

SB1597



102ND GENERAL ASSEMBLY

State of Illinois

2021 and 2022

SB1597

Introduced 2/26/2021, by Sen. Jacqueline Y. Collins

SYNOPSIS AS INTRODUCED:

5 ILCS 420/4A-102
5 ILCS 420/4A-103

from Ch. 127, par. 604A-102
from Ch. 127, par. 604A-103

Amends the Illinois Governmental Ethics Act. Provides for the listing of additional interests on the statement of economic interests for members of the General Assembly and candidates for nomination or election to the General Assembly. Makes conforming changes.

LRB102 12742 RJF 18081 b

A BILL FOR

1 AN ACT concerning government.

2 **Be it enacted by the People of the State of Illinois,**
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended
5 by changing Sections 4A-102 and 4A-103 as follows:

6 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

7 Sec. 4A-102. The statement of economic interests required
8 by this Article shall include the economic interests of the
9 person making the statement as provided in this Section. The
10 interest (if constructively controlled by the person making
11 the statement) of a spouse or any other party, shall be
12 considered to be the same as the interest of the person making
13 the statement. Campaign receipts shall not be included in this
14 statement.

15 (a) The following interests shall be listed by all
16 persons required to file:

17 (1) The name, address and type of practice of any
18 professional organization or individual professional
19 practice in which the person making the statement was
20 an officer, director, associate, partner or
21 proprietor, or served in any advisory capacity, from
22 which income in excess of \$1200 was derived during the
23 preceding calendar year;

1 (2) The nature of professional services (other
2 than services rendered to the unit or units of
3 government in relation to which the person is required
4 to file) and the nature of the entity to which they
5 were rendered if fees exceeding \$5,000 were received
6 during the preceding calendar year from the entity for
7 professional services rendered by the person making
8 the statement.

9 (3) The identity (including the address or legal
10 description of real estate) of any capital asset from
11 which a capital gain of \$5,000 or more was realized in
12 the preceding calendar year.

13 (4) The name of any unit of government which has
14 employed the person making the statement during the
15 preceding calendar year other than the unit or units
16 of government in relation to which the person is
17 required to file.

18 (5) The name of any entity from which a gift or
19 gifts, or honorarium or honoraria, valued singly or in
20 the aggregate in excess of \$500, was received during
21 the preceding calendar year.

22 (b) The following interests shall also be listed by
23 persons listed in items (a) through (f), item (l), item
24 (n), and item (p) of Section 4A-101:

25 (1) The name and instrument of ownership in any
26 entity doing business in the State of Illinois, in

1 which an ownership interest held by the person at the
2 date of filing is in excess of \$5,000 fair market value
3 or from which dividends of in excess of \$1,200 were
4 derived during the preceding calendar year. (In the
5 case of real estate, location thereof shall be listed
6 by street address, or if none, then by legal
7 description). No time or demand deposit in a financial
8 institution, nor any debt instrument need be listed;

9 (2) Except for professional service entities, the
10 name of any entity and any position held therein from
11 which income of in excess of \$1,200 was derived during
12 the preceding calendar year, if the entity does
13 business in the State of Illinois. No time or demand
14 deposit in a financial institution, nor any debt
15 instrument need be listed.

16 (3) The identity of any compensated lobbyist with
17 whom the person making the statement maintains a close
18 economic association, including the name of the
19 lobbyist and specifying the legislative matter or
20 matters which are the object of the lobbying activity,
21 and describing the general type of economic activity
22 of the client or principal on whose behalf that person
23 is lobbying.

24 (c) The following interests shall also be listed by
25 persons listed in items (a) through (c) and item (e) of
26 Section 4A-101.5:

1 (1) The name and instrument of ownership in any
2 entity doing business with a unit of local government
3 in relation to which the person is required to file if
4 the ownership interest of the person filing is greater
5 than \$5,000 fair market value as of the date of filing
6 or if dividends in excess of \$1,200 were received from
7 the entity during the preceding calendar year. (In the
8 case of real estate, location thereof shall be listed
9 by street address, or if none, then by legal
10 description). No time or demand deposit in a financial
11 institution, nor any debt instrument need be listed.

12 (2) Except for professional service entities, the
13 name of any entity and any position held therein from
14 which income in excess of \$1,200 was derived during
15 the preceding calendar year if the entity does
16 business with a unit of local government in relation
17 to which the person is required to file. No time or
18 demand deposit in a financial institution, nor any
19 debt instrument need be listed.

20 (3) The name of any entity and the nature of the
21 governmental action requested by any entity which has
22 applied to a unit of local government in relation to
23 which the person must file for any license, franchise
24 or permit for annexation, zoning or rezoning of real
25 estate during the preceding calendar year if the
26 ownership interest of the person filing is in excess

1 of \$5,000 fair market value at the time of filing or if
2 income or dividends in excess of \$1,200 were received
3 by the person filing from the entity during the
4 preceding calendar year.

5 (d) The following interests shall also be listed by
6 members of the General Assembly and candidates for
7 nomination or election to the General Assembly, including
8 immediate family members of such persons:

9 (1) The names of all businesses with which he or
10 she is associated.

11 (2) All sources of income, with a description of
12 all income in excess of \$1,000.

13 (3) The names of securities in excess of \$5,000
14 owned by the person making the statement or a
15 relative.

16 (4) The existence of any known blind trusts.

17 (5) Real property owned by the person making the
18 statement or relative, and its location (exempting
19 primary place of residence).

20 (6) Names of creditors owed debts in excess of
21 \$10,000.

22 (7) Contracts between the person making the
23 statement or a relative and the State of Illinois.

24 (8) Any business association between the person
25 making the statement or relative and a registered
26 lobbyist.

1 For the purposes of this Section, the unit of local
 2 government in relation to which a person required to file
 3 under item (e) of Section 4A-101.5 shall be the unit of local
 4 government that contributes to the pension fund of which such
 5 person is a member of the board.

6 (Source: P.A. 101-221, eff. 8-9-19.)

7 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

8 Sec. 4A-103. The statement of economic interests required
 9 by this Article to be filed with the Secretary of State shall
 10 be filled in by typewriting or hand printing, shall be
 11 verified, dated, and signed by the person making the statement
 12 and shall contain substantially the following:

13 STATEMENT OF ECONOMIC INTEREST

14 (TYPE OR HAND PRINT)

15

16 (name)

17

18 (each office or position of employment for which this
 19 statement is filed)

20

21 (full mailing address)

22 GENERAL DIRECTIONS:

23 The interest (if constructively controlled by the person
 24 making the statement) of a spouse or any other party, shall be
 25 considered to be the same as the interest of the person making

1 the statement.

2 Campaign receipts shall not be included in this statement.

3 If additional space is needed, please attach supplemental
4 listing.

5 1. List the name and instrument of ownership in any entity
6 doing business in the State of Illinois, in which the
7 ownership interest held by the person at the date of filing is
8 in excess of \$5,000 fair market value or from which dividends
9 in excess of \$1,200 were derived during the preceding calendar
10 year. (In the case of real estate, location thereof shall be
11 listed by street address, or if none, then by legal
12 description.) No time or demand deposit in a financial
13 institution, nor any debt instrument need be listed.

14 Business Entity	Instrument of Ownership
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16
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19 2. List the name, address and type of practice of any
20 professional organization in which the person making the
21 statement was an officer, director, associate, partner or
22 proprietor or served in any advisory capacity, from which
23 income in excess of \$1,200 was derived during the preceding
24 calendar year.

25 Name	Address	Type of Practice
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3 3. List the nature of professional services rendered
4 (other than to the State of Illinois) to each entity from which
5 income exceeding \$5,000 was received for professional services
6 rendered during the preceding calendar year by the person
7 making the statement.

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10 4. List the identity (including the address or legal
11 description of real estate) of any capital asset from which a
12 capital gain of \$5,000 or more was realized during the
13 preceding calendar year.

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16 5. List the identity of any compensated lobbyist with whom
17 the person making the statement maintains a close economic
18 association, including the name of the lobbyist and specifying
19 the legislative matter or matters which are the object of the
20 lobbying activity, and describing the general type of economic
21 activity of the client or principal on whose behalf that
22 person is lobbying.

23	Lobbyist	Legislative Matter	Client or Principal
24
25

26 6. List the name of any entity doing business in the State

1 of Illinois from which income in excess of \$1,200 was derived
 2 during the preceding calendar year other than for professional
 3 services and the title or description of any position held in
 4 that entity. (In the case of real estate, location thereof
 5 shall be listed by street address, or if none, then by legal
 6 description). No time or demand deposit in a financial
 7 institution nor any debt instrument need be listed.

8 Entity	Position Held
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12 7. List the name of any unit of government which employed
 13 the person making the statement during the preceding calendar
 14 year other than the unit or units of government in relation to
 15 which the person is required to file.

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18 8. List the name of any entity from which a gift or gifts,
 19 or honorarium or honoraria, valued singly or in the aggregate
 20 in excess of \$500, was received during the preceding calendar
 21 year.

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23 9. List the names of all businesses with which he or she is
 24 associated.

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1 10. List all sources of income, with a description of all
2 income in excess of \$1,000.

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5 11. List the names of securities in excess of \$5,000 owned
6 by the person making the statement or a relative.

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9 12. List the existence of any known blind trusts.

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12 13. List the real property owned by the person making the
13 statement or relative, and its location (exempting primary
14 place of residence).

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17 14. List the names of creditors owed debts in excess of
18 \$10,000.

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21 15. List any contracts between the person making the
22 statement or a relative and the State of Illinois.

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25 16. List any business association between the person
26 making the statement or relative and a registered lobbyist.

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3 VERIFICATION:

4 "I declare that this statement of economic interests
5 (including any accompanying schedules and statements) has been
6 examined by me and to the best of my knowledge and belief is a
7 true, correct and complete statement of my economic interests
8 as required by the Illinois Governmental Ethics Act. I
9 understand that the penalty for willfully filing a false or
10 incomplete statement shall be a fine not to exceed \$1,000 or
11 imprisonment in a penal institution other than the
12 penitentiary not to exceed one year, or both fine and
13 imprisonment."

14
.....

15 (date of filing) (signature of person making the statement)

16 (Source: P.A. 95-173, eff. 1-1-08.)