

Sen. Chuck Weaver

Filed: 4/4/2019

	10100SB1674sam001 LRB101 08972 AMC 58753 a
1	AMENDMENT TO SENATE BILL 1674
2	AMENDMENT NO Amend Senate Bill 1674 by replacing
3	everything after the enacting clause with the following:
4 5	"Section 5. The Regulatory Sunset Act is amended by changing Section 4.30 and by adding Section 4.40 as follows:
6	(5 ILCS 80/4.30)
7	Sec. 4.30. Acts repealed on January 1, 2020. The following
8	Acts are repealed on January 1, 2020:
9	The Auction License Act.
10	The Community Association Manager Licensing and
11	Disciplinary Act.
12	The Illinois Architecture Practice Act of 1989.
13	The Illinois Landscape Architecture Act of 1989.
14	The Illinois Professional Land Surveyor Act of 1989.
15	The Orthotics, Prosthetics, and Pedorthics Practice Act.
16	The Perfusionist Practice Act.

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 The Pharmacy Practice Act.
 The Professional Engineering Practice Act of 1989.
 The Real Estate License Act of 2000.
 The Structural Engineering Practice Act of 1989.
 (Source: P.A. 100-497, eff. 9-8-17; 100-534, eff. 9-22-17; 100-863, eff. 8-14-18.)

7 (5 ILCS 80/4.40 new)

8 <u>Sec. 4.40. Act repealed on January 1, 2030. The following</u> 9 <u>Act is repealed on January 1, 2030:</u>

10 <u>The Auction License Act.</u>

Section 10. The Auction License Act is amended by changing Sections 5-10, 10-5, 10-40, 10-45, 15-5, 15-15, 20-15, 20-43, and 20-56 and by adding Section 10-22 as follows:

14 (225 ILCS 407/5-10)

15 (Section scheduled to be repealed on January 1, 2020)

16 Sec. 5-10. Definitions. As used in this Act:

17 "Advertisement" means any written, oral, or electronic 18 communication that contains a promotion, inducement, or offer 19 to conduct an auction or offer to provide an auction service, 20 including but not limited to brochures, pamphlets, radio and 21 television scripts, telephone and direct mail solicitations, 22 electronic media, and other means of promotion.

23 "Advisory Board" or "Board" means the Auctioneer Advisory

1 Board.

2 "Associate auctioneer" means a person who conducts an
3 auction, but who is under the direct supervision of, and is
4 sponsored by, a licensed auctioneer or auction firm.

5 "Auction" means the sale or lease of property, real or personal, by means of exchanges between an auctioneer and 6 prospective purchasers or lessees, which consists of a series 7 8 of invitations for offers made by the auctioneer and offers by 9 prospective purchasers or lessees for the purpose of obtaining 10 an acceptable offer for the sale or lease of the property, 11 including the sale or lease of property via mail, telecommunications, or the Internet. 12

13 "Auction contract" means a written agreement between an14 auctioneer or auction firm and a seller or sellers.

15 "Auction firm" means any corporation, partnership, or 16 limited liability company that acts as an auctioneer and 17 provides an auction service.

18 "Auction school" means any educational institution, public 19 or private, <u>that</u> which offers a curriculum of auctioneer 20 education and training approved by the Department.

21 "Auction service" means the service of arranging,22 managing, advertising, or conducting auctions.

23 "Auctioneer" means a person or entity who, for another, for 24 a fee, compensation, commission, or any other valuable 25 consideration at auction or with the intention or expectation 26 of receiving valuable consideration by the means of or process 10100SB1674sam001 -4- LRB101 08972 AMC 58753 a

of an auction or sale at auction or providing an auction service, offers, negotiates, or attempts to negotiate an auction contract, sale, purchase, or exchange of goods, chattels, merchandise, personal property, real property, or any commodity that may be lawfully kept or offered for sale by or at auction.

7 "Address of <u>record</u> Record" means the designated address 8 recorded by the Department in the applicant's or licensee's 9 application file or license file maintained by the Department. 10 It is the duty of the applicant or licensee to inform the 11 Department of any change of address, and such changes must be 12 made either through the Department's website or by directly 13 contacting the Department.

14 "Buyer premium" means any fee or compensation paid by the 15 successful purchaser of property sold or leased at or by 16 auction, to the auctioneer, auction firms, seller, lessor, or 17 other party to the transaction, other than the purchase price.

18 "Department" means the Department of Financial and19 Professional Regulation.

20 <u>"Division" means the Division of Real Estate within the</u>
21 <u>Department.</u>

22 <u>"Email address of record" means the designated email</u> 23 <u>address recorded by the Department in the applicant's</u> 24 <u>application file or the licensee's license file maintained by</u> 25 <u>the Department's licensure maintenance unit.</u>

26 "Goods" means chattels, movable goods, merchandise, or

personal property or commodities of any form or type that may
 be lawfully kept or offered for sale.

3 "Interactive computer service" means any information 4 service, system, or access software provider that provides or 5 enables computer access by multiple users to a computer server, 6 including specifically a service or system that provides access 7 to the Internet.

"Internet auction listing service" means a website on the 8 9 Internet, or other interactive computer service, that is 10 designed to allow or advertise as a means of allowing users to 11 offer personal property or services for sale or lease to a prospective buyer or lessee through an online on-line bid 12 13 submission process using that website or interactive computer service and that does not examine, set the price, prepare the 14 15 description of the personal property or service to be offered, 16 or in any way utilize the services of a natural person as an 17 auctioneer.

18 "Licensee" means any person licensed under this Act.

19 "Managing auctioneer" means any person licensed as an 20 auctioneer who manages and supervises licensees sponsored by an 21 auction firm or auctioneer.

22 "Person" means an individual, association, partnership, 23 corporation, or limited liability company or the officers, 24 directors, or employees of the same.

25 "Pre-renewal period" means the 24 months prior to the 26 expiration date of a license issued under this Act. 10100SB1674sam001 -6- LRB101 08972 AMC 58753 a

"Real estate" means real estate as defined in Section 1-10 1 of the Real Estate License Act of 2000 or its successor Acts. 2 "Secretary" means the Secretary of the Department of 3 4 Financial and Professional Regulation or his or her designee. 5 "Sponsoring auctioneer" means the auctioneer or auction firm who has issued a sponsor card to a licensed auctioneer. 6 "Sponsor card" means the temporary permit issued by the 7 sponsoring auctioneer certifying that the licensee named 8 9 thereon is employed by or associated with the sponsoring 10 auctioneer and the sponsoring auctioneer shall be responsible 11 for the actions of the sponsored licensee. (Source: P.A. 100-534, eff. 9-22-17.) 12 (225 ILCS 407/10-5) 13 14 (Section scheduled to be repealed on January 1, 2020) 15 10-5. Requirements for auctioneer Sec. license; application. Every person who desires to obtain an auctioneer 16 license under this Act shall: 17 (1) apply to the Department on forms provided by the 18 19 Department accompanied by the required fee; 20 (2) be at least 18 years of age; 21 (3) have attained a high school diploma or successfully 22 completed an equivalent course of study determined by an 23 examination conducted by the Illinois State Board of 24 Education; and (4) pass a written examination authorized by the 25

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Department to prove competence, including but not limited to general knowledge of Illinois and federal laws pertaining to personal property contracts, auctions, real property, ethics, and other topics relating to the auction business<u>.; and</u>

6 (5) submit to the Department a properly completed 7 45 Day Permit Sponsor Card on forms provided by the 8 Department.

9 (Source: P.A. 95-572, eff. 6-1-08.)

10 (225 ILCS 407/10-22 new)

Sec. 10-22. Address of record; email address of record. All applicants and licensees shall:

13 (1) provide a valid address and email address to the 14 Department, which shall serve as the address of record and email address of record, respectively, at the time of 15 application for licensure or renewal of a license; and 16 (2) inform the Department of any change of address of 17 18 record or email address of record within 14 days after such 19 change either through the Department's website or by 20 contacting the Department's licensure maintenance unit.

21 (225 ILCS 407/10-40)

22 (Section scheduled to be repealed on January 1, 2020)

23 Sec. 10-40. Restoration.

24 (a) A licensee whose license has lapsed or expired shall

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1 have 2 years from the expiration date to restore his or her license without examination. The expired licensee shall make 2 3 application to the Department on forms provided by the 4 Department, including a properly completed 45-day permit 5 sponsor card, provide evidence of successful completion of 12 hours of approved continuing education during the period of 6 time the license had lapsed, and pay all fees and penalties as 7 8 established by rule.

9 (b) Notwithstanding any other provisions of this Act to the 10 contrary, any licensee whose license under this Act has expired 11 is eligible to restore such license without paying any lapsed 12 fees and penalties <u>if</u> provided that the license expired while 13 the licensee was:

14 (1) on active duty with the United States Army, United
15 States Marine Corps, United States Navy, United States Air
16 Force, United States Coast Guard, the State Militia called
17 into service or training;

18 (2) engaged in training or education under the 19 supervision of the United States prior to induction into 20 military service; or

(3) serving as an employee of the Department, while the
employee was required to surrender his or her license due
to a possible conflict of interest.

A licensee shall be eligible to restore a license under the provisions of this subsection for a period of 2 years following the termination of the service $\underline{or_{7}}$ education $\underline{if_{7}}$ or training by 10100SB1674sam001 -9- LRB101 08972 AMC 58753 a

providing a properly completed application and 45-day permit sponsor card, provided that the termination was by other than dishonorable discharge and provided that the licensee furnishes the Department with an affidavit specifying that the licensee has been so engaged.

6 (c) At any time after the suspension, revocation, placement on probationary status, or other disciplinary action taken 7 8 under this Act with reference to any license, the Department 9 may restore the license to the licensee without examination 10 upon the order of the Secretary, if the licensee submits a 11 properly completed application and 45-day permit sponsor card, pays the appropriate fees, and otherwise complies with the 12 13 conditions of the order.

14 (Source: P.A. 95-331, eff. 8-21-07; 95-572, eff. 6-1-08; 15 96-730, eff. 8-25-09.)

16 (225 ILCS 407/10-45)

17 (Section scheduled to be repealed on January 1, 2020)

18 Sec. 10-45. Nonresident auctioneer reciprocity.

(a) A person holding a license to engage in auctions issued to him or her by the proper authority of a state, territory, or possession of the United States of America or the District of Columbia that has licensing requirements equal to or substantially equivalent to the requirements of this State and that otherwise meets the requirements of this Act may obtain a license under this Act without examination <u>if</u>, provided: 10100SB1674sam001 -10- LRB101 08972 AMC 58753 a

1 (1) that the Department has entered into a valid 2 reciprocal agreement with the proper authority of the 3 state, territory, or possession of the United States of 4 America or the District of Columbia from which the 5 nonresident applicant has a valid license;

6 (2) that the applicant provides the Department with a 7 certificate of good standing from the applicant's state of 8 licensure;

9 (3) that the applicant completes and submits an 10 application as provided by the Department; and

11 (4) that the applicant pays all applicable fees
12 required under this Act.

13 (b) A nonresident applicant shall file an irrevocable 14 consent with the Department that actions may be commenced 15 against the applicant or nonresident licensee in a court of 16 competent jurisdiction in this State by the service of summons, process, or other pleading authorized by the law upon the 17 Secretary. The consent shall stipulate and agree that service 18 of the process, summons, or pleading upon the Secretary shall 19 20 be taken and held in all courts to be valid and binding as if 21 actual service had been made upon the applicant in Illinois. If 22 a summons, process, or other pleading is served upon the 23 Secretary, it shall be by duplicate copies, one of which shall 24 be retained by the Department and the other immediately 25 forwarded by certified or registered mail or email to the last known business address or email address of record of the 26

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1 applicant or nonresident licensee against whom the summons, process, or other pleading may be directed. 2 (Source: P.A. 95-572, eff. 6-1-08; 96-730, eff. 8-25-09.) 3 4 (225 ILCS 407/15-5) (Section scheduled to be repealed on January 1, 2020) 5 Sec. 15-5. Representations. An auctioneer or auction firm, 6 the sponsored licensees, agents, or employees of an 7 or auctioneer or auction firm, conducting an auction or providing 8 9 an auction service shall not: 10 (1) misrepresent a fact material to a purchaser's decision to buy at or by auction; 11 (2) predict specific or immediate increases in the 12 13 value of any item offered for sale at auction; or 14 materially misrepresent the qualities (3) or characteristics of any item offered for sale at auction. 15 (Source: P.A. 96-730, eff. 8-25-09.) 16 17 (225 ILCS 407/15-15) 18 (Section scheduled to be repealed on January 1, 2020) 19 Sec. 15-15. Supervisory duties. The sponsoring auctioneer, 20 auction firm, and managing auctioneer shall have the duty and 21 responsibility to supervise, manage, and control any sponsored 22 licensee, agent, or employee while conducting an auction or 23 providing an auction service. Any violation of this Act by a 24 sponsored licensee, agent, or employee of an a sponsoring

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auctioneer, auction firm, or managing auctioneer shall be deemed to be a violation by the sponsoring auctioneer, auction firm, or managing auctioneer as well as by the sponsored licensee, agent, or employee.

5 (Source: P.A. 91-603, eff. 1-1-00.)

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(225 ILCS 407/20-15)

(Section scheduled to be repealed on January 1, 2020)

8 Sec. 20-15. Disciplinary actions; grounds. The Department 9 may refuse to issue or renew a license, may place on probation 10 or administrative supervision, suspend, or revoke any license or may reprimand or take other disciplinary or non-disciplinary 11 12 action as the Department may deem proper, including the imposition of fines not to exceed \$10,000 for each violation 13 14 upon anyone licensed under this Act for any of the following 15 reasons:

(1) False or fraudulent representation or material
 misstatement in furnishing information to the Department
 in obtaining or seeking to obtain a license.

19 (2) Violation of any provision of this Act or the rules
20 adopted under promulgated pursuant to this Act.

(3) Conviction of or entry of a plea of guilty or nolo contendere to any crime that is a felony under the laws of the United States or any state or territory thereof, or that is a misdemeanor, an essential element of which is dishonesty, or any crime that is directly related to the 1 practice of the profession.

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(3.5) Failing to notify the Department of any criminal conviction that occurs during the licensee's term of licensure within 30 days after the conviction.

5 (4) Being adjudged to be a person under legal 6 disability or subject to involuntary admission or to meet 7 the standard for judicial admission as provided in the 8 Mental Health and Developmental Disabilities Code.

9 (5) Discipline of a licensee by another state, the 10 District of Columbia, a territory of the United States, a foreign nation, a governmental agency, or any other entity 11 authorized to impose discipline if at least one of the 12 13 grounds for that discipline is the same as or the 14 equivalent to one of the grounds for discipline set forth 15 in this Act or for failing to report to the Department, within 30 days, any adverse final action taken against the 16 licensee by any other licensing jurisdiction, government 17 agency, law enforcement agency, or court, or liability for 18 19 conduct that would constitute grounds for action as set 20 forth in this Act.

(6) Engaging in the practice of auctioneering,
conducting an auction, or providing an auction service
without a license or after the license was expired,
revoked, suspended, or terminated or while the license was
inoperative.

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(7) Attempting to subvert or cheat on the auctioneer

exam or any continuing education exam, or aiding or
 abetting another to do the same.

3 (8) Directly or indirectly giving to or receiving from a person, firm, corporation, partnership, or association a 4 5 fee, commission, rebate, or other form of compensation for professional service not actually or personally rendered, 6 except that an auctioneer licensed under this Act may 7 receive a fee from another licensed auctioneer from this 8 9 State or jurisdiction for the referring of a client or 10 prospect for auction services to the licensed auctioneer.

11 (9) Making any substantial misrepresentation or 12 untruthful advertising.

13 (10) Making any false promises of a character likely to14 influence, persuade, or induce.

(11) Pursuing a continued and flagrant course of
 misrepresentation or the making of false promises through a
 licensee, agent, employee, advertising, or otherwise.

18 (12) Any misleading or untruthful advertising, or 19 using any trade name or insignia of membership in any 20 auctioneer association or organization of which the 21 licensee is not a member.

(13) Commingling funds of others with his or her own
funds or failing to keep the funds of others in an escrow
or trustee account.

(14) Failure to account for, remit, or return any
 moneys, property, or documents coming into his or her

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1 possession that belong to others, acquired through the 2 practice of auctioneering, conducting an auction, or 3 providing an auction service within 30 days of the written 4 request from the owner of said moneys, property, or 5 documents.

6 (15) Failure to maintain and deposit into a special 7 account, separate and apart from any personal or other 8 business accounts, all moneys belonging to others 9 entrusted to a licensee while acting as an auctioneer, 10 associate auctioneer, auction firm, or as a temporary 11 custodian of the funds of others.

(16) Failure to make available to Department personnel during normal business hours all escrow and trustee records and related documents maintained in connection with the practice of auctioneering, conducting an auction, or providing an auction service within 24 hours after a request from Department personnel.

18 (17) Making or filing false records or reports in his
19 or her practice, including but not limited to false records
20 or reports filed with State agencies.

(18) Failing to voluntarily furnish copies of all
written instruments prepared by the auctioneer and signed
by all parties to all parties at the time of execution.

(19) Failing to provide information within 30 days in
 response to a written request made by the Department.

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(20) Engaging in any act that constitutes a violation

of Section 2-102, 3-103, or 3-105 of the Illinois Human
 Rights Act.

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(21) (Blank).

4 (22) Engaging in dishonorable, unethical, or
5 unprofessional conduct of a character likely to deceive,
6 defraud, or harm the public.

7 (23) Offering or advertising real estate for sale or
8 lease at auction without a valid broker or managing
9 broker's license under the Real Estate License Act of 1983,
10 or any successor Act, unless exempt from licensure under
11 the terms of the Real Estate License Act of 2000, or any
12 successor Act, except as provided for in Section 5-32 of
13 the Real Estate License Act of 2000.

14 (24) Inability to practice the profession with
15 reasonable judgment, skill, or safety as a result of a
16 physical illness, including, but not limited to,
17 deterioration through the aging process or loss of motor
18 skill, or a mental illness or disability.

(25) A pattern of practice or other behavior that
 demonstrates incapacity or incompetence to practice under
 this Act.

(26) Being named as a perpetrator in an indicated report by the Department of Children and Family Services under the Abused and Neglected Child Reporting Act and upon proof by clear and convincing evidence that the licensee has caused a child to be an abused child or a neglected child as defined in the Abused and Neglected Child
 Reporting Act.

3 (27) Inability to practice with reasonable judgment,
4 skill, or safety as a result of habitual or excessive use
5 or addiction to alcohol, narcotics, stimulants, or any
6 other chemical agent or drug.

7 (28) <u>Willfully</u> Wilfully failing to report an instance
8 of suspected child abuse or neglect as required by the
9 Abused and Neglected Child Reporting Act.

10 The entry of an order by a circuit court establishing that 11 any person holding a license under this Act is subject to involuntary admission or judicial admission, as provided for in 12 13 the Mental Health and Developmental Disabilities Code, 14 operates as an automatic suspension of that license. That 15 person may have his or her license restored only upon the 16 determination by a circuit court that the patient is no longer subject to involuntary admission or judicial admission and the 17 18 issuance of an order so finding and discharging the patient and upon the Board's recommendation to the Department that the 19 20 license be restored. Where circumstances so indicate, the Board 21 may recommend to the Department that it require an examination 22 prior to restoring a suspended license.

If the Department or Board finds an individual unable to practice because of the reasons set forth in this Section, the Department or Board may require that individual to submit to care, counseling, or treatment by physicians approved or 10100SB1674sam001 -18- LRB101 08972 AMC 58753 a

1 designated by the Department or Board, as a condition, term, or 2 restriction for continued, reinstated, or renewed licensure to practice; or, in lieu of care, counseling, or treatment, the 3 4 Department may file, or the Board may recommend to the 5 Department to file, a complaint to immediately suspend, revoke, 6 or otherwise discipline the license of the individual. An individual whose license was granted, continued, reinstated, 7 renewed, disciplined or supervised subject to such terms, 8 9 conditions, or restrictions, and who fails to comply with such 10 terms, conditions, or restrictions, shall be referred to the Secretary for a determination as to whether the individual 11 shall have his or her license suspended immediately, pending a 12 13 hearing by the Department. If In instances in which the 14 Secretary immediately suspends a person's license under this 15 Section, a hearing on that person's license must be convened by 16 the Department within 21 days after the suspension and completed without appreciable delay. The Department and Board 17 shall have the authority to review the subject individual's 18 record of treatment and counseling regarding the impairment to 19 20 the extent permitted by applicable federal statutes and 21 regulations safeguarding the confidentiality of medical 22 records.

An individual licensed under this Act and affected under this Section shall be afforded an opportunity to demonstrate to the Department or Board that he or she can resume practice in compliance with acceptable and prevailing standards under the 10100SB1674sam001

1 provisions of his or her license.

In enforcing this Section, the Department or Board, upon a 2 showing of a possible violation, may compel an individual 3 4 licensed to practice under this Act, or who has applied for 5 licensure under this Act, to submit to a mental or physical 6 examination, or both, as required by and at the expense of the Department. The Department or Board may order the examining 7 physician to present testimony concerning the mental or 8 9 physical examination of the licensee or applicant. No 10 information shall be excluded by reason of any common law or 11 statutory privilege relating to communications between the licensee or applicant and the examining physician. 12 The 13 examining physicians shall be specifically designated by the 14 Board or Department. The individual to be examined may have, at 15 his or her own expense, another physician of his or her choice 16 present during all aspects of this examination. Failure of an individual to submit to a mental or physical examination when 17 directed shall be grounds for suspension of his or her license 18 until the individual submits to the examination, if the 19 20 Department finds that, after notice and hearing, the refusal to submit to the examination was without reasonable cause. 21

22 (Source: P.A. 98-553, eff. 1-1-14.)

23 (225 ILCS 407/20-43)

24 (Section scheduled to be repealed on January 1, 2020)
25 Sec. 20-43. Investigations; notice and hearing. The

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1 Department may investigate the actions or qualifications of any 2 applicant, unlicensed person, or person rendering or offering to render auction services, or holding or claiming to hold a 3 4 license as a licensed auctioneer. At least 30 days before any 5 disciplinary hearing under this Act, the Department shall: (i) 6 notify the accused in writing of the charges made and the time and place of the hearing; (ii) direct the accused to file with 7 8 the Board a written answer under oath to the charges within 20 9 days of receiving service of the notice; and (iii) inform the 10 accused that if he or she fails to file an answer to the 11 charges within 20 days of receiving service of the notice, a default judgment judgement may be entered against him or her, 12 13 or his or her license may be suspended, revoked, placed on probationary status, or other disciplinary action taken with 14 15 regard to the license as the Department may consider proper, 16 including, but not limited to, limiting the scope, nature, or extent of the licensee's practice, or imposing a fine. 17

At the time and place of the hearing fixed in the notice, the Board shall proceed to hear the charges and the accused or his or her counsel shall be accorded ample opportunity to present any pertinent statements, testimony, evidence, and arguments in his or her defense. The Board may continue the hearing when it deems it appropriate.

Notice Written notice of the hearing may be served by personal delivery, or by certified mail, or, at the discretion of the Department, by an electronic means to the licensee's 1 <u>last known address or email address of record.</u> to the last 2 <u>known address of record, unless specified as otherwise by the</u> 3 <u>accused in his or her last communication with the Department.</u> 4 (Source: P.A. 96-730, eff. 8-25-09.)

5 (225 ILCS 407/20-56)

6 (Section scheduled to be repealed on January 1, 2020)

7 Sec. 20-56. Board; rehearing. At the conclusion of the 8 hearing, a copy of the Board's report shall be served upon the 9 applicant, or licensee, or unlicensed person by the Department, 10 either personally or as provided in this Act for the service of a notice of hearing. Within 20 days after service, the 11 applicant or licensee may present to the Department a motion in 12 13 writing for a rehearing, which shall specify the particular 14 grounds for rehearing. The Department may respond to the motion for rehearing within 20 days after its service on the 15 16 Department. If no motion for rehearing is filed, then upon the expiration of the time specified for filing such a motion, or 17 if a motion for rehearing is denied, then upon denial, the 18 19 Secretary may enter an order in accordance with recommendations 20 of the Board except as provided in Section 120 of this Act. If 21 the applicant or licensee orders from the reporting service and 22 pays for a transcript of the record within the time for filing 23 a motion for rehearing, the 20-day period within which a motion 24 may be filed shall commence upon the delivery of the transcript 25 to the applicant or licensee.

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1 (Source: P.A. 96-730, eff. 8-25-09.)
2 (225 ILCS 407/10-15a rep.)
3 (225 ILCS 407/10-35 rep.)
4 (225 ILCS 407/20-25 rep.)
5 (225 ILCS 407/20-70 rep.)
6 Section 15. The Auction License Act is amended by repealing
7 Sections 10-15a, 10-35, 20-25, and 20-70.

8 Section 99. Effective date. This Act takes effect upon 9 becoming law.".