



101ST GENERAL ASSEMBLY

State of Illinois

2019 and 2020

HB4222

Introduced 1/27/2020, by Rep. Joe Sosnowski

SYNOPSIS AS INTRODUCED:

5 ILCS 140/7
230 ILCS 10/5.3

from Ch. 116, par. 207

Amends the Illinois Gambling Act. Instead of prohibiting an employee of the corporate authority of a host community from having financial interests in a riverboat or casino, receive certain things of value from a riverboat or casino, or accept employment from a casino or riverboat, requires the employee to make a public disclosure to the Illinois Gaming Board. Makes conforming changes in the Freedom of Information Act. Effective immediately.

LRB101 14817 SMS 63784 b

1 AN ACT concerning gaming.

2 **Be it enacted by the People of the State of Illinois,**
3 **represented in the General Assembly:**

4 Section 5. The Freedom of Information Act is amended by
5 changing Section 7 as follows:

6 (5 ILCS 140/7) (from Ch. 116, par. 207)

7 Sec. 7. Exemptions.

8 (1) When a request is made to inspect or copy a public
9 record that contains information that is exempt from disclosure
10 under this Section, but also contains information that is not
11 exempt from disclosure, the public body may elect to redact the
12 information that is exempt. The public body shall make the
13 remaining information available for inspection and copying.
14 Subject to this requirement, the following shall be exempt from
15 inspection and copying:

16 (a) Information specifically prohibited from
17 disclosure by federal or State law or rules and regulations
18 implementing federal or State law.

19 (b) Private information, unless disclosure is required
20 by another provision of this Act, a State or federal law or
21 a court order.

22 (b-5) Files, documents, and other data or databases
23 maintained by one or more law enforcement agencies and

1 specifically designed to provide information to one or more
2 law enforcement agencies regarding the physical or mental
3 status of one or more individual subjects.

4 (c) Personal information contained within public
5 records, the disclosure of which would constitute a clearly
6 unwarranted invasion of personal privacy, unless the
7 disclosure is consented to in writing by the individual
8 subjects of the information. "Unwarranted invasion of
9 personal privacy" means the disclosure of information that
10 is highly personal or objectionable to a reasonable person
11 and in which the subject's right to privacy outweighs any
12 legitimate public interest in obtaining the information.
13 The disclosure of information that bears on the public
14 duties of public employees and officials shall not be
15 considered an invasion of personal privacy.

16 (d) Records in the possession of any public body
17 created in the course of administrative enforcement
18 proceedings, and any law enforcement or correctional
19 agency for law enforcement purposes, but only to the extent
20 that disclosure would:

21 (i) interfere with pending or actually and
22 reasonably contemplated law enforcement proceedings
23 conducted by any law enforcement or correctional
24 agency that is the recipient of the request;

25 (ii) interfere with active administrative
26 enforcement proceedings conducted by the public body

1 that is the recipient of the request;

2 (iii) create a substantial likelihood that a
3 person will be deprived of a fair trial or an impartial
4 hearing;

5 (iv) unavoidably disclose the identity of a
6 confidential source, confidential information
7 furnished only by the confidential source, or persons
8 who file complaints with or provide information to
9 administrative, investigative, law enforcement, or
10 penal agencies; except that the identities of
11 witnesses to traffic accidents, traffic accident
12 reports, and rescue reports shall be provided by
13 agencies of local government, except when disclosure
14 would interfere with an active criminal investigation
15 conducted by the agency that is the recipient of the
16 request;

17 (v) disclose unique or specialized investigative
18 techniques other than those generally used and known or
19 disclose internal documents of correctional agencies
20 related to detection, observation or investigation of
21 incidents of crime or misconduct, and disclosure would
22 result in demonstrable harm to the agency or public
23 body that is the recipient of the request;

24 (vi) endanger the life or physical safety of law
25 enforcement personnel or any other person; or

26 (vii) obstruct an ongoing criminal investigation

1 by the agency that is the recipient of the request.

2 (d-5) A law enforcement record created for law
3 enforcement purposes and contained in a shared electronic
4 record management system if the law enforcement agency that
5 is the recipient of the request did not create the record,
6 did not participate in or have a role in any of the events
7 which are the subject of the record, and only has access to
8 the record through the shared electronic record management
9 system.

10 (e) Records that relate to or affect the security of
11 correctional institutions and detention facilities.

12 (e-5) Records requested by persons committed to the
13 Department of Corrections, Department of Human Services
14 Division of Mental Health, or a county jail if those
15 materials are available in the library of the correctional
16 institution or facility or jail where the inmate is
17 confined.

18 (e-6) Records requested by persons committed to the
19 Department of Corrections, Department of Human Services
20 Division of Mental Health, or a county jail if those
21 materials include records from staff members' personnel
22 files, staff rosters, or other staffing assignment
23 information.

24 (e-7) Records requested by persons committed to the
25 Department of Corrections or Department of Human Services
26 Division of Mental Health if those materials are available

1 through an administrative request to the Department of
2 Corrections or Department of Human Services Division of
3 Mental Health.

4 (e-8) Records requested by a person committed to the
5 Department of Corrections, Department of Human Services
6 Division of Mental Health, or a county jail, the disclosure
7 of which would result in the risk of harm to any person or
8 the risk of an escape from a jail or correctional
9 institution or facility.

10 (e-9) Records requested by a person in a county jail or
11 committed to the Department of Corrections or Department of
12 Human Services Division of Mental Health, containing
13 personal information pertaining to the person's victim or
14 the victim's family, including, but not limited to, a
15 victim's home address, home telephone number, work or
16 school address, work telephone number, social security
17 number, or any other identifying information, except as may
18 be relevant to a requester's current or potential case or
19 claim.

20 (e-10) Law enforcement records of other persons
21 requested by a person committed to the Department of
22 Corrections, Department of Human Services Division of
23 Mental Health, or a county jail, including, but not limited
24 to, arrest and booking records, mug shots, and crime scene
25 photographs, except as these records may be relevant to the
26 requester's current or potential case or claim.

1 (f) Preliminary drafts, notes, recommendations,
2 memoranda and other records in which opinions are
3 expressed, or policies or actions are formulated, except
4 that a specific record or relevant portion of a record
5 shall not be exempt when the record is publicly cited and
6 identified by the head of the public body. The exemption
7 provided in this paragraph (f) extends to all those records
8 of officers and agencies of the General Assembly that
9 pertain to the preparation of legislative documents.

10 (g) Trade secrets and commercial or financial
11 information obtained from a person or business where the
12 trade secrets or commercial or financial information are
13 furnished under a claim that they are proprietary,
14 privileged, or confidential, and that disclosure of the
15 trade secrets or commercial or financial information would
16 cause competitive harm to the person or business, and only
17 insofar as the claim directly applies to the records
18 requested.

19 The information included under this exemption includes
20 all trade secrets and commercial or financial information
21 obtained by a public body, including a public pension fund,
22 from a private equity fund or a privately held company
23 within the investment portfolio of a private equity fund as
24 a result of either investing or evaluating a potential
25 investment of public funds in a private equity fund. The
26 exemption contained in this item does not apply to the

1 aggregate financial performance information of a private
2 equity fund, nor to the identity of the fund's managers or
3 general partners. The exemption contained in this item does
4 not apply to the identity of a privately held company
5 within the investment portfolio of a private equity fund,
6 unless the disclosure of the identity of a privately held
7 company may cause competitive harm.

8 Nothing contained in this paragraph (g) shall be
9 construed to prevent a person or business from consenting
10 to disclosure.

11 (h) Proposals and bids for any contract, grant, or
12 agreement, including information which if it were
13 disclosed would frustrate procurement or give an advantage
14 to any person proposing to enter into a contractor
15 agreement with the body, until an award or final selection
16 is made. Information prepared by or for the body in
17 preparation of a bid solicitation shall be exempt until an
18 award or final selection is made.

19 (i) Valuable formulae, computer geographic systems,
20 designs, drawings and research data obtained or produced by
21 any public body when disclosure could reasonably be
22 expected to produce private gain or public loss. The
23 exemption for "computer geographic systems" provided in
24 this paragraph (i) does not extend to requests made by news
25 media as defined in Section 2 of this Act when the
26 requested information is not otherwise exempt and the only

1 purpose of the request is to access and disseminate
2 information regarding the health, safety, welfare, or
3 legal rights of the general public.

4 (j) The following information pertaining to
5 educational matters:

6 (i) test questions, scoring keys and other
7 examination data used to administer an academic
8 examination;

9 (ii) information received by a primary or
10 secondary school, college, or university under its
11 procedures for the evaluation of faculty members by
12 their academic peers;

13 (iii) information concerning a school or
14 university's adjudication of student disciplinary
15 cases, but only to the extent that disclosure would
16 unavoidably reveal the identity of the student; and

17 (iv) course materials or research materials used
18 by faculty members.

19 (k) Architects' plans, engineers' technical
20 submissions, and other construction related technical
21 documents for projects not constructed or developed in
22 whole or in part with public funds and the same for
23 projects constructed or developed with public funds,
24 including, but not limited to, power generating and
25 distribution stations and other transmission and
26 distribution facilities, water treatment facilities,

1 airport facilities, sport stadiums, convention centers,
2 and all government owned, operated, or occupied buildings,
3 but only to the extent that disclosure would compromise
4 security.

5 (l) Minutes of meetings of public bodies closed to the
6 public as provided in the Open Meetings Act until the
7 public body makes the minutes available to the public under
8 Section 2.06 of the Open Meetings Act.

9 (m) Communications between a public body and an
10 attorney or auditor representing the public body that would
11 not be subject to discovery in litigation, and materials
12 prepared or compiled by or for a public body in
13 anticipation of a criminal, civil, or administrative
14 proceeding upon the request of an attorney advising the
15 public body, and materials prepared or compiled with
16 respect to internal audits of public bodies.

17 (n) Records relating to a public body's adjudication of
18 employee grievances or disciplinary cases; however, this
19 exemption shall not extend to the final outcome of cases in
20 which discipline is imposed.

21 (o) Administrative or technical information associated
22 with automated data processing operations, including, but
23 not limited to, software, operating protocols, computer
24 program abstracts, file layouts, source listings, object
25 modules, load modules, user guides, documentation
26 pertaining to all logical and physical design of

1 computerized systems, employee manuals, and any other
2 information that, if disclosed, would jeopardize the
3 security of the system or its data or the security of
4 materials exempt under this Section.

5 (p) Records relating to collective negotiating matters
6 between public bodies and their employees or
7 representatives, except that any final contract or
8 agreement shall be subject to inspection and copying.

9 (q) Test questions, scoring keys, and other
10 examination data used to determine the qualifications of an
11 applicant for a license or employment.

12 (r) The records, documents, and information relating
13 to real estate purchase negotiations until those
14 negotiations have been completed or otherwise terminated.
15 With regard to a parcel involved in a pending or actually
16 and reasonably contemplated eminent domain proceeding
17 under the Eminent Domain Act, records, documents, and
18 information relating to that parcel shall be exempt except
19 as may be allowed under discovery rules adopted by the
20 Illinois Supreme Court. The records, documents, and
21 information relating to a real estate sale shall be exempt
22 until a sale is consummated.

23 (s) Any and all proprietary information and records
24 related to the operation of an intergovernmental risk
25 management association or self-insurance pool or jointly
26 self-administered health and accident cooperative or pool.

1 Insurance or self insurance (including any
2 intergovernmental risk management association or self
3 insurance pool) claims, loss or risk management
4 information, records, data, advice or communications.

5 (t) Information contained in or related to
6 examination, operating, or condition reports prepared by,
7 on behalf of, or for the use of a public body responsible
8 for the regulation or supervision of financial
9 institutions, insurance companies, or pharmacy benefit
10 managers, unless disclosure is otherwise required by State
11 law.

12 (u) Information that would disclose or might lead to
13 the disclosure of secret or confidential information,
14 codes, algorithms, programs, or private keys intended to be
15 used to create electronic or digital signatures under the
16 Electronic Commerce Security Act.

17 (v) Vulnerability assessments, security measures, and
18 response policies or plans that are designed to identify,
19 prevent, or respond to potential attacks upon a community's
20 population or systems, facilities, or installations, the
21 destruction or contamination of which would constitute a
22 clear and present danger to the health or safety of the
23 community, but only to the extent that disclosure could
24 reasonably be expected to jeopardize the effectiveness of
25 the measures or the safety of the personnel who implement
26 them or the public. Information exempt under this item may

1 include such things as details pertaining to the
2 mobilization or deployment of personnel or equipment, to
3 the operation of communication systems or protocols, or to
4 tactical operations.

5 (w) (Blank).

6 (x) Maps and other records regarding the location or
7 security of generation, transmission, distribution,
8 storage, gathering, treatment, or switching facilities
9 owned by a utility, by a power generator, or by the
10 Illinois Power Agency.

11 (y) Information contained in or related to proposals,
12 bids, or negotiations related to electric power
13 procurement under Section 1-75 of the Illinois Power Agency
14 Act and Section 16-111.5 of the Public Utilities Act that
15 is determined to be confidential and proprietary by the
16 Illinois Power Agency or by the Illinois Commerce
17 Commission.

18 (z) Information about students exempted from
19 disclosure under Sections 10-20.38 or 34-18.29 of the
20 School Code, and information about undergraduate students
21 enrolled at an institution of higher education exempted
22 from disclosure under Section 25 of the Illinois Credit
23 Card Marketing Act of 2009.

24 (aa) Information the disclosure of which is exempted
25 under the Viatical Settlements Act of 2009.

26 (bb) Records and information provided to a mortality

1 review team and records maintained by a mortality review
2 team appointed under the Department of Juvenile Justice
3 Mortality Review Team Act.

4 (cc) Information regarding interments, entombments, or
5 inurnments of human remains that are submitted to the
6 Cemetery Oversight Database under the Cemetery Care Act or
7 the Cemetery Oversight Act, whichever is applicable.

8 (dd) Correspondence and records (i) that may not be
9 disclosed under Section 11-9 of the Illinois Public Aid
10 Code or (ii) that pertain to appeals under Section 11-8 of
11 the Illinois Public Aid Code.

12 (ee) The names, addresses, or other personal
13 information of persons who are minors and are also
14 participants and registrants in programs of park
15 districts, forest preserve districts, conservation
16 districts, recreation agencies, and special recreation
17 associations.

18 (ff) The names, addresses, or other personal
19 information of participants and registrants in programs of
20 park districts, forest preserve districts, conservation
21 districts, recreation agencies, and special recreation
22 associations where such programs are targeted primarily to
23 minors.

24 (gg) Confidential information described in Section
25 1-100 of the Illinois Independent Tax Tribunal Act of 2012.

26 (hh) The report submitted to the State Board of

1 Education by the School Security and Standards Task Force
2 under item (8) of subsection (d) of Section 2-3.160 of the
3 School Code and any information contained in that report.

4 (ii) Records requested by persons committed to or
5 detained by the Department of Human Services under the
6 Sexually Violent Persons Commitment Act or committed to the
7 Department of Corrections under the Sexually Dangerous
8 Persons Act if those materials: (i) are available in the
9 library of the facility where the individual is confined;
10 (ii) include records from staff members' personnel files,
11 staff rosters, or other staffing assignment information;
12 or (iii) are available through an administrative request to
13 the Department of Human Services or the Department of
14 Corrections.

15 (jj) Confidential information described in Section
16 5-535 of the Civil Administrative Code of Illinois.

17 (kk) The public body's credit card numbers, debit card
18 numbers, bank account numbers, Federal Employer
19 Identification Number, security code numbers, passwords,
20 and similar account information, the disclosure of which
21 could result in identity theft or impression or defrauding
22 of a governmental entity or a person.

23 (ll) ~~(kk)~~ Records concerning the work of the threat
24 assessment team of a school district.

25 (mm) The public disclosures to the Illinois Gaming
26 Board required under subsection (j-1) of Section 5.3 of the

1 Illinois Gambling Act.

2 (1.5) Any information exempt from disclosure under the
3 Judicial Privacy Act shall be redacted from public records
4 prior to disclosure under this Act.

5 (2) A public record that is not in the possession of a
6 public body but is in the possession of a party with whom the
7 agency has contracted to perform a governmental function on
8 behalf of the public body, and that directly relates to the
9 governmental function and is not otherwise exempt under this
10 Act, shall be considered a public record of the public body,
11 for purposes of this Act.

12 (3) This Section does not authorize withholding of
13 information or limit the availability of records to the public,
14 except as stated in this Section or otherwise provided in this
15 Act.

16 (Source: P.A. 100-26, eff. 8-4-17; 100-201, eff. 8-18-17;
17 100-732, eff. 8-3-18; 101-434, eff. 1-1-20; 101-452, eff.
18 1-1-20; 101-455, eff. 8-23-19; revised 9-27-19.)

19 Section 10. The Illinois Gambling Act is amended by
20 changing Section 5.3 as follows:

21 (230 ILCS 10/5.3)

22 Sec. 5.3. Ethical conduct.

23 (a) Officials and employees of the corporate authority of a
24 host community must carry out their duties and responsibilities

1 in such a manner as to promote and preserve public trust and
2 confidence in the integrity and conduct of gaming.

3 (b) Officials and employees of the corporate authority of a
4 host community shall not use or attempt to use his or her
5 official position to secure or attempt to secure any privilege,
6 advantage, favor, or influence for himself or herself or
7 others.

8 (c) Officials ~~and employees~~ of the corporate authority of a
9 host community may not have a financial interest, directly or
10 indirectly, in his or her own name or in the name of any other
11 person, partnership, association, trust, corporation, or other
12 entity in any contract or subcontract for the performance of
13 any work for a riverboat or casino that is located in the host
14 community. This prohibition shall extend to the holding or
15 acquisition of an interest in any entity identified by Board
16 action that, in the Board's judgment, could represent the
17 potential for or the appearance of a financial interest. The
18 holding or acquisition of an interest in such entities through
19 an indirect means, such as through a mutual fund, shall not be
20 prohibited, except that the Board may identify specific
21 investments or funds that, in its judgment, are so influenced
22 by gaming holdings as to represent the potential for or the
23 appearance of a conflict of interest.

24 (d) Officials ~~and employees~~ of the corporate authority of a
25 host community may not accept any gift, gratuity, service,
26 compensation, travel, lodging, or thing of value, with the

1 exception of unsolicited items of an incidental nature, from
2 any person, corporation, or entity doing business with the
3 riverboat or casino that is located in the host community.

4 (e) Officials ~~and employees~~ of the corporate authority of a
5 host community shall not, during the period that the person is
6 an official ~~or employee~~ of the corporate authority or for a
7 period of 2 years immediately after leaving such office,
8 knowingly accept employment or receive compensation or fees for
9 services from a person or entity, or its parent or affiliate,
10 that has engaged in business with the riverboat or casino that
11 is located in the host community that resulted in contracts
12 with an aggregate value of at least \$25,000 or if that official
13 ~~or employee~~ has made a decision that directly applied to the
14 person or entity, or its parent or affiliate.

15 (f) A spouse, child, or parent of an official or employee
16 of the corporate authority of a host community may not have a
17 financial interest, directly or indirectly, in his or her own
18 name or in the name of any other person, partnership,
19 association, trust, corporation, or other entity in any
20 contract or subcontract for the performance of any work for a
21 riverboat or casino in the host community. This prohibition
22 shall extend to the holding or acquisition of an interest in
23 any entity identified by Board action that, in the judgment of
24 the Board, could represent the potential for or the appearance
25 of a conflict of interest. The holding or acquisition of an
26 interest in such entities through an indirect means, such as

1 through a mutual fund, shall not be prohibited, expect that the
2 Board may identify specific investments or funds that, in its
3 judgment, are so influenced by gaming holdings as to represent
4 the potential for or the appearance of a conflict of interest.

5 (g) A spouse, child, or parent of an official or employee
6 of the corporate authority of a host community may not accept
7 any gift, gratuity, service, compensation, travel, lodging, or
8 thing of value, with the exception of unsolicited items of an
9 incidental nature, from any person, corporation, or entity
10 doing business with the riverboat or casino that is located in
11 the host community.

12 (h) A spouse, child, or parent of an official or employee
13 of the corporate authority of a host community may not, during
14 the period that the person is an official of the corporate
15 authority or for a period of 2 years immediately after leaving
16 such office or employment, knowingly accept employment or
17 receive compensation or fees for services from a person or
18 entity, or its parent or affiliate, that has engaged in
19 business with the riverboat or casino that is located in the
20 host community that resulted in contracts with an aggregate
21 value of at least \$25,000 or if that official or employee has
22 made a decision that directly applied to the person or entity,
23 or its parent or affiliate.

24 (i) Officials and employees of the corporate authority of a
25 host community shall not attempt, in any way, to influence any
26 person or entity doing business with the riverboat or casino

1 that is located in the host community or any officer, agent, or
2 employee thereof to hire or contract with any person or entity
3 for any compensated work.

4 (j) Any communication between an official of the corporate
5 authority of a host community and any applicant for an owners
6 license in the host community, or an officer, director, or
7 employee of a riverboat or casino in the host community,
8 concerning any matter relating in any way to gaming shall be
9 disclosed to the Board. Such disclosure shall be in writing by
10 the official within 30 days after the communication and shall
11 be filed with the Board. Disclosure must consist of the date of
12 the communication, the identity and job title of the person
13 with whom the communication was made, a brief summary of the
14 communication, the action requested or recommended, all
15 responses made, the identity and job title of the person making
16 the response, and any other pertinent information. Public
17 disclosure of the written summary provided to the Board and the
18 Gaming Board shall be subject to the exemptions provided under
19 the Freedom of Information Act.

20 This subsection (j) shall not apply to communications
21 regarding traffic, law enforcement, security, environmental
22 issues, city services, transportation, or other routine
23 matters concerning the ordinary operations of the riverboat or
24 casino. For purposes of this subsection (j), "ordinary
25 operations" means operations relating to the casino or
26 riverboat facility other than the conduct of gambling

1 activities, and "routine matters" includes the application
2 for, issuance of, renewal of, and other processes associated
3 with municipal permits and licenses.

4 (j-1) Any employee of the corporate authority of a host
5 community who has, within the previous calendar year, committed
6 conduct of the types enumerated in subsections (c) and (d) must
7 by January 31 of the following calendar year file a description
8 of this conduct with the Board. Public disclosure of the
9 description provided to the Board shall be subject to the
10 exemptions provided under the Freedom of Information Act.

11 Any former employee of the corporate authority of a host
12 community who has, within the previous calendar year, committed
13 conduct of the types enumerated in subsection (e) must by
14 January 31 of the following calendar year file a description of
15 this conduct with the Board. Public disclosure of the
16 description provided to the Board shall be subject to the
17 exemptions provided under the Freedom of Information Act.

18 Any spouse, child, or parent of an employee of the
19 corporate authority of a host community who has, within the
20 previous calendar year, committed conduct of the types
21 enumerated in subsections (f), (g), and (h) must by January 31
22 of the following calendar year file a description of this
23 conduct with the Board. Public disclosure of the description
24 provided to the Board shall be subject to the exemptions
25 provided under the Freedom of Information Act.

26 (k) Any official or employee who violates any provision of

1 this Section is guilty of a Class 4 felony.

2 (1) For purposes of this Section: ~~"host community"~~

3 "Employee of the corporate authority of a host community"
4 means an employee of the corporate authority of a host
5 community who is not an official of the corporate authority of
6 a host community.

7 "Host community" or "host municipality" means a unit of
8 local government that contains a riverboat or casino within its
9 borders.

10 "Official of the corporate authority of a host community"
11 means a person who is: (1) an elected official of the corporate
12 authority of a host community or (2) a department head or
13 executive official of a host community.

14 (Source: P.A. 101-31, eff. 6-28-19.)

15 Section 99. Effective date. This Act takes effect upon
16 becoming law.