



102ND GENERAL ASSEMBLY

State of Illinois

2021 and 2022

SB0613

Introduced 2/24/2021, by Sen. Julie A. Morrison

SYNOPSIS AS INTRODUCED:

5 ILCS 420/1-102.5 new	
5 ILCS 420/1-104.3 new	
5 ILCS 420/1-104.4 new	
5 ILCS 420/1-104.5 new	
5 ILCS 420/1-105.2 new	
5 ILCS 420/1-105.3 new	
5 ILCS 420/1-105.4 new	
5 ILCS 420/1-105.5 new	
5 ILCS 420/1-105.6 new	
5 ILCS 420/1-105.7 new	
5 ILCS 420/1-110	from Ch. 127, par. 601-110
5 ILCS 420/1-112.5 new	
5 ILCS 420/1-113.6 new	
5 ILCS 420/1-113.7 new	
5 ILCS 420/4A-102	from Ch. 127, par. 604A-102
5 ILCS 420/4A-103	from Ch. 127, par. 604A-103
5 ILCS 420/4A-108	
5 ILCS 420/4A-104 rep.	
25 ILCS 170/6	from Ch. 63, par. 176

Amends the Illinois Governmental Ethics Act. Creates a uniform statement of economic interest form that must be completed by all persons who are required to file that form under the Act. Changes the nature of the required disclosures that must be made. Requires candidates filing for Supreme Court Judge, appellate court judge, or circuit court judge to file his or her statement of economic interests in written or printed form. Repeals a provision that established a separate form for persons required to file a statement of economic interests with county clerks. Defines terms. Adds applicability clause. Makes conforming changes. Effective immediately.

LRB102 15265 RJF 20620 b

1 AN ACT concerning government.

2 **Be it enacted by the People of the State of Illinois,**
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended
5 by changing Sections 1-110, 4A-102, 4A-103, and 4A-108 and by
6 adding Sections 1-102.5, 1-104.3, 1-104.4, 1-104.5, 1-105.2,
7 1-105.3, 1-105.4, 1-105.5, 1-105.6, 1-105.7, 1-112.5, 1-113.6,
8 and 1-113.7 as follows:

9 (5 ILCS 420/1-102.5 new)

10 Sec. 1-102.5. Asset. "Asset" means, for the purposes of
11 Sections 4A-102 and 4A-103, an item that is owned and has
12 monetary value. For the purposes of Sections 4A-102 and
13 4A-103, assets include, but are not limited to: stocks, bonds
14 (except those issued by the federal, state, or local
15 governments), sector mutual funds, sector exchange traded
16 funds, commodity futures, investment real estate, and
17 partnership interests. For the purposes of Sections 4A-102 and
18 4A-103, assets do not include: personal residences; personal
19 vehicles; savings or checking accounts; bonds, notes, or
20 securities issued by any branch of federal, state, or local
21 government; Medicare benefits; inheritances or bequests;
22 diversified mutual funds; annuities; pensions (including
23 government pensions); retirement accounts; college savings

1 plans that are qualified tuition plans; qualified
2 tax-advantaged savings programs that allow individuals to save
3 for disability-related expenses; non-income producing trust
4 holdings; or tangible personal property.

5 (5 ILCS 420/1-104.3 new)

6 Sec. 1-104.3. Creditor. "Creditor" means, for the purposes
7 of Sections 4A-102 and 4A-103, an individual, organization, or
8 other business entity to whom money or its equivalent is owed,
9 no matter whether that obligation is secured or unsecured,
10 except that if a filer makes a loan to members of his or her
11 family, or a political committee registered with the Illinois
12 State Board of Election, or a political committee, principal
13 campaign committee, or authorized committee registered with
14 the Federal Election Commission, then that filer does not, by
15 making such a loan, become a creditor of that individual or
16 entity for the purposes of Sections 4A-102 and 4A-103 of this
17 Act.

18 (5 ILCS 420/1-104.4 new)

19 Sec. 1-104.4. Debt. "Debt" means, for the purposes of
20 Sections 4A-102 and 4A-103, any money or monetary obligation
21 owed at any time during the preceding calendar year to an
22 individual, company, or other organization, other than a loan
23 that is from a financial institution, government agency, or
24 business entity and that is granted on terms made available to

1 the general public. For the purposes of Sections 4A-102 and
2 4A-103, "debt" includes, but is not limited to: personal loans
3 from friends or business associates, business loans made
4 outside the lender's regular course of business, and loans
5 made at below market rates. For the purposes of Sections
6 4A-102 and 4A-103, "debt" does not include: (i) debts to or
7 from financial institutions or government entities, such as
8 mortgages, student loans, credit card debts, or loans secured
9 by automobiles, household furniture, or appliances, as long as
10 those loans were made on terms available to the general public
11 and do not exceed the purchase price of the items securing
12 them; or (ii) debts to or from a political committee
13 registered with the Illinois State Board of Elections or
14 political committees, principal campaign committees, or
15 authorized committees registered with the Federal Election
16 Commission.

17 (5 ILCS 420/1-104.5 new)

18 Sec. 1-104.5. Diversified funds. "Diversified funds" means
19 investment products, such as mutual funds, exchange traded
20 funds, or unit investment trusts, that invest in a wide
21 variety of securities.

22 (5 ILCS 420/1-105.2 new)

23 Sec. 1-105.2. Economic relationship. "Economic
24 relationship" means, for the purposes of Sections 4A-102 and

1 4A-103, any joint or shared ownership interests in businesses
2 and creditor-debtor relationships with third parties, other
3 than commercial lending institutions, where: (a) the filer is
4 entitled to receive (i) more than 7.5% of the total
5 distributable income, or (ii) an amount in excess of the
6 salary of the Governor; or (b) the filer together with his or
7 her spouse or minor children is entitled to receive (i) more
8 than 15%, in the aggregate, of the total distributable income,
9 or (ii) an amount in excess of 2 times the salary of the
10 Governor.

11 (5 ILCS 420/1-105.3 new)

12 Sec. 1-105.3. Family. "Family" means, for the purposes of
13 Sections 4A-102 and 4A-103, a filer's spouse, children,
14 step-children, _____ parents, _____ step-parents, _____ siblings,
15 step-siblings, half-siblings, sons-in-law, daughters-in-law,
16 grandfathers, grandmothers, grandsons, and granddaughters, as
17 well as the father, mother, grandfather, and grandmother of
18 the filer's spouse.

19 (5 ILCS 420/1-105.4 new)

20 Sec. 1-105.4. Immediate family. "Immediate family" means,
21 for the purposes of Sections 4A-102 and 4A-103, family of the
22 filer living in the filer's residence.

23 (5 ILCS 420/1-105.5 new)

1 Sec. 1-105.5. Filer. "Filer" means, for the purposes of
2 Section 4A-102 and 4A-103, a person required to file a
3 statement of economic interests pursuant to this Act.

4 (5 ILCS 420/1-105.6 new)

5 Sec. 1-105.6. Income. "Income" means, for the purposes of
6 Sections 4A-102 and 4A-103, income from whatever source
7 derived, required to be reported on the filer's federal income
8 tax return, including, but not limited to: compensation
9 received for services rendered or to be rendered (as reported
10 on any Internal Revenue Service forms, including, but not
11 limited to, W-2, 1099, or K-1); earnings or capital gains from
12 the sale of assets; profit; interest or dividend income from
13 all assets; revenue from leases and rentals, royalties,
14 prizes, awards, or barter; forgiveness of debt; and earnings
15 derived from annuities or trusts other than testamentary
16 trusts. "Income" does not include compensation earned for
17 service in the position that necessitates the filing of the
18 statement of economic interests or income from the sale of a
19 personal residence or personal vehicle.

20 (5 ILCS 420/1-105.7 new)

21 Sec. 1-105.7. Investment real estate. "Investment real
22 estate" means any real property, other than a filer's personal
23 residences, purchased to produce a profit, whether from income
24 or resale. Investment real estate may be described by the city

1 and state where the real estate is located.

2 (5 ILCS 420/1-110) (from Ch. 127, par. 601-110)

3 Sec. 1-110. "Lobbyist" means an individual who is required
4 to be registered to engage in lobbying activities pursuant to
5 any statute, regulation, or ordinance adopted by a unit of
6 government in the State of Illinois ~~any person required to be~~
7 ~~registered under "An Act concerning lobbying and providing a~~
8 ~~penalty for violation thereof", approved July 10, 1957, as~~
9 ~~amended.~~

10 (Source: Laws 1967, p. 3401.)

11 (5 ILCS 420/1-112.5 new)

12 Sec. 1-112.5. Personal residence. "Personal residence"
13 means, for the purposes of Sections 4A-102 and 4A-103, a
14 filer's primary home residence and any residential real
15 property held by the filer and used by the filer for
16 residential rather than commercial or income generating
17 purposes.

18 (5 ILCS 420/1-113.6 new)

19 Sec. 1-113.6. Sector funds. "Sector funds" means mutual
20 funds or exchange traded funds invested in a particular
21 industry or business.

22 (5 ILCS 420/1-113.7 new)

1 Sec. 1-113.7. Spouse. "Spouse" means a party to a
2 marriage, a party to a civil union, or a registered domestic
3 partner.

4 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

5 Sec. 4A-102. The statement of economic interests required
6 by this Article shall include the economic interests of the
7 person making the statement as provided in this Section. The
8 following interests shall be listed by all persons required to
9 file:

10 (1) each asset that has a value of more than \$25,000 as
11 of the end of the preceding calendar year and is: (i) held
12 in the filer's name, (ii) held jointly by the filer with
13 his or her spouse, or (iii) held jointly by the filer with
14 his or her minor child or children;

15 (2) excluding the income from the position that
16 requires the filing of a statement of economic interests
17 under this Act, each source of income that generated in
18 excess of \$10,000 in income during the preceding calendar
19 year (as reported on the filer's federal income tax return
20 covering the preceding calendar year) and, if the sale or
21 transfer of an asset produced more than \$10,000 in capital
22 gains during the preceding calendar year, the transaction
23 date on which that asset was sold or transferred;

24 (3) each creditor of a debt in excess of \$25,000 that,
25 during the preceding calendar year, was: (i) owed by the

1 filer, (ii) owed jointly by the filer with his or her
2 spouse or (iii) owed jointly by the filer with his or her
3 minor child or children;

4 (4) each debtor of a debt in excess of \$25,000 that,
5 during the preceding calendar year, was: (i) owed to the
6 filer, (ii) owed jointly to the filer with his or her
7 spouse, or (iii) owed jointly to the filer with his or her
8 minor child or children;

9 (5) each lobbyist known to the filer to be registered
10 with any unit of government in the State of Illinois: (i)
11 with whom the filer maintains an economic relationship, or
12 (ii) who is a member of the filer's immediate family; and

13 (6) each source and type of gift or gifts, or
14 honorarium or honoraria, valued singly or in the aggregate
15 in excess of \$1,000 that was received during the preceding
16 calendar year.

17 For the purposes of this Section, the unit of local
18 government in relation to which a person is required to file
19 under item (o) of Section 4A-101 shall be the unit of local
20 government that contributes to the pension fund of which such
21 person is a member of the board.

22 ~~The interest (if constructively controlled by the person~~
23 ~~making the statement) of a spouse or any other party, shall be~~
24 ~~considered to be the same as the interest of the person making~~
25 ~~the statement. Campaign receipts shall not be included in this~~
26 ~~statement.~~

1 ~~(a) The following interests shall be listed by all~~
2 ~~persons required to file:~~

3 ~~(1) The name, address and type of practice of any~~
4 ~~professional organization or individual professional~~
5 ~~practice in which the person making the statement was~~
6 ~~an officer, director, associate, partner or~~
7 ~~proprietor, or served in any advisory capacity, from~~
8 ~~which income in excess of \$1200 was derived during the~~
9 ~~preceding calendar year;~~

10 ~~(2) The nature of professional services (other~~
11 ~~than services rendered to the unit or units of~~
12 ~~government in relation to which the person is required~~
13 ~~to file) and the nature of the entity to which they~~
14 ~~were rendered if fees exceeding \$5,000 were received~~
15 ~~during the preceding calendar year from the entity for~~
16 ~~professional services rendered by the person making~~
17 ~~the statement.~~

18 ~~(3) The identity (including the address or legal~~
19 ~~description of real estate) of any capital asset from~~
20 ~~which a capital gain of \$5,000 or more was realized in~~
21 ~~the preceding calendar year.~~

22 ~~(4) The name of any unit of government which has~~
23 ~~employed the person making the statement during the~~
24 ~~preceding calendar year other than the unit or units~~
25 ~~of government in relation to which the person is~~
26 ~~required to file.~~

1 ~~(5) The name of any entity from which a gift or~~
2 ~~gifts, or honorarium or honoraria, valued singly or in~~
3 ~~the aggregate in excess of \$500, was received during~~
4 ~~the preceding calendar year.~~

5 ~~(b) The following interests shall also be listed by~~
6 ~~persons listed in items (a) through (f), item (l), item~~
7 ~~(n), and item (p) of Section 4A-101.~~

8 ~~(1) The name and instrument of ownership in any~~
9 ~~entity doing business in the State of Illinois, in~~
10 ~~which an ownership interest held by the person at the~~
11 ~~date of filing is in excess of \$5,000 fair market value~~
12 ~~or from which dividends of in excess of \$1,200 were~~
13 ~~derived during the preceding calendar year. (In the~~
14 ~~case of real estate, location thereof shall be listed~~
15 ~~by street address, or if none, then by legal~~
16 ~~description). No time or demand deposit in a financial~~
17 ~~institution, nor any debt instrument need be listed;~~

18 ~~(2) Except for professional service entities, the~~
19 ~~name of any entity and any position held therein from~~
20 ~~which income of in excess of \$1,200 was derived during~~
21 ~~the preceding calendar year, if the entity does~~
22 ~~business in the State of Illinois. No time or demand~~
23 ~~deposit in a financial institution, nor any debt~~
24 ~~instrument need be listed.~~

25 ~~(3) The identity of any compensated lobbyist with~~
26 ~~whom the person making the statement maintains a close~~

1 ~~economic association, including the name of the~~
2 ~~lobbyist and specifying the legislative matter or~~
3 ~~matters which are the object of the lobbying activity,~~
4 ~~and describing the general type of economic activity~~
5 ~~of the client or principal on whose behalf that person~~
6 ~~is lobbying.~~

7 ~~(c) The following interests shall also be listed by~~
8 ~~persons listed in items (a) through (c) and item (c) of~~
9 ~~Section 4A-101.5:~~

10 ~~(1) The name and instrument of ownership in any~~
11 ~~entity doing business with a unit of local government~~
12 ~~in relation to which the person is required to file if~~
13 ~~the ownership interest of the person filing is greater~~
14 ~~than \$5,000 fair market value as of the date of filing~~
15 ~~or if dividends in excess of \$1,200 were received from~~
16 ~~the entity during the preceding calendar year. (In the~~
17 ~~case of real estate, location thereof shall be listed~~
18 ~~by street address, or if none, then by legal~~
19 ~~description). No time or demand deposit in a financial~~
20 ~~institution, nor any debt instrument need be listed.~~

21 ~~(2) Except for professional service entities, the~~
22 ~~name of any entity and any position held therein from~~
23 ~~which income in excess of \$1,200 was derived during~~
24 ~~the preceding calendar year if the entity does~~
25 ~~business with a unit of local government in relation~~
26 ~~to which the person is required to file. No time or~~

1 ~~demand deposit in a financial institution, nor any~~
2 ~~debt instrument need be listed.~~

3 ~~(3) The name of any entity and the nature of the~~
4 ~~governmental action requested by any entity which has~~
5 ~~applied to a unit of local government in relation to~~
6 ~~which the person must file for any license, franchise~~
7 ~~or permit for annexation, zoning or rezoning of real~~
8 ~~estate during the preceding calendar year if the~~
9 ~~ownership interest of the person filing is in excess~~
10 ~~of \$5,000 fair market value at the time of filing or if~~
11 ~~income or dividends in excess of \$1,200 were received~~
12 ~~by the person filing from the entity during the~~
13 ~~preceding calendar year.~~

14 ~~For the purposes of this Section, the unit of local~~
15 ~~government in relation to which a person required to file~~
16 ~~under item (c) of Section 4A-101.5 shall be the unit of local~~
17 ~~government that contributes to the pension fund of which such~~
18 ~~person is a member of the board.~~

19 (Source: P.A. 101-221, eff. 8-9-19.)

20 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

21 Sec. 4A-103. The statement of economic interests required
22 by this Article to be filed with the Secretary of State or
23 county clerk shall be ~~filled in by typewriting or hand~~
24 ~~printing,~~ shall be verified, dated, and signed by the person
25 making the statement and shall contain substantially the

1 following:

2 STATEMENT OF ECONOMIC INTERESTS

3 INSTRUCTIONS:

4 You may find the following documents helpful to you in
5 completing this form:

6 (1) federal income tax returns, including any related
7 schedules, attachments, and forms; and

8 (2) investment and brokerage statements.

9 To complete this form, you do not need to disclose
10 specific amounts or values or report interests relating either
11 to political committees registered with the Illinois State
12 Board of Elections or to political committees, principal
13 campaign committees, or authorized committees registered with
14 the Federal Election Commission.

15 The information you disclose will be available to the
16 public.

17 You must answer all 6 questions. Certain questions will
18 ask you to report any applicable assets or debts held in your
19 name; held jointly with your spouse; or held jointly by you
20 with your minor child. If you have any concerns about whether
21 an interest should be reported, please consult your
22 department's ethics officer, if applicable.

23 Please ensure that the information you provide is complete
24 and accurate. If you need more space than the form allows,

1 please attach additional pages for your response. If you are
 2 subject to the State Officials and Employees Ethics Act, your
 3 ethics officer must review your statement of economic
 4 interests before you file it. Failure to complete the
 5 statement in good faith and within the prescribed deadline may
 6 subject you to fines, imprisonment, or both.

7 BASIC INFORMATION:

8 Name:.....

9 Job title:

10 Office, department, or agency that requires you to file this
 11 form:.....

12 Other offices, departments, or agencies that require you to
 13 file a Statement of Economic Interests form:

14 Full mailing address:.....

15 Preferred e-mail address (optional).....

16 QUESTIONS:

17 1. If you have any single asset that was worth more than
 18 \$25,000 as of the end of the preceding calendar year and is
 19 held in your name, held jointly by you with your spouse, or
 20 held jointly by you with your minor child, list such assets
 21 below. In the case of investment real estate, list the city and
 22 state where the investment real estate is located. If you do
 23 not have any such assets, list "none" below.

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5 2. Excluding the position for which you are required to
 6 file this form, list the source of any income in excess of
 7 \$10,000 in income as reported on your federal income tax
 8 return covering the preceding calendar year. If you sold an
 9 asset that produced more than \$10,000 in capital gains in the
 10 preceding calendar year, list the name of the asset and the
 11 transaction date on which the sale or transfer took place. If
 12 you had no other sources of income exceeding \$10,000, list
 13 "none" below.

<u>Source of Income / Name of</u>	<u>Date Sold (if applicable)</u>
<u>Asset</u>	
<u>.....</u>	<u>.....</u>
<u>.....</u>	<u>.....</u>
<u>.....</u>	<u>.....</u>

19 3. Excluding debts incurred on terms available to the
 20 general public, such as mortgages, student loans, and credit
 21 card debts, if you owed any single debt in the preceding
 22 calendar year exceeding \$25,000, list the creditor of the debt
 23 below. If you had no such debts, list "none" below.

24 List the creditor for all applicable debts owed by you,
 25 owed jointly by you with your spouse, or owed jointly by you

1 with your minor child. In addition to the types of debts listed
 2 above, you do not need to report any debts to or from financial
 3 institutions or government agencies, such as debts secured by
 4 automobiles, household furniture or appliances, as long as the
 5 debt was made on terms available to the general public, debts
 6 to members of your family, or debts to or from a political
 7 committee registered with the Illinois State Board of
 8 Elections or any political committee, principal campaign
 9 committee, or authorized committee registered with the Federal
 10 Election Commission.

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15 4. Excluding debts owed to you by members of your family or
 16 by a political committee, if there is any entity or person who
 17 owed any debt to you in the preceding calendar year exceeding
 18 \$25,000, list the debtor below. If no such debts were owed to
 19 you, list "none" below.

20 List the debtor for all applicable debts owed to you, owed
 21 jointly to you with your spouse, or owed jointly to you with
 22 your minor child. You do not need to report loans made to
 23 members of your family or to a political committee registered
 24 with the Illinois State Board of Elections or any political
 25 committee, principal campaign committee, or authorized
 26 committee registered with the Federal Election Commission.

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4 5. If you maintain an economic relationship with a
 5 lobbyist or if a member of your immediate family is known to
 6 you to be a lobbyist registered with any unit of government in
 7 the State of Illinois, list the name of the lobbyist below and
 8 identify the nature of your relationship with the lobbyist. If
 9 you do not have an economic relationship with a lobbyist, list
 10 "none" below.

<u>Name of Lobbyist</u>	<u>Relationship to Filer</u>
12 13
14

15 6. List the name of any person, organization, or entity
 16 that was the source of a gift or gifts, or honorarium or
 17 honoraria, valued singly or in the aggregate in excess of
 18 \$1,000 received during the preceding calendar year.

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22 VERIFICATION:

23 "I declare that this statement of economic interests
 24 (including any attachments) has been examined by me and to the

1 best of my knowledge and belief is a true, correct and complete
 2 statement of my economic interests as required by the Illinois
 3 Governmental Ethics Act. I understand that the penalty for
 4 willfully filing a false or incomplete statement is a fine not
 5 to exceed \$2,500 or imprisonment in a penal institution other
 6 than the penitentiary not to exceed one year, or both fine and
 7 imprisonment."

8 Printed Name of Filer
 9 Date
 10 Signature.....

11 If this statement of economic interests requires ethics
 12 officer review prior to filing, the applicable ethics officer
 13 must complete the following:

14 CERTIFICATION OF ETHICS OFFICER REVIEW:

15 "In accordance with law, as Ethics Officer, I reviewed
 16 this statement of economic interests prior to its filing."

17 Printed Name of Ethics Officer
 18 Date
 19 Signature.....
 20 Preferred e-mail address (optional)

21 ~~STATEMENT OF ECONOMIC INTEREST~~

22 ~~(TYPE OR HAND PRINT)~~

23

1 ~~(name)~~

2 ~~.....~~

3 ~~(each office or position of employment for which this~~
4 ~~statement is filed)~~

5 ~~.....~~

6 ~~(full mailing address)~~

7 ~~GENERAL DIRECTIONS:~~

8 ~~The interest (if constructively controlled by the person~~
9 ~~making the statement) of a spouse or any other party, shall be~~
10 ~~considered to be the same as the interest of the person making~~
11 ~~the statement.~~

12 ~~Campaign receipts shall not be included in this statement.~~

13 ~~If additional space is needed, please attach supplemental~~
14 ~~listing.~~

15 ~~1. List the name and instrument of ownership in any entity~~
16 ~~doing business in the State of Illinois, in which the~~
17 ~~ownership interest held by the person at the date of filing is~~
18 ~~in excess of \$5,000 fair market value or from which dividends~~
19 ~~in excess of \$1,200 were derived during the preceding calendar~~
20 ~~year. (In the case of real estate, location thereof shall be~~
21 ~~listed by street address, or if none, then by legal~~
22 ~~description.) No time or demand deposit in a financial~~
23 ~~institution, nor any debt instrument need be listed.~~

24 ~~Business Entity~~

~~Instrument of Ownership~~

25 ~~.....~~

26 ~~.....~~

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3 ~~2. List the name, address and type of practice of any~~
4 ~~professional organization in which the person making the~~
5 ~~statement was an officer, director, associate, partner or~~
6 ~~proprietor or served in any advisory capacity, from which~~
7 ~~income in excess of \$1,200 was derived during the preceding~~
8 ~~calendar year.~~

9	Name	Address	Type of Practice
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13 ~~3. List the nature of professional services rendered~~
14 ~~(other than to the State of Illinois) to each entity from which~~
15 ~~income exceeding \$5,000 was received for professional services~~
16 ~~rendered during the preceding calendar year by the person~~
17 ~~making the statement.~~

18
.....

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.....

20 ~~4. List the identity (including the address or legal~~
21 ~~description of real estate) of any capital asset from which a~~
22 ~~capital gain of \$5,000 or more was realized during the~~
23 ~~preceding calendar year.~~

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.....

26 ~~5. List the identity of any compensated lobbyist with whom~~

1 ~~the person making the statement maintains a close economic~~
 2 ~~association, including the name of the lobbyist and specifying~~
 3 ~~the legislative matter or matters which are the object of the~~
 4 ~~lobbying activity, and describing the general type of economic~~
 5 ~~activity of the client or principal on whose behalf that~~
 6 ~~person is lobbying.~~

Lobbyist	Legislative Matter	Client or Principal
.....
.....

10 ~~6. List the name of any entity doing business in the State~~
 11 ~~of Illinois from which income in excess of \$1,200 was derived~~
 12 ~~during the preceding calendar year other than for professional~~
 13 ~~services and the title or description of any position held in~~
 14 ~~that entity. (In the case of real estate, location thereof~~
 15 ~~shall be listed by street address, or if none, then by legal~~
 16 ~~description). No time or demand deposit in a financial~~
 17 ~~institution nor any debt instrument need be listed.~~

Entity	Position Held
.....
.....
.....

22 ~~7. List the name of any unit of government which employed~~
 23 ~~the person making the statement during the preceding calendar~~
 24 ~~year other than the unit or units of government in relation to~~
 25 ~~which the person is required to file.~~

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 2 ~~8. List the name of any entity from which a gift or gifts,~~
 3 ~~or honorarium or honoraria, valued singly or in the aggregate~~
 4 ~~in excess of \$500, was received during the preceding calendar~~
 5 ~~year.~~

6
 7 VERIFICATION:

8 ~~"I declare that this statement of economic interests~~
 9 ~~(including any accompanying schedules and statements) has been~~
 10 ~~examined by me and to the best of my knowledge and belief is a~~
 11 ~~true, correct and complete statement of my economic interests~~
 12 ~~as required by the Illinois Governmental Ethics Act. I~~
 13 ~~understand that the penalty for willfully filing a false or~~
 14 ~~incomplete statement shall be a fine not to exceed \$1,000 or~~
 15 ~~imprisonment in a penal institution other than the~~
 16 ~~penitentiary not to exceed one year, or both fine and~~
 17 ~~imprisonment."~~

18
 19 ~~(date of filing) (signature of person making the statement)~~
 20 (Source: P.A. 95-173, eff. 1-1-08.)

21 (5 ILCS 420/4A-108)
 22 Sec. 4A-108. Internet-based systems of filing.
 23 (a) Notwithstanding any other provision of this Act or any
 24 other law, the Secretary of State and county clerks are
 25 authorized to institute an Internet-based system for the

1 filing of statements of economic interests in their offices.
2 With respect to county clerk systems, the determination to
3 institute such a system shall be in the sole discretion of the
4 county clerk and shall meet the requirements set out in this
5 Section. With respect to a Secretary of State system, the
6 determination to institute such a system shall be in the sole
7 discretion of the Secretary of State and shall meet the
8 requirements set out in this Section and those Sections of the
9 State Officials and Employees Ethics Act requiring ethics
10 officer review prior to filing. The system shall be capable of
11 allowing an ethics officer to approve a statement of economic
12 interests and shall include a means to amend a statement of
13 economic interests. When this Section does not modify or
14 remove the requirements set forth elsewhere in this Article,
15 those requirements shall apply to any system of Internet-based
16 filing authorized by this Section. When this Section does
17 modify or remove the requirements set forth elsewhere in this
18 Article, the provisions of this Section shall apply to any
19 system of Internet-based filing authorized by this Section.

20 (b) In any system of Internet-based filing of statements
21 of economic interests instituted by the Secretary of State or
22 a county clerk:

23 (1) Any filing of an Internet-based statement of
24 economic interests shall be the equivalent of the filing
25 of a verified, written statement of economic interests as
26 required by Section 4A-101 or 4A-101.5 and the equivalent

1 of the filing of a verified, dated, and signed statement
2 of economic interests as required by Section 4A-103
3 ~~4A-104~~.

4 (2) The Secretary of State and county clerks who
5 institute a system of Internet-based filing of statements
6 of economic interests shall establish a password-protected
7 website to receive the filings of such statements. A
8 website established under this Section shall set forth and
9 provide a means of responding to the items set forth in
10 Section 4A-103 ~~4A-102~~ that are required of a person who
11 files a statement of economic interests with that officer.
12 A website established under this Section shall set forth
13 and provide a means of generating a printable receipt page
14 acknowledging filing.

15 (3) The times for the filing of statements of economic
16 interests set forth in Section 4A-105 shall be followed in
17 any system of Internet-based filing of statements of
18 economic interests; provided that a candidate for elective
19 office who is required to file a statement of economic
20 interests in relation to his or her candidacy pursuant to
21 Section 4A-105(a) shall receive a written or printed
22 receipt for his or her filing.

23 A candidate filing for Governor, Lieutenant Governor,
24 Attorney General, Secretary of State, Treasurer,
25 Comptroller, State Senate, ~~or~~ State House of
26 Representatives, Supreme Court Judge, appellate court

1 judge, or circuit court judge shall not use the Internet
2 to file his or her statement of economic interests, but
3 shall file his or her statement of economic interests in a
4 written or printed form and shall receive a written or
5 printed receipt for his or her filing. Annually, the duly
6 appointed ethics officer for each legislative caucus shall
7 certify to the Secretary of State whether his or her
8 caucus members will file their statements of economic
9 interests electronically or in a written or printed format
10 for that year. If the ethics officer for a caucus
11 certifies that the statements of economic interests shall
12 be written or printed, then members of the General
13 Assembly of that caucus shall not use the Internet to file
14 his or her statement of economic interests, but shall file
15 his or her statement of economic interests in a written or
16 printed form and shall receive a written or printed
17 receipt for his or her filing. If no certification is made
18 by an ethics officer for a legislative caucus, or if a
19 member of the General Assembly is not affiliated with a
20 legislative caucus, then the affected member or members of
21 the General Assembly may file their statements of economic
22 interests using the Internet.

23 (4) In the first year of the implementation of a
24 system of Internet-based filing of statements of economic
25 interests, each person required to file such a statement
26 is to be notified in writing of his or her obligation to

1 file his or her statement of economic interests by way of
2 the Internet-based system. If access to the website ~~web~~
3 ~~site~~ requires a code or password, this information shall
4 be included in the notice prescribed by this paragraph.

5 (5) When a person required to file a statement of
6 economic interests has supplied the Secretary of State or
7 a county clerk, as applicable, with an email address for
8 the purpose of receiving notices under this Article by
9 email, a notice sent by email to the supplied email
10 address shall be the equivalent of a notice sent by first
11 class mail, as set forth in Section 4A-106 or 4A-106.5. A
12 person who has supplied such an email address shall notify
13 the Secretary of State or county clerk, as applicable,
14 when his or her email address changes or if he or she no
15 longer wishes to receive notices by email.

16 (6) If any person who is required to file a statement
17 of economic interests and who has chosen to receive
18 notices by email fails to file his or her statement by May
19 10, then the Secretary of State or county clerk, as
20 applicable, shall send an additional email notice on that
21 date, informing the person that he or she has not filed and
22 describing the penalties for late filing and failing to
23 file. This notice shall be in addition to other notices
24 provided for in this Article.

25 (7) The Secretary of State and each county clerk who
26 institutes a system of Internet-based filing of statements

1 of economic interests may also institute an Internet-based
2 process for the filing of the list of names and addresses
3 of persons required to file statements of economic
4 interests by the chief administrative officers that must
5 file such information with the Secretary of State or
6 county clerk, as applicable, pursuant to Section 4A-106 or
7 4A-106.5. Whenever the Secretary of State or a county
8 clerk institutes such a system under this paragraph, every
9 chief administrative officer must use the system to file
10 this information.

11 (8) The Secretary of State and any county clerk who
12 institutes a system of Internet-based filing of statements
13 of economic interests shall post the contents of such
14 statements filed with him or her available for inspection
15 and copying on a publicly accessible website. Such
16 postings shall not include the addresses or signatures of
17 the filers.

18 (Source: P.A. 100-1041, eff. 1-1-19; 101-221, eff. 8-9-19;
19 revised 9-12-19.)

20 (5 ILCS 420/4A-104 rep.)

21 Section 10. The Illinois Governmental Ethics Act is
22 amended by repealing Section 4A-104.

23 Section 15. The Lobbyist Registration Act is amended by
24 changing Section 6 as follows:

1 (25 ILCS 170/6) (from Ch. 63, par. 176)

2 Sec. 6. Reports.

3 (a) Lobbyist reports. Except as otherwise provided in this
4 Section, every lobbyist registered under this Act who is
5 solely employed by a lobbying entity shall file an
6 affirmation, verified under oath pursuant to Section 1-109 of
7 the Code of Civil Procedure, with the Secretary of State
8 attesting to the accuracy of any reports filed pursuant to
9 subsection (b) as those reports pertain to work performed by
10 the lobbyist. Any lobbyist registered under this Act who is
11 not solely employed by a lobbying entity shall personally file
12 reports required of lobbying entities pursuant to subsection
13 (b). A lobbyist may, if authorized so to do by a lobbying
14 entity by whom he or she is employed or retained, file lobbying
15 entity reports pursuant to subsection (b) provided that the
16 lobbying entity may delegate the filing of the lobbying entity
17 report to only one lobbyist in any reporting period.

18 (b) Lobbying entity reports. Every lobbying entity
19 registered under this Act shall report expenditures related to
20 lobbying. The report shall itemize each individual expenditure
21 or transaction and shall include the name of the official on
22 whose behalf the expenditure was made, the name of the client
23 if the expenditure was made on behalf of a client, the total
24 amount of the expenditure, a description of the expenditure,
25 the vendor or purveyor to whom the expenditure was made

1 (including the address or location of the expenditure), the
2 date on which the expenditure occurred and the subject matter
3 of the lobbying activity, if any. For those expenditures made
4 on behalf of a client, if the client is a client registrant,
5 the report shall also include the name and address of the
6 client or clients of the client registrant or the official or
7 officials on whose behalf the expenditure ultimately was made.
8 Each expenditure required to be reported shall include all
9 expenses made for or on behalf of an official or his or her
10 immediate family member living with the official.

11 (b-1) The report shall include any change or addition to
12 the client list information, required in Section 5 for
13 registration, since the last report, including the names and
14 addresses of all clients who retained the lobbying entity
15 together with an itemized description for each client of the
16 following: (1) lobbying regarding executive action, including
17 the name of any executive agency lobbied and the subject
18 matter; (2) lobbying regarding legislative action, including
19 the General Assembly and any other agencies lobbied and the
20 subject matter; and (3) lobbying regarding administrative
21 action, including the agency lobbied and the subject matter.
22 Registrants who made no reportable expenditures during a
23 reporting period shall file a report stating that no
24 expenditures were incurred.

25 (b-2) Expenditures attributable to lobbying officials
26 shall be listed and reported according to the following

1 categories:

2 (1) Travel and lodging on behalf of others, including,
3 but not limited to, all travel and living accommodations
4 made for or on behalf of State officials during sessions
5 of the General Assembly.

6 (2) Meals, beverages and other entertainment.

7 (3) Gifts (indicating which, if any, are on the basis
8 of personal friendship).

9 (4) Honoraria.

10 (5) Any other thing or service of value not listed
11 under categories (1) through (4), setting forth a
12 description of the expenditure. The category travel and
13 lodging includes, but is not limited to, all travel and
14 living accommodations made for or on behalf of State
15 officials in the State capital during sessions of the
16 General Assembly.

17 (b-3) If expenditures are ~~Expenditures~~ incurred for
18 hosting receptions, benefits, and other large gatherings held
19 for purposes of goodwill or otherwise to influence executive,
20 legislative, or administrative action and if the total number
21 of State officials invited to such an event is equal to or
22 greater than the number of State legislators in the smaller
23 minority caucus of either the House of Representatives or the
24 Senate, as defined in the rules of those chambers, then ~~to~~
25 ~~which there are 25 or more State officials invited shall be~~
26 ~~reported listing~~ only the total amount of the expenditure, the

1 date of the event, and the estimated number of officials in
2 attendance shall be reported.

3 (b-7) Matters excluded from reports. The following items
4 need not be included in the report:

5 (1) Reasonable and bona fide expenditures made by the
6 registrant who is a member of a legislative or State study
7 commission or committee while attending and participating
8 in meetings and hearings of such commission or committee.

9 (2) Reasonable and bona fide expenditures made by the
10 registrant for personal sustenance, lodging, travel,
11 office expenses and clerical or support staff.

12 (3) Salaries, fees, and other compensation paid to the
13 registrant for the purposes of lobbying.

14 (4) Any contributions required to be reported under
15 Article 9 of the Election Code.

16 (5) Expenditures made by a registrant on behalf of an
17 official that are returned or reimbursed prior to the
18 deadline for submission of the report.

19 (c) A registrant who terminates employment or duties which
20 required him or her to register under this Act shall give the
21 Secretary of State, within 30 days after the date of such
22 termination, written notice of such termination and shall
23 include therewith a report of the expenditures described
24 herein, covering the period of time since the filing of his or
25 her last report to the date of termination of employment. Such
26 notice and report shall be final and relieve such registrant

1 of further reporting under this Act, unless and until he or she
2 later takes employment or assumes duties requiring him or her
3 to again register under this Act.

4 (d) Failure to file any such report within the time
5 designated or the reporting of incomplete information shall
6 constitute a violation of this Act.

7 A registrant shall preserve for a period of 2 years all
8 receipts and records used in preparing reports under this Act.

9 (e) Within 30 days after a filing deadline or as provided
10 by rule, the lobbyist shall notify each official on whose
11 behalf an expenditure has been reported. Notification shall
12 include the name of the registrant, the total amount of the
13 expenditure, a description of the expenditure, the date on
14 which the expenditure occurred, and the subject matter of the
15 lobbying activity.

16 (f) A report for the period beginning January 1, 2010 and
17 ending on June 30, 2010 shall be filed no later than July 15,
18 2010, and a report for the period beginning July 1, 2010 and
19 ending on December 31, 2010 shall be filed no later than
20 January 15, 2011. Beginning January 1, 2011, reports shall be
21 filed semi-monthly as follows: (i) for the period beginning
22 the first day of the month through the 15th day of the month,
23 the report shall be filed no later than the 20th day of the
24 month and (ii) for the period beginning on the 16th day of the
25 month through the last day of the month, the report shall be
26 filed no later than the 5th day of the following month. A

1 report filed under this Act is due in the Office of the
2 Secretary of State no later than the close of business on the
3 date on which it is required to be filed.

4 (g) All reports filed under this Act shall be filed in a
5 format or on forms prescribed by the Secretary of State.

6 (Source: P.A. 98-459, eff. 1-1-14.)

7 Section 98. Applicability. The provisions of this
8 amendatory Act of the 102nd General Assembly concerning
9 statements of economic interests shall apply to statements of
10 economic interests filed in 2021 and for each year thereafter.
11 Any statement of economic interests filed prior to 2021 shall
12 apply the law in effect before the effective date of this
13 amendatory Act of the 102nd General Assembly.

14 Section 99. Effective date. This Act takes effect upon
15 becoming law.