1 AN ACT concerning regulation.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- Section 5. The Assisted Living and Shared Housing Act is amended by changing Sections 10, 20, 30, and 110 as follows:
- 6 (210 ILCS 9/10)

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- 7 (Text of Section before amendment by P.A. 96-339)
- 8 Sec. 10. Definitions. For purposes of this Act:
- 9 "Activities of daily living" means eating, dressing,
- 10 bathing, toileting, transferring, or personal hygiene.

11 "Advisory Board" means the Assisted Living and Shared 12 Housing Standards and Quality of Life Advisory Board.

"Assisted living establishment" or "establishment" means a home, building, residence, or any other place where sleeping accommodations are provided for at least 3 unrelated adults, at least 80% of whom are 55 years of age or older and where the following are provided consistent with the purposes of this Act:

- (1) services consistent with a social model that is based on the premise that the resident's unit in assisted living and shared housing is his or her own home;
- 22 (2) community-based residential care for persons who 23 need assistance with activities of daily living, including

- personal, supportive, and intermittent health-related services available 24 hours per day, if needed, to meet the scheduled and unscheduled needs of a resident;
- (3) mandatory services, whether provided directly by the establishment or by another entity arranged for by the establishment, with the consent of the resident or resident's representative; and
- (4) a physical environment that is a homelike setting that includes the following and such other elements as established by the Department in conjunction with the Assisted Living and Shared Housing Standards and Quality of Life Advisory Board: individual living units each of which shall accommodate small kitchen appliances and contain private bathing, washing, and toilet facilities, or private washing and toilet facilities with a common bathing room readily accessible to each resident. Units shall be maintained for single occupancy except in cases in which 2 residents choose to share a unit. Sufficient common space shall exist to permit individual and group activities.
- "Assisted living establishment" or "establishment" does not mean any of the following:
 - (1) A home, institution, or similar place operated by the federal government or the State of Illinois.
 - (2) A long term care facility licensed under the Nursing Home Care Act. However, a long term care facility may convert distinct parts of the facility to assisted

- living. If the long term care facility elects to do so, the facility shall retain the Certificate of Need for its nursing and sheltered care beds that were converted.
 - (3) A hospital, sanitarium, or other institution, the principal activity or business of which is the diagnosis, care, and treatment of human illness and that is required to be licensed under the Hospital Licensing Act.
 - (4) A facility for child care as defined in the Child Care Act of 1969.
 - (5) A community living facility as defined in the Community Living Facilities Licensing Act.
 - (6) A nursing home or sanitarium operated solely by and for persons who rely exclusively upon treatment by spiritual means through prayer in accordance with the creed or tenants of a well-recognized church or religious denomination.
 - (7) A facility licensed by the Department of Human Services as a community-integrated living arrangement as defined in the Community-Integrated Living Arrangements Licensure and Certification Act.
 - (8) A supportive residence licensed under the Supportive Residences Licensing Act.
 - (9) The portion of a life care facility as defined in the Life Care Facilities Act not licensed as an assisted living establishment under this Act; a life care facility may apply under this Act to convert sections of the

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- 1 community to assisted living.
- 2 (10) A free-standing hospice facility licensed under 3 the Hospice Program Licensing Act.
 - (11) A shared housing establishment.
- 5 (12) A supportive living facility as described in Section 5-5.01a of the Illinois Public Aid Code.
- 7 "Department" means the Department of Public Health.
- 8 "Director" means the Director of Public Health.
- 9 "Emergency situation" means imminent danger of death or 10 serious physical harm to a resident of an establishment.
- "License" means any of the following types of licenses issued to an applicant or licensee by the Department:
 - (1) "Probationary license" means a license issued to an applicant or licensee that has not held a license under this Act prior to its application or pursuant to a license transfer in accordance with Section 50 of this Act.
 - (2) "Regular license" means a license issued by the Department to an applicant or licensee that is in substantial compliance with this Act and any rules promulgated under this Act.
- "Licensee" means a person, agency, association, corporation, partnership, or organization that has been issued a license to operate an assisted living or shared housing establishment.
- 25 "Licensed health care professional" means a registered 26 professional nurse, an advanced practice nurse, a physician

- 1 assistant, and a licensed practical nurse.
- 2 "Mandatory services" include the following:
- 3 (1) 3 meals per day available to the residents prepared 4 by the establishment or an outside contractor;
 - (2) housekeeping services including, but not limited to, vacuuming, dusting, and cleaning the resident's unit;
 - (3) personal laundry and linen services available to the residents provided or arranged for by the establishment;
 - (4) security provided 24 hours each day including, but not limited to, locked entrances or building or contract security personnel;
 - (5) an emergency communication response system, which is a procedure in place 24 hours each day by which a resident can notify building management, an emergency response vendor, or others able to respond to his or her need for assistance; and
 - (6) assistance with activities of daily living as required by each resident.

"Negotiated risk" is the process by which a resident, or his or her representative, may formally negotiate with providers what risks each are willing and unwilling to assume in service provision and the resident's living environment. The provider assures that the resident and the resident's representative, if any, are informed of the risks of these decisions and of the potential consequences of assuming these

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"Owner" means the individual, partnership, corporation, association, or other person who owns an assisted living or shared housing establishment. In the event an assisted living or shared housing establishment is operated by a person who leases or manages the physical plant, which is owned by another person, "owner" means the person who operates the assisted living or shared housing establishment, except that if the person who owns the physical plant is an affiliate of the person who operates the assisted living or shared housing establishment and has significant control over the day to day operations of the assisted living or shared housing establishment, the person who owns the physical plant shall incur jointly and severally with the owner all liabilities imposed on an owner under this Act.

"Physician" means a person licensed under the Medical Practice Act of 1987 to practice medicine in all of its branches.

"Resident" means a person residing in an assisted living or shared housing establishment.

"Resident's representative" means a person, other than the owner, agent, or employee of an establishment or of the health care provider unless related to the resident, designated in writing by a resident to be his or her representative. This designation may be accomplished through the Illinois Power of Attorney Act, pursuant to the quardianship process under the

- Probate Act of 1975, or pursuant to an executed designation of 1
- 2 representative form specified by the Department.
- "Self" means the individual or the individual's designated 3
- representative. 4
- 5 "Shared housing establishment" or "establishment" means a
- 6 publicly or privately operated free-standing residence for 16
- 7 or fewer persons, at least 80% of whom are 55 years of age or
- 8 older and who are unrelated to the owners and one manager of
- 9 the residence, where the following are provided:
- 10 (1) services consistent with a social model that is
- 11 based on the premise that the resident's unit is his or her
- 12 own home;
- (2) community-based residential care for persons who 13
- 14 need assistance with activities of daily living, including
- 15 and personal, supportive, and intermittent
- 16 health-related services available 24 hours per day, if
- 17 needed, to meet the scheduled and unscheduled needs of a
- 18 resident; and
- 19 (3) mandatory services, whether provided directly by
- 20 the establishment or by another entity arranged for by the
- establishment, with the consent of the resident or the 21
- 22 resident's representative.
- 23 "Shared housing establishment" or "establishment" does not
- 24 mean any of the following:
- 25 (1) A home, institution, or similar place operated by
- 26 the federal government or the State of Illinois.

- (2) A long term care facility licensed under the Nursing Home Care Act. A long term care facility may, however, convert sections of the facility to assisted living. If the long term care facility elects to do so, the facility shall retain the Certificate of Need for its nursing beds that were converted.
- (3) A hospital, sanitarium, or other institution, the principal activity or business of which is the diagnosis, care, and treatment of human illness and that is required to be licensed under the Hospital Licensing Act.
- (4) A facility for child care as defined in the Child Care Act of 1969.
- (5) A community living facility as defined in the Community Living Facilities Licensing Act.
- (6) A nursing home or sanitarium operated solely by and for persons who rely exclusively upon treatment by spiritual means through prayer in accordance with the creed or tenants of a well-recognized church or religious denomination.
- (7) A facility licensed by the Department of Human Services as a community-integrated living arrangement as defined in the Community-Integrated Living Arrangements Licensure and Certification Act.
- (8) A supportive residence licensed under the Supportive Residences Licensing Act.
 - (9) A life care facility as defined in the Life Care

- 1 Facilities Act; a life care facility may apply under this
- 2 Act to convert sections of the community to assisted
- 3 living.
- 4 (10) A free-standing hospice facility licensed under
- 5 the Hospice Program Licensing Act.
- 6 (11) An assisted living establishment.
- 7 (12) A supportive living facility as described in
- 8 Section 5-5.01a of the Illinois Public Aid Code.
- 9 "Total assistance" means that staff or another individual
- 10 performs the entire activity of daily living without
- 11 participation by the resident.
- 12 (Source: P.A. 95-216, eff. 8-16-07.)
- 13 (Text of Section after amendment by P.A. 96-339)
- 14 Sec. 10. Definitions. For purposes of this Act:
- 15 "Activities of daily living" means eating, dressing,
- bathing, toileting, transferring, or personal hygiene.
- 17 "Advisory Board" means the Assisted Living and Shared
- 18 Housing Standards and Quality of Life Advisory Board.
- "Assisted living establishment" or "establishment" means a
- 20 home, building, residence, or any other place where sleeping
- 21 accommodations are provided for at least 3 unrelated adults, at
- least 80% of whom are 55 years of age or older and where the
- following are provided consistent with the purposes of this
- 24 Act:
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based on the premise that the resident's unit in assisted living and shared housing is his or her own home;

- (2) community-based residential care for persons who need assistance with activities of daily living, including personal, supportive, and intermittent health-related services available 24 hours per day, if needed, to meet the scheduled and unscheduled needs of a resident;
- (3) mandatory services, whether provided directly by the establishment or by another entity arranged for by the establishment, with the consent of the resident resident's representative; and
- (4) a physical environment that is a homelike setting that includes the following and such other elements as established by the Department in conjunction with the Assisted Living and Shared Housing Standards and Quality of Life Advisory Board: individual living units each of which shall accommodate small kitchen appliances and contain private bathing, washing, and toilet facilities, private washing and toilet facilities with a common bathing room readily accessible to each resident. Units shall be maintained for single occupancy except in cases in which 2 residents choose to share a unit. Sufficient common space shall exist to permit individual and group activities.
- "Assisted living establishment" or "establishment" does not mean any of the following:
 - (1) A home, institution, or similar place operated by

the federal government or the State of Illinois.

- (2) A long term care facility licensed under the Nursing Home Care Act or a facility licensed under the MR/DD Community Care Act. However, a facility licensed under either of those Acts may convert distinct parts of the facility to assisted living. If the facility elects to do so, the facility shall retain the Certificate of Need for its nursing and sheltered care beds that were converted.
- (3) A hospital, sanitarium, or other institution, the principal activity or business of which is the diagnosis, care, and treatment of human illness and that is required to be licensed under the Hospital Licensing Act.
- (4) A facility for child care as defined in the Child Care Act of 1969.
- (5) A community living facility as defined in the Community Living Facilities Licensing Act.
- (6) A nursing home or sanitarium operated solely by and for persons who rely exclusively upon treatment by spiritual means through prayer in accordance with the creed or tenants of a well-recognized church or religious denomination.
- (7) A facility licensed by the Department of Human Services as a community-integrated living arrangement as defined in the Community-Integrated Living Arrangements Licensure and Certification Act.

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1	(8)	A	supportive	residence	licensed	under	the
2	Supporti	ve R	esidences Lic	censing Act.			

- (9) The portion of a life care facility as defined in the Life Care Facilities Act not licensed as an assisted living establishment under this Act; a life care facility may apply under this Act to convert sections of the community to assisted living.
- (10) A free-standing hospice facility licensed under the Hospice Program Licensing Act.
 - (11) A shared housing establishment.
- 11 (12) A supportive living facility as described in 12 Section 5-5.01a of the Illinois Public Aid Code.
 - "Department" means the Department of Public Health.
- 14 "Director" means the Director of Public Health.
- "Emergency situation" means imminent danger of death or serious physical harm to a resident of an establishment.
 - "License" means any of the following types of licenses issued to an applicant or licensee by the Department:
 - (1) "Probationary license" means a license issued to an applicant or licensee that has not held a license under this Act prior to its application or pursuant to a license transfer in accordance with Section 50 of this Act.
 - (2) "Regular license" means a license issued by the Department to an applicant or licensee that is in substantial compliance with this Act and any rules promulgated under this Act.

1	"Licensee"	means	a	person	, age	ency,	assoc	ciation,
2	corporation, pa	rtnership	, or	organiz	zation †	that h	has been	issued
3	a license to	operate a	ın as	ssisted	living	or	shared	housing

4 establishment.

"Licensed health care professional" means a registered professional nurse, an advanced practice nurse, a physician assistant, and a licensed practical nurse.

"Mandatory services" include the following:

- (1) 3 meals per day available to the residents prepared by the establishment or an outside contractor;
- (2) housekeeping services including, but not limited to, vacuuming, dusting, and cleaning the resident's unit;
- (3) personal laundry and linen services available to the residents provided or arranged for by the establishment;
- (4) security provided 24 hours each day including, but not limited to, locked entrances or building or contract security personnel;
- (5) an emergency communication response system, which is a procedure in place 24 hours each day by which a resident can notify building management, an emergency response vendor, or others able to respond to his or her need for assistance; and
- (6) assistance with activities of daily living as required by each resident.
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"Owner" means the individual, partnership, corporation, association, or other person who owns an assisted living or shared housing establishment. In the event an assisted living or shared housing establishment is operated by a person who leases or manages the physical plant, which is owned by another person, "owner" means the person who operates the assisted living or shared housing establishment, except that if the person who owns the physical plant is an affiliate of the person who operates the assisted living or shared housing establishment and has significant control over the day to day the assisted living or operations of shared housing establishment, the person who owns the physical plant shall incur jointly and severally with the owner all liabilities imposed on an owner under this Act.

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"Resident" means a person residing in an assisted living or shared housing establishment.

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"Self" means the individual or the individual's designated representative.

"Shared housing establishment" or "establishment" means a publicly or privately operated free-standing residence for 16 or fewer persons, at least 80% of whom are 55 years of age or older and who are unrelated to the owners and one manager of the residence, where the following are provided:

- (1) services consistent with a social model that is based on the premise that the resident's unit is his or her own home;
- (2) community-based residential care for persons who need assistance with activities of daily living, including housing and personal, supportive, and intermittent health-related services available 24 hours per day, if needed, to meet the scheduled and unscheduled needs of a resident: and
- (3) mandatory services, whether provided directly by the establishment or by another entity arranged for by the

- establishment, with the consent of the resident or the resident's representative.
- "Shared housing establishment" or "establishment" does not mean any of the following:
 - (1) A home, institution, or similar place operated by the federal government or the State of Illinois.
 - (2) A long term care facility licensed under the Nursing Home Care Act or a facility licensed under the MR/DD Community Care Act. A facility licensed under either of those Acts may, however, convert sections of the facility to assisted living. If the facility elects to do so, the facility shall retain the Certificate of Need for its nursing beds that were converted.
 - (3) A hospital, sanitarium, or other institution, the principal activity or business of which is the diagnosis, care, and treatment of human illness and that is required to be licensed under the Hospital Licensing Act.
 - (4) A facility for child care as defined in the Child Care Act of 1969.
 - (5) A community living facility as defined in the Community Living Facilities Licensing Act.
 - (6) A nursing home or sanitarium operated solely by and for persons who rely exclusively upon treatment by spiritual means through prayer in accordance with the creed or tenants of a well-recognized church or religious denomination.

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- 1 (7) A facility licensed by the Department of Human 2 Services as a community-integrated living arrangement as 3 defined in the Community-Integrated Living Arrangements 4 Licensure and Certification Act.
 - (8) A supportive residence licensed under the Supportive Residences Licensing Act.
 - (9) A life care facility as defined in the Life Care Facilities Act; a life care facility may apply under this Act to convert sections of the community to assisted living.
- 11 (10) A free-standing hospice facility licensed under 12 the Hospice Program Licensing Act.
- 13 (11) An assisted living establishment.
- 14 (12) A supportive living facility as described in Section 5-5.01a of the Illinois Public Aid Code.
- "Total assistance" means that staff or another individual
 performs the entire activity of daily living without
 participation by the resident.
- 19 (Source: P.A. 95-216, eff. 8-16-07; 96-339, eff. 7-1-10.)
- 20 (210 ILCS 9/20)
- Sec. 20. Construction and operating standards. The
 Department, in consultation with the Advisory Board, shall
 prescribe minimum standards for establishments. These
- 24 standards shall include:
- 25 (1) the location and construction of the

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establishment, including plumbing, heating, lighting, ventilation, and other physical conditions which shall ensure the health, safety, and comfort of residents and their protection from fire hazards; these standards shall include, at a minimum, compliance with the residential board and care occupancies chapter of the National Fire Protection Association's Life Safety Code, local and State building codes for the building type, and accessibility standards of the Americans with Disabilities Act:

- (2) the number and qualifications of all personnel having responsibility for any part of the services provided for residents:
- (3) all sanitary conditions within the establishment and its surroundings, including water supply, disposal, food handling, infection control, and general hygiene, which shall ensure the health and comfort of residents;
- (4) a program for adequate maintenance of physical plant and equipment;
- (5) adequate accommodations, staff, and services for the number and types of residents for whom the establishment is licensed;
- of evacuation (6) the development and appropriate safety plans for use during weather, health, fire, physical plant, environmental, and national defense emergencies; and

- 1 (7) the maintenance of minimum financial and other 2 resources necessary to meet the standards established
- 3 under this Section and to operate the establishment in
- 4 accordance with this Act.
- 5 (Source: P.A. 91-656, eff. 1-1-01.)
- 6 (210 ILCS 9/30)
- 7 Sec. 30. Licensing.
- 8 (a) The Department, in consultation with the Advisory
- 9 Board, shall establish by rule forms, procedures, and fees for
- 10 the annual licensing of assisted living and shared housing
- 11 establishments; shall establish and enforce sanctions and
- 12 penalties for operating in violation of this Act, as provided
- in Section 135 of this Act and rules adopted under Section 110
- of this Act. The Department shall conduct an annual on-site
- 15 review for each establishment covered by this Act, which shall
- include, but not be limited to, compliance with this Act and
- 17 rules adopted hereunder, focus on solving resident issues and
- 18 concerns, and the quality improvement process implemented by
- 19 the establishment to address resident issues. The quality
- 20 improvement process implemented by the establishment must
- 21 benchmark performance, be customer centered, be data driven,
- and focus on resident satisfaction.
- 23 (b) An establishment shall provide the following
- 24 information to the Department to be considered for licensure:
- 25 (1) the business name, street address, mailing

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address, and telephone number of the establishment;

- (2) the name and mailing address of the owner or owners of the establishment and if the owner or owners are not natural persons, identification of the type of business entity of the owners, and the names and addresses of the officers and members of the governing body, or comparable persons for partnerships, limited liability companies, or other types of business organizations;
- (3) financial information, content and form to be determined by rules which may provide different standards for assisted living establishments and shared housing establishments, establishing that the project is financially feasible;
- (4) the name and mailing address of the managing agent of the establishment, whether hired under a management agreement or lease agreement, if different from the owner or owners, and the name of the full-time director;
- (5) verification that the establishment has entered or will enter into a service delivery contract as provided in Section 90, as required under this Act, with each resident or resident's representative;
- (6) the name and address of at least one natural person who shall be responsible for dealing with the Department on all matters provided for in this Act, on whom personal service of all notices and orders shall be made, and who shall be authorized to accept service on behalf of the

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owner or owners and the managing agent. Notwithstanding a contrary provision of the Code of Civil Procedure, personal service on the person identified pursuant to this subsection shall be considered service on the owner or owners and the managing agent, and it shall not be a defense to any action that personal service was not made on each individual or entity;

- (7) the signature of the authorized representative of the owner or owners;
- (8) proof of an ongoing quality improvement program in accordance with rules adopted by the Department in collaboration with the Advisory Board;
- (9) information about the number and types of units, the maximum census, and the services to be provided at the establishment, proof of compliance with applicable State and local residential standards, and a copy of the standard contract offered to residents;
- (10) documentation of adequate liability insurance; and
- (11) other information necessary to determine the identity and qualifications of an applicant or licensee to operate an establishment in accordance with this Act as required by the Department by rule.
- (c) The information in the statement of ownership shall be public information and shall be available from the Department.
- (Source: P.A. 91-656, eff. 1-1-01.)

(210 ILCS 9/110) 1

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- Sec. 110. Powers and duties of the Department. 2
- 3 (a) The Department shall conduct an annual unannounced 4 on-site visit at each assisted living and shared housing 5 establishment to determine compliance with 6 licensure requirements and standards. Additional visits may be 7 conducted without prior notice to the assisted living or shared 8 housing establishment.
 - (b) Upon receipt of information that may indicate the failure of the assisted living or shared housing establishment or a service provider to comply with a provision of this Act, the Department shall investigate the matter or make appropriate referrals to other government agencies and entities having jurisdiction over the subject matter of the possible violation. The Department may also make referrals to any public or private agency that the Department considers available for appropriate assistance to those involved. The Department may oversee and coordinate the enforcement of State consumer protection policies affecting residents residing in an establishment licensed under this Act.
 - The Department shall establish by rule complaint receipt, investigation, resolution, and involuntary residency termination procedures. Resolution procedures shall provide for on-site review and evaluation of an assisted living or shared housing establishment found to be in violation of this

- 1 Act within a specified period of time based on the gravity and
- 2 severity of the violation and any pervasive pattern of
- 3 occurrences of the same or similar violations.
- 4 (d) (Blank). The Governor shall establish an Assisted
- 5 Living and Shared Housing Standards and Quality of Life
- 6 Advisory Board.
- 7 (e) The Department shall by rule establish penalties and
- 8 sanctions, which shall include, but need not be limited to, the
- 9 creation of a schedule of graduated penalties and sanctions to
- 10 include closure.
- 11 (f) The Department shall by rule establish procedures for
- disclosure of information to the public, which shall include,
- but not be limited to, ownership, licensure status, frequency
- of complaints, disposition of substantiated complaints, and
- disciplinary actions.
- 16 (g) (Blank).
- 17 (h) Beginning January 1, 2000, the Department shall begin
- 18 drafting rules necessary for the administration of this Act.
- 19 (Source: P.A. 93-1003, eff. 8-23-04.)
- 20 (210 ILCS 9/125 rep.)
- 21 Section 10. The Assisted Living and Shared Housing Act is
- amended by repealing Section 125.
- 23 Section 99. Effective date. This Act takes effect upon
- 24 becoming law.