96TH GENERAL ASSEMBLY

State of Illinois

2009 and 2010

SB2806

Introduced 1/28/2010, by Sen. William Delgado

SYNOPSIS AS INTRODUCED:

210 ILCS 30/6

from Ch. 111 1/2, par. 4166

Amends the Abused and Neglected Long Term Care Facility Residents Reporting Act. With respect to investigations of reports of suspected abuse or neglect of residents of mental health and developmental disabilities institutions under the jurisdiction of the Department of Human Services, provides that a copy of a final investigative report that includes a substantiated finding of abuse, neglect, or financial exploitation shall not be distributed by the Department of Public Health to the Department of Financial and Professional Regulation until all reconsideration and appeals processes have been exhausted. Effective immediately.

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AN ACT concerning regulation.

2 Be it enacted by the People of the State of Illinois, 3 represented in the General Assembly:

Section 5. The Abused and Neglected Long Term Care Facility
Residents Reporting Act is amended by changing Section 6 as
follows:

7 (210 ILCS 30/6) (from Ch. 111 1/2, par. 4166)

(Text of Section before amendment by P.A. 96-339)

9 Sec. 6. All reports of suspected abuse or neglect made under this Act shall be made immediately by telephone to the 10 Department's central register established under Section 14 on 11 the single, State-wide, toll-free telephone number established 12 under Section 13, or in person or by telephone through the 13 14 Department office. No long term care facility nearest administrator, agent or employee, or any other person, shall 15 16 screen reports or otherwise withhold any reports from the 17 Department, and no long term care facility, department of State government, or other agency shall establish any rules, 18 19 criteria, standards or guidelines to the contrary. Every long 20 term care facility, department of State government and other 21 agency whose employees are required to make or cause to be made reports under Section 4 shall notify its employees of the 22 provisions of that Section and of this Section, and provide to 23

the Department documentation that such notification has been 1 2 given. The Department of Human Services shall train all of its mental health and developmental disabilities employees in the 3 detection and reporting of suspected abuse and neglect of 4 5 residents. Reports made to the central register through the 6 State-wide, toll-free telephone number shall be transmitted to 7 Department offices and municipal appropriate health 8 departments that have responsibility for licensing long term 9 care facilities under the Nursing Home Care Act. All reports 10 received through offices of the Department shall be forwarded 11 to the central register, in a manner and form described by the 12 Department. The Department shall be capable of receiving 13 reports of suspected abuse and neglect 24 hours a day, 7 days a 14 week. Reports shall also be made in writing deposited in the 15 U.S. mail, postage prepaid, within 24 hours after having 16 reasonable cause to believe that the condition of the resident 17 resulted from abuse or neglect. Such reports may in addition be made to the local law enforcement agency in the same manner. 18 19 However, in the event a report is made to the local law 20 enforcement agency, the reporter also shall immediately so 21 inform the Department. The Department shall initiate an 22 investigation of each report of resident abuse and neglect 23 under this Act, whether oral or written, as provided for in 24 Section 3-702 of the Nursing Home Care Act, except that reports 25 of abuse which indicate that a resident's life or safety is in 26 imminent danger shall be investigated within 24 hours of such

1 report. The Department may delegate to law enforcement 2 officials or other public agencies the duty to perform such 3 investigation.

With respect to investigations of reports of suspected 4 5 abuse or neglect of residents of mental health and developmental disabilities institutions under the jurisdiction 6 7 of the Department of Human Services, the Department shall 8 transmit copies of such reports to the Department of State 9 Police, the Department of Human Services, and the Inspector 10 General appointed under Section 1-17 of the Department of Human 11 Services Act. If the Department receives a report of suspected 12 abuse or neglect of a recipient of services as defined in 13 Section 1-123 of the Mental Health and Developmental 14 Disabilities Code, the Department shall transmit copies of such 15 report to the Inspector General and the Directors of the 16 Guardianship and Advocacy Commission and the agency designated 17 by the Governor pursuant to the Protection and Advocacy for Developmentally Disabled Persons Act. When requested by the 18 19 Director of the Guardianship and Advocacy Commission, the 20 agency designated by the Governor pursuant to the Protection 21 and Advocacy for Developmentally Disabled Persons Act, or the 22 Department of Financial and Professional Regulation, the 23 Department, the Department of Human Services and the Department 24 of State Police shall make available a copy of the final 25 investigative report regarding investigations conducted by 26 their respective agencies on incidents of suspected abuse or

residents of mental health and developmental 1 neglect of 2 disabilities institutions or individuals receiving services at 3 community agencies under the jurisdiction of the Department of Human Services. A copy of a final investigative report that 4 5 includes a substantiated finding of abuse, neglect, or 6 financial exploitation shall not be distributed to the 7 Department of Financial and Professional Regulation until all reconsideration and appeals processes have been exhausted. 8 9 Such final investigative report shall not contain witness 10 statements, investigation notes, draft summaries, results of 11 lie detector tests, investigative files or other raw data which 12 used to compile the final investigative was report. Specifically, the final investigative report of the Department 13 of State Police shall mean the Director's final transmittal 14 letter. The Department of Human Services shall also make 15 16 available a copy of the results of disciplinary proceedings of 17 employees involved in incidents of abuse or neglect to the 18 Directors. All identifiable information in reports provided 19 shall not be further disclosed except as provided by the Mental 20 Health and Developmental Disabilities Confidentiality Act. Nothing in this Section is intended to limit or construe the 21 22 power or authority granted to the agency designated by the 23 pursuant to the Protection and Advocacy Governor for Developmentally Disabled Persons Act, pursuant to any other 24 25 State or federal statute.

26 With respect to investigations of reported resident abuse

or neglect, the Department shall effect with appropriate law 1 2 enforcement agencies formal agreements concerning methods and 3 procedures for the conduct of investigations into the criminal histories of any administrator, staff assistant or employee of 4 5 the nursing home or other person responsible for the residents care, as well as for other residents in the nursing home who 6 7 may be in a position to abuse, neglect or exploit the patient. 8 Pursuant to the formal agreements entered into with appropriate 9 enforcement agencies, the Department law mav request 10 information with respect to whether the person or persons set 11 forth in this paragraph have ever been charged with a crime and 12 if so, the disposition of those charges. Unless the criminal 13 histories of the subjects involved crimes of violence or 14 resident abuse or neglect, the Department shall be entitled 15 only to information limited in scope to charges and their 16 dispositions. In cases where prior crimes of violence or 17 resident abuse or neglect are involved, a more detailed report can be made available to authorized representatives of the 18 19 Department, pursuant to the agreements entered into with 20 appropriate law enforcement agencies. Any criminal charges and their disposition information obtained by the Department shall 21 22 be confidential and may not be transmitted outside the 23 except required herein, Department, as to authorized 24 representatives or delegates of the Department, and may not be 25 transmitted to anyone within the Department who is not duly 26 authorized to handle resident abuse or neglect investigations.

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shall effect formal 1 The Department agreements with 2 appropriate law enforcement agencies in the various counties and communities to encourage cooperation and coordination in 3 the handling of resident abuse or neglect cases pursuant to 4 5 this Act. The Department shall adopt and implement methods and procedures to promote statewide uniformity in the handling of 6 7 reports of abuse and neglect under this Act, and those methods 8 and procedures shall be adhered to by personnel of the 9 Department involved in such investigations and reporting. The 10 Department shall also make information required by this Act 11 available to authorized personnel within the Department, as 12 well as its authorized representatives.

13 The Department shall keep a continuing record of all 14 reports made pursuant to this Act, including indications of the 15 final determination of any investigation and the final 16 disposition of all reports.

17 Department shall report annually to the General The Assembly on the incidence of abuse and neglect of long term 18 care facility residents, with special attention to residents 19 20 who are mentally disabled. The report shall include but not be 21 limited to data on the number and source of reports of 22 suspected abuse or neglect filed under this Act, the nature of 23 injuries to residents, the final determination anv of investigations, the type and number of cases where abuse or 24 25 neglect is determined to exist, and the final disposition of 26 cases.

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(Source: P.A. 94-852, eff. 6-13-06; 95-545, eff. 8-28-07.)

(Text of Section after amendment by P.A. 96-339)

3 Sec. 6. All reports of suspected abuse or neglect made 4 under this Act shall be made immediately by telephone to the 5 Department's central register established under Section 14 on 6 the single, State-wide, toll-free telephone number established 7 under Section 13, or in person or by telephone through the 8 Department office. No long term care facility nearest 9 administrator, agent or employee, or any other person, shall 10 screen reports or otherwise withhold any reports from the 11 Department, and no long term care facility, department of State 12 or other agency shall establish any rules, government, 13 criteria, standards or guidelines to the contrary. Every long 14 term care facility, department of State government and other 15 agency whose employees are required to make or cause to be made 16 reports under Section 4 shall notify its employees of the provisions of that Section and of this Section, and provide to 17 the Department documentation that such notification has been 18 given. The Department of Human Services shall train all of its 19 20 mental health and developmental disabilities employees in the 21 detection and reporting of suspected abuse and neglect of 22 residents. Reports made to the central register through the State-wide, toll-free telephone number shall be transmitted to 23 24 appropriate Department offices and municipal health 25 departments that have responsibility for licensing long term

care facilities under the Nursing Home Care Act or the MR/DD 1 2 Community Care Act. All reports received through offices of the Department shall be forwarded to the central register, in a 3 manner and form described by the Department. The Department 4 5 shall be capable of receiving reports of suspected abuse and 6 neglect 24 hours a day, 7 days a week. Reports shall also be 7 made in writing deposited in the U.S. mail, postage prepaid, 8 within 24 hours after having reasonable cause to believe that 9 the condition of the resident resulted from abuse or neglect. 10 Such reports may in addition be made to the local law 11 enforcement agency in the same manner. However, in the event a 12 report is made to the local law enforcement agency, the 13 reporter also shall immediately so inform the Department. The 14 Department shall initiate an investigation of each report of 15 resident abuse and neglect under this Act, whether oral or 16 written, as provided for in Section 3-702 of the Nursing Home 17 Care Act or Section 3-702 of the MR/DD Community Care Act, except that reports of abuse which indicate that a resident's 18 19 life or safety is in imminent danger shall be investigated 20 within 24 hours of such report. The Department may delegate to law enforcement officials or other public agencies the duty to 21 22 perform such investigation.

23 With respect to investigations of reports of suspected 24 abuse or neglect of residents of mental health and 25 developmental disabilities institutions under the jurisdiction 26 of the Department of Human Services, the Department shall

transmit copies of such reports to the Department of State 1 2 Police, the Department of Human Services, and the Inspector 3 General appointed under Section 1-17 of the Department of Human Services Act. If the Department receives a report of suspected 4 5 abuse or neglect of a recipient of services as defined in 6 1-123 of the Section Mental Health and Developmental 7 Disabilities Code, the Department shall transmit copies of such 8 report to the Inspector General and the Directors of the 9 Guardianship and Advocacy Commission and the agency designated 10 by the Governor pursuant to the Protection and Advocacy for 11 Developmentally Disabled Persons Act. When requested by the 12 Director of the Guardianship and Advocacy Commission, the 13 agency designated by the Governor pursuant to the Protection 14 and Advocacy for Developmentally Disabled Persons Act, or the 15 Department of Financial and Professional Regulation, the 16 Department, the Department of Human Services and the Department 17 of State Police shall make available a copy of the final investigative report regarding investigations conducted by 18 19 their respective agencies on incidents of suspected abuse or 20 neglect of residents of mental health and developmental 21 disabilities institutions or individuals receiving services at 22 community agencies under the jurisdiction of the Department of 23 Human Services. A copy of a final investigative report that 24 includes a substantiated finding of abuse, neglect, or 25 financial exploitation shall not be distributed to the Department of Financial and Professional Regulation until all 26

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reconsideration and appeals processes have been exhausted. 1 2 Such final investigative report shall not contain witness statements, investigation notes, draft summaries, results of 3 lie detector tests, investigative files or other raw data which 4 5 used to compile the final investigative report. was Specifically, the final investigative report of the Department 6 7 of State Police shall mean the Director's final transmittal letter. The Department of Human Services shall also make 8 9 available a copy of the results of disciplinary proceedings of 10 employees involved in incidents of abuse or neglect to the 11 Directors. All identifiable information in reports provided 12 shall not be further disclosed except as provided by the Mental 13 Health and Developmental Disabilities Confidentiality Act. Nothing in this Section is intended to limit or construe the 14 15 power or authority granted to the agency designated by the 16 Governor pursuant to the Protection and Advocacy for 17 Developmentally Disabled Persons Act, pursuant to any other State or federal statute. 18

With respect to investigations of reported resident abuse 19 or neglect, the Department shall effect with appropriate law 20 21 enforcement agencies formal agreements concerning methods and 22 procedures for the conduct of investigations into the criminal 23 histories of any administrator, staff assistant or employee of the nursing home or other person responsible for the residents 24 25 care, as well as for other residents in the nursing home who 26 may be in a position to abuse, neglect or exploit the patient.

1 Pursuant to the formal agreements entered into with appropriate 2 law enforcement agencies, the Department may request information with respect to whether the person or persons set 3 forth in this paragraph have ever been charged with a crime and 4 5 if so, the disposition of those charges. Unless the criminal histories of the subjects involved crimes of violence or 6 7 resident abuse or neglect, the Department shall be entitled 8 only to information limited in scope to charges and their 9 dispositions. In cases where prior crimes of violence or 10 resident abuse or neglect are involved, a more detailed report 11 can be made available to authorized representatives of the 12 Department, pursuant to the agreements entered into with 13 appropriate law enforcement agencies. Any criminal charges and their disposition information obtained by the Department shall 14 15 be confidential and may not be transmitted outside the 16 Department, except as required herein, to authorized 17 representatives or delegates of the Department, and may not be transmitted to anyone within the Department who is not duly 18 authorized to handle resident abuse or neglect investigations. 19

20 The Department shall effect formal agreements with appropriate law enforcement agencies in the various counties 21 22 and communities to encourage cooperation and coordination in 23 the handling of resident abuse or neglect cases pursuant to this Act. The Department shall adopt and implement methods and 24 25 procedures to promote statewide uniformity in the handling of 26 reports of abuse and neglect under this Act, and those methods

1 and procedures shall be adhered to by personnel of the 2 Department involved in such investigations and reporting. The 3 Department shall also make information required by this Act 4 available to authorized personnel within the Department, as 5 well as its authorized representatives.

6 The Department shall keep a continuing record of all 7 reports made pursuant to this Act, including indications of the 8 final determination of any investigation and the final 9 disposition of all reports.

10 The Department shall report annually to the General 11 Assembly on the incidence of abuse and neglect of long term 12 care facility residents, with special attention to residents 13 who are mentally disabled. The report shall include but not be 14 limited to data on the number and source of reports of 15 suspected abuse or neglect filed under this Act, the nature of 16 injuries to residents, the final determination of any 17 investigations, the type and number of cases where abuse or neglect is determined to exist, and the final disposition of 18 19 cases.

20 (Source: P.A. 95-545, eff. 8-28-07; 96-339, eff. 7-1-10.)

Section 95. No acceleration or delay. Where this Act makes changes in a statute that is represented in this Act by text that is not yet or no longer in effect (for example, a Section represented by multiple versions), the use of that text does not accelerate or delay the taking effect of (i) the changes

SB2806 - 13 - LRB096 17712 KTG 33077 b 1 made by this Act or (ii) provisions derived from any other 2 Public Act.

3 Section 99. Effective date. This Act takes effect upon4 becoming law.