 111 1/2, par. 1221
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