1 AN ACT concerning regulation.

## Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- Section 5. The Assisted Living and Shared Housing Act is amended by changing Sections 30, 45, and 110 as follows:
- 6 (210 ILCS 9/30)
- 7 Sec. 30. Licensing.
- The Department, in consultation with the Advisory 8 9 Board, shall establish by rule forms, procedures, and fees for the annual licensing of assisted living and shared housing 10 establishments; shall establish and enforce sanctions and 11 penalties for operating in violation of this Act, as provided 12 in Section 135 of this Act and rules adopted under Section 110 13 14 of this Act. The Department shall conduct an annual on-site review for each establishment covered by this Act, except for 15 16 those establishments granted a 2-year license as provided in 17 Section 45. For those establishments granted a 2-year license, the Department shall conduct an on-site review every 2 years. 18 19 The on-site review which shall include, but not be limited to, 20 compliance with this Act and rules adopted hereunder, focus on 21 solving resident issues and concerns, and the quality 22 improvement process implemented by the establishment to address resident issues. The quality improvement process 2.3

- 1 implemented by the establishment must benchmark performance,
- 2 be customer centered, be data driven, and focus on resident
- 3 satisfaction.

- (b) An establishment shall provide the following information to the Department to be considered for licensure:
  - (1) the business name, street address, mailing address, and telephone number of the establishment;
  - (2) the name and mailing address of the owner or owners of the establishment and if the owner or owners are not natural persons, identification of the type of business entity of the owners, and the names and addresses of the officers and members of the governing body, or comparable persons for partnerships, limited liability companies, or other types of business organizations;
  - (3) financial information, content and form to be determined by rules which may provide different standards for assisted living establishments and shared housing establishments, establishing that the project is financially feasible;
  - (4) the name and mailing address of the managing agent of the establishment, whether hired under a management agreement or lease agreement, if different from the owner or owners, and the name of the full-time director;
  - (5) verification that the establishment has entered or will enter into a service delivery contract as provided in Section 90, as required under this Act, with each resident

or resident's representative;

- (6) the name and address of at least one natural person who shall be responsible for dealing with the Department on all matters provided for in this Act, on whom personal service of all notices and orders shall be made, and who shall be authorized to accept service on behalf of the owner or owners and the managing agent. Notwithstanding a contrary provision of the Code of Civil Procedure, personal service on the person identified pursuant to this subsection shall be considered service on the owner or owners and the managing agent, and it shall not be a defense to any action that personal service was not made on each individual or entity;
- (7) the signature of the authorized representative of the owner or owners;
- (8) proof of an ongoing quality improvement program in accordance with rules adopted by the Department in collaboration with the Advisory Board;
- (9) information about the number and types of units, the maximum census, and the services to be provided at the establishment, proof of compliance with applicable State and local residential standards, and a copy of the standard contract offered to residents;
- (10) documentation of adequate liability insurance; and
  - (11) other information necessary to determine the

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identity and qualifications of an applicant or licensee to 1 2 operate an establishment in accordance with this Act as 3 required by the Department by rule.

- (c) The information in the statement of ownership shall be public information and shall be available from the Department.
- (d) Notwithstanding any other rulemaking authority that may exist, neither the Governor nor any agency or agency head under the jurisdiction of the Governor has any authority to make or promulgate rules to implement or enforce the provisions of this amendatory Act of the 95th General Assembly. If, however, the Governor believes that rules are necessary to implement or enforce the provisions of this amendatory Act of the 95th General Assembly, the Governor may suggest rules to the General Assembly by filing them with the Clerk of the House and Secretary of the Senate and by requesting that the General Assembly authorize such rulemaking by law, enact those suggested rules into law, or take any other appropriate action in the General Assembly's discretion. Nothing contained in this amendatory Act of the 95th General Assembly shall be interpreted to grant rulemaking authority under any other Illinois statute where such authority is not otherwise explicitly given. For the purposes of this amendatory Act of the 95th General Assembly, "rules" is given the meaning contained in Section 1-70 of the Illinois Administrative Procedure Act, and "agency" and "agency head" are given the meanings contained in Sections 1-20 and 1-25 of the Illinois

- 1 Administrative Procedure Act to the extent that such
- 2 definitions apply to agencies or agency heads under the
- jurisdiction of the Governor.
- 4 (Source: P.A. 91-656, eff. 1-1-01.)
- 5 (210 ILCS 9/45)

6 Sec. 45. Renewal of licenses. At least 120 days, but not 7 more than 150 days prior to license expiration, the licensee 8 shall submit an application for renewal of the license in such 9 form and containing such information as the Department 10 requires. If the application is approved, and if the licensee 11 (i) has not committed a Type 1 violation in the preceding 24 12 months, (ii) has not committed a Type 2 violation in the 13 preceding 24 months, (iii) has not had an inspection, review, 14 or evaluation that resulted in a finding of 10 or more Type 3 15 violations in the preceding 24 months, and (iv) the licensee 16 has not admitted or retained a resident in violation of Section 75 of this Act in the preceding 24 months, the Department may 17 renew the license for an additional period of 2 years. If a 18 licensee whose license has been renewed for 2 years under this 19 Section subsequently fails to meet any of the conditions set 20 21 forth in items (i), (ii), and (iii), and (iv), then, in 22 addition to any other sanctions that the Department may impose 23 under this Act, the Department shall revoke the 2-year license 24 and replace it with a one-year license until the licensee again 25 meets all of the conditions set forth in items (i), (ii), and

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(iii), and (iv). If appropriate, the renewal application shall 1 2 not be approved unless the applicant has provided to the 3 Department an accurate disclosure document in accordance with 4 the Alzheimer's Special Care Disclosure Act. If the application 5 for renewal is not timely filed, the Department shall so inform 6 the licensee.

Notwithstanding any other rulemaking authority that may exist, neither the Governor nor any agency or agency head under the jurisdiction of the Governor has any authority to make or promulgate rules to implement or enforce the provisions of this amendatory Act of the 95th General Assembly. If, however, the Governor believes that rules are necessary to implement or enforce the provisions of this amendatory Act of the 95th General Assembly, the Governor may suggest rules to the General Assembly by filing them with the Clerk of the House and Secretary of the Senate and by requesting that the General Assembly authorize such rulemaking by law, enact those suggested rules into law, or take any other appropriate action in the General Assembly's discretion. Nothing contained in this amendatory Act of the 95th General Assembly shall be interpreted to grant rulemaking authority under any other Illinois statute where such authority is not otherwise explicitly given. For the purposes of this amendatory Act of the 95th General Assembly, "rules" is given the meaning contained in Section 1-70 of the Illinois Administrative Procedure Act, and "agency" and "agency head" are given the

- meanings contained in Sections 1-20 and 1-25 of the Illinois 1
- 2 Administrative Procedure Act to the extent that such
- 3 definitions apply to agencies or agency heads under the
- jurisdiction of the Governor. 4
- 5 (Source: P.A. 95-590, eff. 9-10-07; revised 11-15-07.)
- 6 (210 ILCS 9/110)
- 7 Sec. 110. Powers and duties of the Department.
- 8 (a) The Department shall conduct an annual unannounced
- 9 on-site visit at each assisted living and shared housing
- 10 establishment to determine compliance with applicable
- 11 standards, except for those licensure requirements and
- 12 establishments granted a 2-year license as provided in Section
- 45. For those establishments granted a 2-year license, the 1.3
- Department shall conduct an unannounced on-site visit every 2 14
- 15 years. Additional visits may be conducted without prior notice
- 16 to the assisted living or shared housing establishment.
- (b) Upon receipt of information that may indicate the 17
- 18 failure of the assisted living or shared housing establishment
- or a service provider to comply with a provision of this Act, 19
- 20 the Department shall investigate the matter or make appropriate
- 21 referrals to other government agencies and entities having
- 22 jurisdiction over the subject matter of the possible violation.
- The Department may also make referrals to any public or private 23
- 24 agency that the Department considers available for appropriate
- 25 assistance to those involved. The Department may oversee and

- coordinate the enforcement of State consumer 1
- 2 policies affecting residents residing in an establishment
- licensed under this Act. 3
- (c) The Department shall establish by rule complaint 4
- 5 receipt, investigation, resolution, and involuntary residency
- termination procedures. Resolution procedures shall provide 6
- 7 for on-site review and evaluation of an assisted living or
- shared housing establishment found to be in violation of this 8
- 9 Act within a specified period of time based on the gravity and
- 10 severity of the violation and any pervasive pattern of
- occurrences of the same or similar violations. 11
- 12 (d) The Governor shall establish an Assisted Living and
- 13 Shared Housing Standards and Quality of Life Advisory Board.
- 14 (e) The Department shall by rule establish penalties and
- 15 sanctions, which shall include, but need not be limited to, the
- 16 creation of a schedule of graduated penalties and sanctions to
- 17 include closure.
- (f) The Department shall by rule establish procedures for 18
- disclosure of information to the public, which shall include, 19
- 20 but not be limited to, ownership, licensure status, frequency
- 21 of complaints, disposition of substantiated complaints, and
- 22 disciplinary actions.
- 23 (g) (Blank).
- (h) Beginning January 1, 2000, the Department shall begin 24
- 25 drafting rules necessary for the administration of this Act.
- (i) Notwithstanding any other rulemaking authority that 26

1 may exist, neither the Governor nor any agency or agency head 2 under the jurisdiction of the Governor has any authority to 3 make or promulgate rules to implement or enforce the provisions 4 of this amendatory Act of the 95th General Assembly. If, however, the Governor believes that rules are necessary to 5 implement or enforce the provisions of this amendatory Act of 6 the 95th General Assembly, the Governor may suggest rules to 7 8 the General Assembly by filing them with the Clerk of the House 9 and Secretary of the Senate and by requesting that the General Assembly authorize such rulemaking by law, enact those 10 11 suggested rules into law, or take any other appropriate action 12 in the General Assembly's discretion. Nothing contained in this 13 amendatory Act of the 95th General Assembly shall be 14 interpreted to grant rulemaking authority under any other Illinois statute where such authority is not otherwise 15 16 explicitly given. For the purposes of this amendatory Act of 17 the 95th General Assembly, "rules" is given the meaning contained in Section 1-70 of the Illinois Administrative 18 19 Procedure Act, and "agency" and "agency head" are given the 20 meanings contained in Sections 1-20 and 1-25 of the Illinois 21 Administrative Procedure Act to the extent that such 22 definitions apply to agencies or agency heads under the 23 jurisdiction of the Governor.

- 24 (Source: P.A. 93-1003, eff. 8-23-04.)
- 25 Section 99. Effective date. This Act takes effect upon 26 becoming law.