1 AN ACT concerning State government.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- Section 5. The Illinois Governmental Ethics Act is amended by changing Section 4A-106.5 as follows:
- 6 (5 ILCS 420/4A-106.5)

4A-106.5. Persons filing statements with county 8 clerk; notice; certification of list of names; alphabetical 9 list; receipt; examination and copying of statements. The statements of economic interests required of persons listed in 10 Section 4A-101.5 shall be filed with the county clerk of the 11 county in which the principal office of the unit of local 12 government with which the person is associated is located. If 13 14 it is not apparent which county the principal office of a unit of local government is located, the chief administrative 15 16 officer, or his or her designee, has the authority, for 17 purposes of this Act, to determine the county in which the principal office is located. Annually, on or before February 1, 18 19 the The chief administrative officer, or his or her designee, 20 of each unit of local government with persons described in 21 Section 4A-101.5 shall certify to the appropriate county clerk 22 a list of names and addresses of persons that are required to file. In preparing the lists, each chief administrative 2.3

officer, or his or her designee, shall set out the names in alphabetical order.

On or before April 1 annually, the county clerk of each county shall notify all persons whose names have been certified to him under Section 4A-101.5, other than candidates for office who have filed their statements with their nominating petitions, of the requirements for filing statements of economic interests. A person required to file with a county clerk by virtue of more than one item among items set forth in Section 4A-101.5 shall be notified of and is required to file only one statement of economic interests relating to all items under which the person is required to file with that county clerk.

Except as provided in Section 4A-106.1, the notices provided for in this Section shall be in writing and deposited in the U.S. Mail, properly addressed, first class postage prepaid, on or before the day required by this Section for the sending of the notice. Alternatively, a county clerk may send the notices electronically to all persons whose names have been thus certified to him. A certificate executed by a county clerk attesting that he or she has sent the notice by the means permitted by this Section constitutes prima facie evidence thereof.

From the lists certified to him or her under this Section of persons described in Section 4A-101.5, the clerk of each county shall compile an alphabetical listing of persons

who have filed statements.

required to file statements of economic interests in his or her office under any of those items. As the statements are filed in his or her office, the county clerk shall cause the fact of that filing to be indicated on the alphabetical listing of persons who are required to file statements. Within 30 days after the due dates, the county clerk shall mail to the State Board of Elections a true copy of that listing showing those

The county clerk of each county shall note upon the alphabetical listing the names of all persons required to file a statement of economic interests who failed to file a statement on or before May 1. It shall be the duty of the several county clerks to give notice as provided in Section 4A-105 to any person who has failed to file his or her statement with the clerk on or before May 1.

Any person who files or has filed a statement of economic interest under this Section is entitled to receive from the county clerk a receipt indicating that the person has filed such a statement, the date of filing, and the identity of the governmental unit or units in relation to which the filing is required.

All statements of economic interests filed under this Section shall be available for examination and copying by the public at all reasonable times.

25 (Source: P.A. 101-221, eff. 8-9-19.)

- 1 Section 10. The State Officials and Employees Ethics Act is
- 2 amended by changing Sections 5-10.5, 20-5, 20-50, 20-63, 20-90,
- 3 25-5, 25-50, 25-63, and 25-90 as follows:
- 4 (5 ILCS 430/5-10.5)
- 5 Sec. 5-10.5. Harassment and discrimination prevention
- 6 training.

(a) Until 2020, each officer, member, and employee must 7 8 complete, at least annually, a sexual harassment training 9 program. A person who fills a vacancy in an elective or 10 appointed position that requires training under this Section 11 must complete his or her initial sexual harassment training 12 program within 30 days after commencement of his or her office 1.3 or employment. The training shall include, at a minimum, the following: (i) the definition, and a description, of sexual 14 15 harassment utilizing examples; (ii) details on how 16 individual can report an allegation of sexual harassment, including options for making a confidential report to a 17 supervisor, ethics officer, Inspector General, 18 or the 19 Department of Human Rights; (iii) the definition, and 20 description of, retaliation for reporting sexual harassment 21 allegations utilizing examples, including availability of 22 whistleblower protections under this Act, the Whistleblower Act, and the Illinois Human Rights Act; and (iv) the 23 24 consequences of a violation of the prohibition on sexual

harassment and the consequences for knowingly making a false

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report. Proof of completion must be submitted to the applicable ethics officer. Sexual harassment training programs shall be overseen by the appropriate Ethics Commission and Inspector General appointed under this Act.

(a-5) Beginning in 2020, each officer, member, and employee at least annually, а harassment discrimination prevention training program. A person who fills a vacancy in an elective or appointed position that requires training under this subsection must complete his or her initial harassment and discrimination prevention training program within 30 days after commencement of his or her office or employment. The training shall include, at a minimum, the following: (i) the definition and a description of sexual harassment, unlawful discrimination, and harassment, including examples of each; (ii) details on how an individual can report an allegation of sexual harassment, unlawful discrimination, or harassment, including options for making a confidential report to a supervisor, ethics officer, Inspector General, or the Department of Human Rights; (iii) the definition and description of retaliation for reporting sexual harassment, unlawful discrimination, or harassment allegations utilizing examples, including availability of whistleblower protections under this Act, the Whistleblower Act, and the Illinois Human Rights Act; and (iv) the consequences of a violation of the prohibition on sexual harassment, unlawful discrimination, and harassment and the consequences for knowingly making a false

- 1 report. Proof of completion must be submitted to the applicable
- 2 ethics officer. Harassment and discrimination training
- 3 programs shall be overseen by the appropriate Ethics Commission
- 4 and Inspector General appointed under this Act.
- 5 For the purposes of this subsection, "unlawful
- 6 discrimination" and "harassment" refer refers to
- 7 discrimination and harassment prohibited under Section 2-102
- 8 of the Illinois Human Rights Act.
- 9 (b) Each ultimate jurisdictional authority shall submit to
- 10 the applicable Ethics Commission, at least annually, or more
- 11 frequently as required by that Commission, a report that
- 12 summarizes the harassment and discrimination prevention sexual
- 13 harassment training program that was completed during the
- 14 previous year, and lays out the plan for the training program
- in the coming year. The report shall include the names of
- 16 individuals that failed to complete the required training
- 17 program. Each Ethics Commission shall make the reports
- 18 available on its website.
- 19 (Source: P.A. 100-554, eff. 11-16-17; 101-221, eff. 8-9-19;
- 20 revised 9-12-19.)
- 21 (5 ILCS 430/20-5)
- Sec. 20-5. Executive Ethics Commission.
- 23 (a) The Executive Ethics Commission is created.
- 24 (b) The Executive Ethics Commission shall consist of 9
- commissioners. The Governor shall appoint 5 commissioners, and

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the Attorney General, Secretary of State, Comptroller, and Treasurer shall each appoint one commissioner. Appointments shall be made by and with the advice and consent of the Senate by three-fifths of the elected members concurring by record vote. Any nomination not acted upon by the Senate within 60 session days of the receipt thereof shall be deemed to have received the advice and consent of the Senate. If, during a recess of the Senate, there is a vacancy in an office of commissioner, the appointing authority shall make a temporary appointment until the next meeting of the Senate when the appointing authority shall make a nomination to fill that office. No person rejected for an office of commissioner shall, except by the Senate's request, be nominated again for that office at the same session of the Senate or be appointed to that office during a recess of that Senate. No more than 5 commissioners may be of the same political party.

The terms of the initial commissioners shall commence upon qualification. Four initial appointees of the Governor, as designated by the Governor, shall serve terms running through June 30, 2007. One initial appointee of the Governor, as designated by the Governor, and the initial appointees of the Attorney General, Secretary of State, Comptroller, Treasurer shall serve terms running through June 30, 2008. The initial appointments shall be made within 60 days after the effective date of this Act.

After the initial terms, commissioners shall serve for

- 1 4-year terms commencing on July 1 of the year of appointment
- 2 and running through June 30 of the fourth following year.
- 3 Commissioners may be reappointed to one or more subsequent
- 4 terms.
- 5 Vacancies occurring other than at the end of a term shall
- 6 be filled by the appointing authority only for the balance of
- 7 the term of the commissioner whose office is vacant.
- 8 Terms shall run regardless of whether the position is
- 9 filled.
- 10 (c) The appointing authorities shall appoint commissioners
- 11 who have experience holding governmental office or employment
- 12 and shall appoint commissioners from the general public. A
- 13 person is not eligible to serve as a commissioner if that
- 14 person (i) has been convicted of a felony or a crime of
- 15 dishonesty or moral turpitude, (ii) is, or was within the
- 16 preceding 12 months, engaged in activities that require
- 17 registration under the Lobbyist Registration Act, (iii) is
- 18 related to the appointing authority, or (iv) is a State officer
- 19 or employee.
- 20 (d) The Executive Ethics Commission shall have
- 21 jurisdiction over all officers and employees of State agencies
- 22 other than the General Assembly, the Senate, the House of
- 23 Representatives, the President and Minority Leader of the
- 24 Senate, the Speaker and Minority Leader of the House of
- 25 Representatives, the Senate Operations Commission, the
- 26 legislative support services agencies, and the Office of the

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Auditor General. The Executive Ethics Commission shall have 1 2 jurisdiction over all board members and employees of Regional Transit Boards. The jurisdiction of the Commission is limited 3 to matters arising under this Act, except as provided in 4 5 subsection (d-5).

A member or legislative branch State employee serving on an executive branch board or commission remains subject to the jurisdiction of the Legislative Ethics Commission and is not subject to the jurisdiction of the Executive Ethics Commission.

- (d-5)Executive Ethics Commission shall jurisdiction over all chief procurement officers and procurement compliance monitors and their respective staffs. The Executive Ethics Commission shall have jurisdiction over any matters arising under the Illinois Procurement Code if the Commission is given explicit authority in that Code.
- (1) The Executive Ethics Commission shall have jurisdiction over the Illinois Power Agency and its staff. The Director of the Agency shall be appointed by a majority of the commissioners of the Executive Ethics Commission, subject to Senate confirmation, for a term of 2 years. The Director is removable for cause by a majority of the Commission upon a finding of neglect, malfeasance, absence, or incompetence.
- (2) In case of a vacancy in the office of Director of the Illinois Power Agency during a recess of the Senate, the Executive Ethics Commission may make a temporary appointment until the next meeting of the Senate, at which time the

- 1 Executive Ethics Commission shall nominate some person to fill
- 2 the office, and any person so nominated who is confirmed by the
- 3 Senate shall hold office during the remainder of the term and
- 4 until his or her successor is appointed and qualified. Nothing
- 5 in this subsection shall prohibit the Executive Ethics
- 6 Commission from removing a temporary appointee or from
- 7 appointing a temporary appointee as the Director of the
- 8 Illinois Power Agency.
- 9 (3) Prior to June 1, 2012, the Executive Ethics Commission
- 10 may, until the Director of the Illinois Power Agency is
- 11 appointed and qualified or a temporary appointment is made
- 12 pursuant to paragraph (2) of this subsection, designate some
- 13 person as an acting Director to execute the powers and
- 14 discharge the duties vested by law in that Director. An acting
- 15 Director shall serve no later than 60 calendar days, or upon
- the making of an appointment pursuant to paragraph (1) or (2)
- of this subsection, whichever is earlier. Nothing in this
- 18 subsection shall prohibit the Executive Ethics Commission from
- 19 removing an acting Director or from appointing an acting
- 20 Director as the Director of the Illinois Power Agency.
- 21 (4) No person rejected by the Senate for the office of
- 22 Director of the Illinois Power Agency shall, except at the
- 23 Senate's request, be nominated again for that office at the
- same session or be appointed to that office during a recess of
- 25 that Senate.
- 26 (d-7) The Executive Ethics Commission shall have

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- jurisdiction over complainants <u>and respondents</u> in violation of <u>subsection</u> (d) of <u>Section 20-90</u> subsection (e) of <u>Section</u> 3 <u>20-63</u>.
 - (e) The Executive Ethics Commission must meet, either in person or by other technological means, at least monthly and as often as necessary. At the first meeting of the Executive Ethics Commission, the commissioners shall choose from their number a chairperson and other officers that they deem appropriate. The terms of officers shall be for 2 years commencing July 1 and running through June 30 of the second following year. Meetings shall be held at the call of the chairperson or any 3 commissioners. Official action by the shall require the affirmative Commission vote commissioners, and a quorum shall consist of 5 commissioners. Commissioners shall receive compensation in an amount equal to the compensation of members of the State Board of Elections and may be reimbursed for their reasonable expenses actually incurred in the performance of their duties.
 - (f) No commissioner or employee of the Executive Ethics Commission may during his or her term of appointment or employment:
 - (1) become a candidate for any elective office;
 - (2) hold any other elected or appointed public office except for appointments on governmental advisory boards or study commissions or as otherwise expressly authorized by law;

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- 1 (3) be actively involved in the affairs of any political party or political organization; or
 - (4) advocate for the appointment of another person to an appointed or elected office or position or actively participate in any campaign for any elective office.
- 6 (g) An appointing authority may remove a commissioner only
 7 for cause.
 - (h) The Executive Ethics Commission shall appoint an Executive Director. The compensation of the Executive Director shall be as determined by the Commission. The Executive Director of the Executive Ethics Commission may employ and determine the compensation of staff, as appropriations permit.
 - (i) The Executive Ethics Commission shall appoint, by a majority of the members appointed to the Commission, chief procurement officers and may appoint procurement compliance monitors in accordance with the provisions of the Illinois Procurement Code. The compensation of a chief procurement officer and procurement compliance monitor shall be determined by the Commission.
- 20 (Source: P.A. 100-43, eff. 8-9-17; 101-221, eff. 8-9-19.)
- 21 (5 ILCS 430/20-50)
- Sec. 20-50. Investigation reports.
- 23 (a) If an Executive Inspector General, upon the conclusion 24 of an investigation, determines that reasonable cause exists to 25 believe that a violation has occurred, then the Executive

Inspector General shall issue a summary report of the investigation. The report shall be delivered to the appropriate ultimate jurisdictional authority and to the head of each State agency affected by or involved in the investigation, if appropriate. The appropriate ultimate jurisdictional authority or agency head shall respond to the summary report within 20 days, in writing, to the Executive Inspector General. The response shall include a description of any corrective or disciplinary action to be imposed. If the appropriate ultimate jurisdictional authority does not respond within 20 days, or within an extended time period as agreed to by the Executive Inspector General, an Executive Inspector General may proceed under subsection (c) as if a response had been received.

- (b) The summary report of the investigation shall include the following:
 - (1) A description of any allegations or other information received by the Executive Inspector General pertinent to the investigation.
 - (2) A description of any alleged misconduct discovered in the course of the investigation.
 - (3) Recommendations for any corrective or disciplinary action to be taken in response to any alleged misconduct described in the report, including but not limited to discharge.
 - (4) Other information the Executive Inspector General deems relevant to the investigation or resulting

recommendations.

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(c) Within 30 days after receiving a response from the appropriate ultimate jurisdictional authority or agency head under subsection (a), the Executive Inspector General shall notify the Commission and the Attorney General if the Executive Inspector General believes that a complaint should be filed with the Commission. If the Executive Inspector General desires to file a complaint with the Commission, the Executive Inspector General shall submit the summary report and supporting documents to the Attorney General. If the Attorney General concludes that there is insufficient evidence that a violation has occurred, the Attorney General shall notify the Inspector General and the Executive Inspector Executive General shall deliver to the Executive Ethics Commission a copy the summary report and response from the jurisdictional authority or agency head. If the Attorney General determines that reasonable cause exists to believe that a violation has occurred, then the Executive Inspector General, represented by the Attorney General, may file with the Executive Ethics Commission a complaint. The complaint shall set forth the alleged violation and the grounds that exist to support the complaint. The complaint must be filed with the Commission within 12 months after the Executive Inspector General's receipt of the allegation of the violation or within 18 months after the most recent act of the alleged violation or of a series of alleged violations, whichever is later, except

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where there is reasonable cause to believe that fraudulent concealment has occurred. To constitute fraudulent concealment sufficient to toll this limitations period, there must be an affirmative act or representation calculated to prevent discovery of the fact that a violation has occurred. If a complaint is not filed with the Commission within 6 months after notice by the Inspector General to the Commission and the Attorney General, then the Commission may set a meeting of the Commission at which the Attorney General shall appear and provide a status report to the Commission.

(c-5) Within 30 days after receiving a response from the appropriate ultimate jurisdictional authority or agency head under subsection (a), if the Executive Inspector General does not believe that a complaint should be filed, the Executive Inspector General shall deliver to the Executive Ethics Commission a statement setting forth the basis for the decision not to file a complaint and a copy of the summary report and response from the ultimate jurisdictional authority or agency head. An Inspector General may also submit a redacted version of the summary report and response from the ultimate jurisdictional authority if the Inspector General believes either contains information that, in the opinion of the Inspector General, should be redacted prior to releasing the report, may interfere with an ongoing investigation, or identifies an informant or complainant.

(c-10) If, after reviewing the documents, the Commission

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investigation is warranted, 1 believes that further 2 Commission may request that the Executive Inspector General 3 provide additional information or conduct further investigation. The Commission may also appoint a Special 4 5 Executive Inspector General to investigate or refer the summary 6 report and response from the ultimate jurisdictional authority 7 to the Attorney General for further investigation or review. If 8 the Commission requests the Attorney General to investigate or 9 review, the Commission must notify the Attorney General and the 10 Inspector General. The Attorney General may not begin an 11 investigation or review until receipt of notice from the 12 Commission. If, after review, the Attorney General determines 13 that reasonable cause exists to believe that a violation has 14 occurred, then the Attorney General may file a complaint with the Executive Ethics Commission. If the Attorney General 15 16 concludes that there is insufficient evidence that a violation 17 has occurred, the Attorney General shall notify the Executive Ethics Commission and the appropriate Executive Inspector 18 19 General.

- (d) A copy of the complaint filed with the Executive Ethics Commission must be served on all respondents named in the complaint and on each respondent's ultimate jurisdictional authority in the same manner as process is served under the Code of Civil Procedure.
- (e) A respondent may file objections to the complaint within 30 days after notice of the petition has been served on

1 the respondent.

- (f) The Commission shall meet, either in person or by telephone, at least 30 days after the complaint is served on all respondents in a closed session to review the sufficiency of the complaint. The Commission shall issue notice by certified mail, return receipt requested, to the Executive Inspector General, Attorney General, and all respondents of the Commission's ruling on the sufficiency of the complaint. If the complaint is deemed to sufficiently allege a violation of this Act, then the Commission shall include a hearing date scheduled within 4 weeks after the date of the notice, unless all of the parties consent to a later date. If the complaint is deemed not to sufficiently allege a violation, then the Commission shall send by certified mail, return receipt requested, a notice to the Executive Inspector General, Attorney General, and all respondents of the decision to dismiss the complaint.
- (g) On the scheduled date the Commission shall conduct a closed meeting, either in person or, if the parties consent, by telephone, on the complaint and allow all parties the opportunity to present testimony and evidence. All such proceedings shall be transcribed.
- (h) Within an appropriate time limit set by rules of the Executive Ethics Commission, the Commission shall (i) dismiss the complaint, (ii) issue a recommendation of discipline to the respondent and the respondent's ultimate jurisdictional authority, (iii) impose an administrative fine upon the

- 1 respondent, (iv) issue injunctive relief as described in
- 2 Section 50-10, or (v) impose a combination of (ii) through
- $3 \quad (iv).$
- 4 (i) The proceedings on any complaint filed with the
- 5 Commission shall be conducted pursuant to rules promulgated by
- 6 the Commission.
- 7 (j) The Commission may designate hearing officers to
- 8 conduct proceedings as determined by rule of the Commission.
- 9 (k) In all proceedings before the Commission, the standard
- of proof is by a preponderance of the evidence.
- 11 (1) Within 30 days after the issuance of a final
- 12 administrative decision that concludes that a violation
- occurred, the Executive Ethics Commission shall make public the
- 14 entire record of proceedings before the Commission, the
- decision, any recommendation, any discipline imposed, and the
- 16 response from the agency head or ultimate jurisdictional
- 17 authority to the Executive Ethics Commission.
- 18 (Source: P.A. 100-588, eff. 6-8-18; 101-221, eff. 8-9-19.)
- 19 (5 ILCS 430/20-63)
- Sec. 20-63. Rights of persons subjected to discrimination,
- 21 harassment, or sexual harassment.
- 22 (a) As used in this Section, "complainant" means a known
- 23 person identified in a complaint filed with an Executive
- 24 Inspector General as a person subjected to alleged
- 25 discrimination, harassment, or sexual harassment in violation

- of Section 5-65 of this Act, subsection (a) of Section 4.7 of the Lobbyist Registration Act, or Article 2 of the Illinois Human Rights Act, regardless of whether the complaint is filed by the person.
 - (b) A complainant shall have the following rights:
 - (1) within 5 business days of the Executive Inspector General receiving a complaint in which the complainant is identified, to be notified by the Executive Inspector General of the receipt of the complaint, the complainant's rights, and an explanation of the process, rules, and procedures related to the investigation of an allegation, and the duties of the Executive Inspector General and the Executive Ethics Commission;
 - Inspector General's decision to open or close an investigation into the complaint or refer the complaint to another appropriate agency, to be notified of the Executive Inspector General's decision; however, if the Executive Inspector General reasonably determines that publicly acknowledging the existence of an investigation would interfere with the conduct or completion of that investigation, the notification may be withheld until public acknowledgment of the investigation would no longer interfere with that investigation;
 - (3) <u>after an investigation has been opened, to have any</u> interviews of the complainant audio recorded by the

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- (4) to have a union representative, attorney, co-worker, or other support person who is not involved in the investigation, at the complainant's expense, present at any interview or meeting, whether in person or by telephone or audio-visual communication, between the complainant and the Executive Inspector General or Executive Ethics Commission;
- (5) to submit an impact statement that shall be included with the Executive Inspector General's summary report to the Executive Ethics Commission for its consideration;
 - (6) to testify at a hearing held under subsection (g)

of Section 20-50, to the extent the hearing is based on an allegation of a violation of Section 5-65 of this Act or subsection (a) of Section 4.7 of the Lobbyist Registration Act involving the complainant, and have a single union representative, attorney, co-worker, or other support person who is not involved in the investigation, at the complainant's expense, accompany him or her while testifying;

- (7) to review, within 5 business days prior to its release, any portion of a summary report of the investigation subject to public release under this Article related to the allegations concerning the complainant, after redactions made by the Executive Ethics Commission, and offer suggestions for redaction or provide a response that shall be made public with the summary report; and
- (8) to file a complaint with the Executive Ethics Commission for any violation of the complainant's rights under this Section by the Executive Inspector General.
- (c) The complainant shall have the sole discretion in determining whether to exercise the rights set forth in this Section. All rights under this Section shall be waived if the complainant fails to cooperate with the Executive Inspector General's investigation of the complaint.
- (d) The notice requirements imposed on Inspectors General by this Section shall be waived if the Inspector General is unable to identify or locate the complainant.

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- (e) (Blank). A complainant receiving a copy of any summary report, in whole or in part, under this Section shall keep the report confidential and shall not disclose the report prior to the publication of the report by the Executive Ethics Commission. A complainant that violates this subsection (e) shall be subject to an administrative fine by the Executive Ethics Commission of up to \$5,000.
- (Source: P.A. 101-221, eff. 8-9-19.)
- 9 (5 ILCS 430/20-90)
- Sec. 20-90. Confidentiality. 10
 - (a) The identity of any individual providing information or reporting any possible or alleged misconduct to an Executive Inspector General or the Executive Ethics Commission shall be kept confidential and may not be disclosed without the consent individual, unless the individual consents to disclosure of his or her name or disclosure of the individual's identity is otherwise required by law. The confidentiality granted by this subsection does not preclude the disclosure of the identity of a person in any capacity other than as the source of an allegation.
 - Subject to the provisions of Section 20-52,commissioners, employees, and agents of the Executive Ethics Commission, the Executive Inspectors General, and employees and agents of each Office of an Executive Inspector General, the Attorney General, and the employees and agents of the

- office of the Attorney General shall keep confidential and 1 2 shall not disclose information exempted from disclosure under the Freedom of Information Act or by this Act, provided the 3 identity of any individual providing information or reporting 4 5 any possible or alleged misconduct to the Executive Inspector 6 General for the Governor may be disclosed to an Inspector 7 General appointed or employed by a Regional Transit Board in accordance with Section 75-10. 8
- 9 (c) In his or her discretion, an Executive Inspector
 10 General may notify complainants and subjects of an
 11 investigation with an update on the status of the respective
 12 investigation, including when the investigation is opened and
 13 closed.
- 14 (d) A complainant, as defined in subsection (a) of Section 20-63, or a respondent who receives a copy of any summary 15 16 report, in whole or in part, shall keep the report confidential 17 and shall not disclose the report, or any portion thereof, 18 prior to the publication of the summary report by the Executive 19 Ethics Commission pursuant to this Act. A complainant or 20 respondent who violates this subsection (d) shall be in 21 violation of this Act and subject to an administrative fine by 22 the Executive Ethics Commission of up to \$5,000.
- 23 (Source: P.A. 100-588, eff. 6-8-18.)
- 24 (5 ILCS 430/25-5)
- 25 Sec. 25-5. Legislative Ethics Commission.

- 1 (a) The Legislative Ethics Commission is created.
- 2 (b) The Legislative Ethics Commission shall consist of 8
 3 commissioners appointed 2 each by the President and Minority
 4 Leader of the Senate and the Speaker and Minority Leader of the
 5 House of Representatives.

The terms of the initial commissioners shall commence upon qualification. Each appointing authority shall designate one appointee who shall serve for a 2-year term running through June 30, 2005. Each appointing authority shall designate one appointee who shall serve for a 4-year term running through June 30, 2007. The initial appointments shall be made within 60 days after the effective date of this Act.

After the initial terms, commissioners shall serve for 4-year terms commencing on July 1 of the year of appointment and running through June 30 of the fourth following year. Commissioners may be reappointed to one or more subsequent terms.

Vacancies occurring other than at the end of a term shall be filled by the appointing authority only for the balance of the term of the commissioner whose office is vacant.

Terms shall run regardless of whether the position is filled.

(c) The appointing authorities shall appoint commissioners who have experience holding governmental office or employment and may appoint commissioners who are members of the General Assembly as well as commissioners from the general public. A

commissioner who is a member of the General Assembly must recuse himself or herself from participating in any matter relating to any investigation or proceeding in which he or she is the subject or is a complainant. A person is not eligible to serve as a commissioner if that person (i) has been convicted of a felony or a crime of dishonesty or moral turpitude, (ii) is, or was within the preceding 12 months, engaged in activities that require registration under the Lobbyist Registration Act, (iii) is a relative of the appointing authority, (iv) is a State officer or employee other than a member of the General Assembly, or (v) is a candidate for statewide office, federal office, or judicial office.

- (c-5) If a commissioner is required to recuse himself or herself from participating in a matter as provided in subsection (c), the recusal shall create a temporary vacancy for the limited purpose of consideration of the matter for which the commissioner recused himself or herself, and the appointing authority for the recusing commissioner shall make a temporary appointment to fill the vacancy for consideration of the matter for which the commissioner recused himself or herself.
- (d) The Legislative Ethics Commission shall have jurisdiction over current and former members of the General Assembly regarding events occurring during a member's term of office and current and former State employees regarding events occurring during any period of employment where the State

employee's ultimate jurisdictional authority is (i) a legislative leader, (ii) the Senate Operations Commission, or (iii) the Joint Committee on Legislative Support Services. The Legislative Ethics Commission shall have jurisdiction over complainants and respondents in violation of subsection (d) of Section 25-90 subsection (e) of Section 25-63. The jurisdiction of the Commission is limited to matters arising under this Act.

An officer or executive branch State employee serving on a legislative branch board or commission remains subject to the jurisdiction of the Executive Ethics Commission and is not subject to the jurisdiction of the Legislative Ethics Commission.

- (e) The Legislative Ethics Commission must meet, either in person or by other technological means, monthly or as often as necessary. At the first meeting of the Legislative Ethics Commission, the commissioners shall choose from their number a chairperson and other officers that they deem appropriate. The terms of officers shall be for 2 years commencing July 1 and running through June 30 of the second following year. Meetings shall be held at the call of the chairperson or any 3 commissioners. Official action by the Commission shall require the affirmative vote of 5 commissioners, and a quorum shall consist of 5 commissioners. Commissioners shall receive no compensation but may be reimbursed for their reasonable expenses actually incurred in the performance of their duties.
 - (f) No commissioner, other than a commissioner who is a

- member of the General Assembly, or employee of the Legislative Ethics Commission may during his or her term of appointment or employment:
 - (1) become a candidate for any elective office;
 - (2) hold any other elected or appointed public office except for appointments on governmental advisory boards or study commissions or as otherwise expressly authorized by law;
 - (3) be actively involved in the affairs of any political party or political organization; or
 - (4) advocate for the appointment of another person to an appointed or elected office or position or actively participate in any campaign for any elective office.
 - Assembly may be a candidate for statewide office, federal office, or judicial office. If a commissioner who is a member of the General Assembly files petitions to be a candidate for a statewide office, federal office, or judicial office, he or she shall be deemed to have resigned from his or her position as a commissioner on the date his or her name is certified for the ballot by the State Board of Elections or local election authority and his or her position as a commissioner shall be deemed vacant. Such person may not be reappointed to the Commission during any time he or she is a candidate for statewide office, federal office, or judicial office.
 - (q) An appointing authority may remove a commissioner only

- 1 for cause.
- 2 (h) The Legislative Ethics Commission shall appoint an
- 3 Executive Director subject to the approval of at least 3 of the
- 4 4 legislative leaders. The compensation of the Executive
- 5 Director shall be as determined by the Commission. The
- 6 Executive Director of the Legislative Ethics Commission may
- 7 employ, subject to the approval of at least 3 of the 4
- 8 legislative leaders, and determine the compensation of staff,
- 9 as appropriations permit.
- 10 (i) In consultation with the Legislative Inspector
- 11 General, the Legislative Ethics Commission may develop
- 12 comprehensive training for members and employees under its
- 13 jurisdiction that includes, but is not limited to, sexual
- 14 harassment, employment discrimination, and workplace civility.
- The training may be recommended to the ultimate jurisdictional
- authorities and may be approved by the Commission to satisfy
- the sexual harassment training required under Section 5-10.5 or
- 18 be provided in addition to the annual sexual harassment
- training required under Section 5-10.5. The Commission may seek
- 20 input from governmental agencies or private entities for
- 21 guidance in developing such training.
- 22 (Source: P.A. 100-588, eff. 6-8-18; 101-81, eff. 7-12-19;
- 23 101-221, eff. 8-9-19.)
- 24 (5 ILCS 430/25-50)
- 25 Sec. 25-50. Investigation reports.

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If the Legislative Inspector General, upon the conclusion of an investigation, determines that reasonable cause exists to believe that a violation has occurred, then the Legislative Inspector General shall issue a summary report of the investigation. The report shall be delivered to the appropriate ultimate jurisdictional authority, to the head of each State agency affected by or involved in the investigation, if appropriate, and the member, if any, that is the subject of the report. The appropriate ultimate jurisdictional authority or agency head and the member, if any, that is the subject of the report shall respond to the summary report within 20 days, in writing, to the Legislative Inspector General. If the ultimate jurisdictional authority is the subject of the report, he or she may only respond to the summary report in his or her capacity as the subject of the report and shall not respond in his or her capacity as the ultimate jurisdictional authority. The response shall include a description of any corrective or disciplinary action to be imposed. If the appropriate ultimate jurisdictional authority or the member that is the subject of the report does not respond within 20 days, or within an extended time as agreed to by the Legislative Inspector General, the Legislative Inspector General may proceed under subsection (c) as if a response had been received. A member receiving and responding to a report under this Section shall be deemed to be acting in his or her official capacity.

(b) The summary report of the investigation shall include

1 the following:

- (1) A description of any allegations or other information received by the Legislative Inspector General pertinent to the investigation.
 - (2) A description of any alleged misconduct discovered in the course of the investigation.
 - (3) Recommendations for any corrective or disciplinary action to be taken in response to any alleged misconduct described in the report, including, but not limited to, discharge.
 - (4) Other information the Legislative Inspector General deems relevant to the investigation or resulting recommendations.
- (c) Within 30 days after receiving a response from the appropriate ultimate jurisdictional authority or agency head under subsection (a), the Legislative Inspector General shall notify the Commission and the Attorney General if the Legislative Inspector General believes that a complaint should be filed with the Commission. If the Legislative Inspector General desires to file a complaint with the Commission, the Legislative Inspector General shall submit the summary report and supporting documents to the Attorney General. If the Attorney General concludes that there is insufficient evidence that a violation has occurred, the Attorney General shall notify the Legislative Inspector General and the Legislative Inspector General shall deliver to the Legislative Ethics

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Commission a copy of the summary report and response from the ultimate jurisdictional authority or agency head. If the Attorney General determines that reasonable cause exists to believe that a violation has occurred, then the Legislative Inspector General, represented by the Attorney General, may file with the Legislative Ethics Commission a complaint. The complaint shall set forth the alleged violation and the grounds that exist to support the complaint. Except as provided under subsection (1.5) of Section 20, the complaint must be filed with the Commission within 12 months after the Legislative Inspector General's receipt of the allegation of the violation or within 18 months after the most recent act of the alleged violation or of a series of alleged violations, whichever is later, except where there is reasonable cause to believe that fraudulent concealment has occurred. To constitute fraudulent concealment sufficient to toll this limitations period, there must be an affirmative act or representation calculated to prevent discovery of the fact that a violation has occurred. If a complaint is not filed with the Commission within 6 months after notice by the Inspector General to the Commission and the Attorney General, then the Commission may set a meeting of the Commission at which the Attorney General shall appear and provide a status report to the Commission.

(c-5) Within 30 days after receiving a response from the appropriate ultimate jurisdictional authority or agency head under subsection (a), if the Legislative Inspector General does

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not believe that a complaint should be filed, the Legislative Inspector General shall deliver to the Legislative Ethics Commission a statement setting forth the basis for the decision not to file a complaint and a copy of the summary report and response from the ultimate jurisdictional authority or agency head. The Inspector General may also submit a redacted version summary report and response from the ultimate jurisdictional authority if the Inspector General believes either contains information that, in the opinion of the Inspector General, should be redacted prior to releasing the report, may interfere with an ongoing investigation, or identifies an informant or complainant.

(c-10) If, after reviewing the documents, the Commission believes that further investigation is warranted, Commission may request that the Legislative Inspector General provide additional information or conduct investigation. The Commission may also refer the summary report and response from the ultimate jurisdictional authority to the Attorney General for further investigation or review. If the Commission requests the Attorney General to investigate or review, the Commission must notify the Attorney General and the Legislative Inspector General. The Attorney General may not begin an investigation or review until receipt of notice from the Commission. If, after review, the Attorney General determines that reasonable cause exists to believe that a violation has occurred, then the Attorney General may file a

- complaint with the Legislative Ethics Commission. If the Attorney General concludes that there is insufficient evidence that a violation has occurred, the Attorney General shall notify the Legislative Ethics Commission and the appropriate Legislative Inspector General.
 - (d) A copy of the complaint filed with the Legislative Ethics Commission must be served on all respondents named in the complaint and on each respondent's ultimate jurisdictional authority in the same manner as process is served under the Code of Civil Procedure.
 - (e) A respondent may file objections to the complaint within 30 days after notice of the petition has been served on the respondent.
 - (f) The Commission shall meet, at least 30 days after the complaint is served on all respondents either in person or by telephone, in a closed session to review the sufficiency of the complaint. The Commission shall issue notice by certified mail, return receipt requested, to the Legislative Inspector General, the Attorney General, and all respondents of the Commission's ruling on the sufficiency of the complaint. If the complaint is deemed to sufficiently allege a violation of this Act, then the Commission shall include a hearing date scheduled within 4 weeks after the date of the notice, unless all of the parties consent to a later date. If the complaint is deemed not to sufficiently allege a violation, then the Commission shall send by certified mail, return receipt requested, a notice to

- the Legislative Inspector General, the Attorney General, and all respondents the decision to dismiss the complaint.
 - (g) On the scheduled date the Commission shall conduct a closed meeting, either in person or, if the parties consent, by telephone, on the complaint and allow all parties the opportunity to present testimony and evidence. All such proceedings shall be transcribed.
 - (h) Within an appropriate time limit set by rules of the Legislative Ethics Commission, the Commission shall (i) dismiss the complaint, (ii) issue a recommendation of discipline to the respondent and the respondent's ultimate jurisdictional authority, (iii) impose an administrative fine upon the respondent, (iv) issue injunctive relief as described in Section 50-10, or (v) impose a combination of items (ii) through (iv).
 - (i) The proceedings on any complaint filed with the Commission shall be conducted pursuant to rules promulgated by the Commission.
- 19 (j) The Commission may designate hearing officers to conduct proceedings as determined by rule of the Commission.
 - (k) In all proceedings before the Commission, the standard of proof is by a preponderance of the evidence.
 - (1) Within 30 days after the issuance of a final administrative decision that concludes that a violation occurred, the Legislative Ethics Commission shall make public the entire record of proceedings before the Commission, the

- decision, any recommendation, any discipline imposed, and the
- 2 response from the agency head or ultimate jurisdictional
- 3 authority to the Legislative Ethics Commission.
- 4 (Source: P.A. 100-588, eff. 6-8-18; 101-221, eff. 8-9-19;
- 5 revised 9-12-19.)
- 6 (5 ILCS 430/25-63)
- 7 Sec. 25-63. Rights of persons subjected to discrimination,
- 8 harassment, or sexual harassment.
- 9 (a) As used in this Section, "complainant" means a known
- 10 person identified in a complaint filed with the Legislative
- 11 Inspector General as a person subjected to alleged
- discrimination, harassment, or sexual harassment in violation
- of Section 5-65 of this Act or Article 2 of the Illinois Human
- 14 Rights Act, regardless of whether the complaint is filed by the
- person.
- 16 (b) A complainant shall have the following rights:
- 17 (1) within 5 business days of the Legislative Inspector
- 18 General receiving a complaint in which the complainant is
- identified, to be notified by the Legislative Inspector
- General of the receipt of the complaint, the complainant's
- 21 rights, and an explanation of the process, rules, and
- 22 procedures related to the investigation of investigating
- an allegation, and the duties of the Legislative Inspector
- General and the Legislative Ethics Commission;
- 25 (2) within 5 business days after the Legislative

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Inspector General's decision to open or close an investigation into the complaint or refer the complaint to another appropriate agency, to be notified of the Legislative Inspector General's decision; however, if the Legislative Inspector General reasonably determines that publicly acknowledging the existence of an investigation would interfere with the conduct or completion of that investigation, the notification may be withheld until public acknowledgment of the investigation would no longer interfere with that investigation;

(3) after an investigation has been opened, to have any interviews of the complainant audio recorded by the Legislative Inspector General and to review, in person and in the presence of the Legislative Inspector General or his or her designee, any transcript or interview report created from that audio recorded interview. The complainant may provide any supplemental statements or evidence throughout the investigation to review statements and evidence given to the Legislative Inspector General by the complainant and the Legislative Inspector General's summarization of those statements and evidence, if such summary exists. The complainant may make suggestions of changes for the Inspector General's consideration, Legislative Inspector General shall have the final authority to determine what statements, evidence, and summaries are included in any report of the investigation;

- (4) to have a union representative, attorney, co-worker, or other support person who is not involved in the investigation, at the complainant's expense, present at any interview or meeting, whether in person or by telephone or audio-visual communication, between the complainant and the Legislative Inspector General or Legislative Ethics Commission;
- (5) to submit a complainant impact statement that shall be included with the Legislative Inspector General's summary report to the Legislative Ethics Commission for its consideration:
- (6) to testify at a hearing held under subsection (g) of Section 25-50, to the extent the hearing is based on an allegation of a violation of Section 5-65 of this Act involving the complainant, and have a single union representative, attorney, co-worker, or other support person who is not involved in the investigation, at the complainant's expense, accompany him or her while testifying;
- (7) to review, within 5 business days prior to its release, any portion of a summary report of the investigation subject to public release under this Article related to the allegations concerning the complainant, after redactions made by the Legislative Ethics Commission, and offer suggestions for redaction or provide a response that shall be made public with the summary

1 report; and

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- (8) to file a complaint with the Legislative Ethics
 Commission for any violation of the complainant's rights
 under this Section by the Legislative Inspector General.
 - (c) The complainant shall have the sole discretion in determining whether or not to exercise the rights set forth in this Section. All rights under this Section shall be waived if the complainant fails to cooperate with the Legislative Inspector General's investigation of the complaint.
- (d) The notice requirements imposed on the Legislative Inspector General by this Section shall be waived if the Legislative Inspector General is unable to identify or locate the complainant.
- (e) (Blank). A complainant receiving a copy of any summary report, in whole or in part, under this Section shall keep the report confidential and shall not disclose the report prior to the publication of the report by the Legislative Ethics Commission. A complainant that violates this subsection (e) shall be subject to an administrative fine by the Legislative Ethics Commission of up to \$5,000.
- 21 (Source: P.A. 101-221, eff. 8-9-19; revised 9-12-19.)
- 22 (5 ILCS 430/25-90)
- 23 Sec. 25-90. Confidentiality.
- 24 (a) The identity of any individual providing information or 25 reporting any possible or alleged misconduct to the Legislative

- 1 Inspector General or the Legislative Ethics Commission shall be
- 2 kept confidential and may not be disclosed without the consent
- 3 of that individual, unless the individual consents to
- 4 disclosure of his or her name or disclosure of the individual's
- 5 identity is otherwise required by law. The confidentiality
- 6 granted by this subsection does not preclude the disclosure of
- 7 the identity of a person in any capacity other than as the
- 8 source of an allegation.
- 9 (b) Subject to the provisions of Section 25-50(c),
- 10 commissioners, employees, and agents of the Legislative Ethics
- 11 Commission, the Legislative Inspector General, and employees
- and agents of the Office of the Legislative Inspector General
- 13 shall keep confidential and shall not disclose information
- 14 exempted from disclosure under the Freedom of Information Act
- or by this Act.
- 16 (c) In his or her discretion, the Legislative Inspector
- 17 General may notify complainants and subjects of an
- investigation with an update on the status of the respective
- 19 investigation, including when the investigation is opened and
- 20 closed.
- 21 (d) A complainant, as defined in subsection (a) of Section
- 22 25-63, or a respondent who receives a copy of any summary
- report, in whole or in part, shall keep the report confidential
- 24 and shall not disclose the report, or any portion thereof,
- 25 prior to the publication of the summary report by the
- 26 Legislative Ethics Commission pursuant to this Act. A

- complainant or respondent who violates this subsection (d) 1
- 2 shall be in violation of this Act and subject to an
- 3 administrative fine by the Legislative Ethics Commission of up
- to \$5,000. 4
- (Source: P.A. 100-588, eff. 6-8-18.) 5
- Section 99. Effective date. This Act takes effect upon 6
- 7 becoming law.