



Sen. Julie A. Morrison

Filed: 5/3/2017

10000SB1289sam001

LRB100 06761 RJF 25865 a

1 AMENDMENT TO SENATE BILL 1289

2 AMENDMENT NO. _____. Amend Senate Bill 1289 by replacing
3 everything after the enacting clause with the following:

4 "Section 5. The Illinois Governmental Ethics Act is amended
5 by changing Sections 1-110, 4A-102, 4A-103, and 4A-108 and by
6 adding Sections 1-102.5, 1-104.3, 1-104.4, 1-104.5, 1-105.2,
7 1-105.3, 1-105.4, 1-105.5, 1-105.6, 1-105.7, 1-112.5, 1-113.6,
8 and 1-113.7 as follows:

9 (5 ILCS 420/1-102.5 new)

10 Sec. 1-102.5. Asset. "Asset" means, for the purposes of
11 Sections 4A-102 and 4A-103, an item that is owned and has
12 monetary value. For the purposes of Sections 4A-102 and 4A-103,
13 assets include, but are not limited to: stocks, bonds (except
14 those issued by the federal, state, or local governments),
15 sector mutual funds, sector exchange traded funds, commodity
16 futures, investment real estate, and partnership interests.

1 For the purposes of Sections 4A-102 and 4A-103, assets do not
2 include: personal residences; personal vehicles; savings or
3 checking accounts; bonds, notes, or securities issued by any
4 branch of federal, state, or local government; Medicare
5 benefits; inheritances or bequests; diversified mutual funds;
6 annuities; pensions (including government pensions);
7 retirement accounts; college savings plans that are qualified
8 tuition plans; qualified tax-advantaged savings programs that
9 allow individuals to save for disability-related expenses;
10 non-income producing trust holdings; or tangible personal
11 property.

12 (5 ILCS 420/1-104.3 new)

13 Sec. 1-104.3. Creditor. "Creditor" means, for the purposes
14 of Sections 4A-102 and 4A-103, an individual, organization, or
15 other business entity to whom money or its equivalent is owed,
16 no matter whether that obligation is secured or unsecured,
17 except that if a filer makes a loan to members of his or her
18 family, or a political committee registered with the Illinois
19 State Board of Election, or a political committee, principal
20 campaign committee, or authorized committee registered with
21 the Federal Election Commission, then that filer does not, by
22 making such a loan, become a creditor of that individual or
23 entity for the purposes of Sections 4A-102 and 4A-103 of this
24 Act.

1 (5 ILCS 420/1-104.4 new)

2 Sec. 1-104.4. Debt. "Debt" means, for the purposes of
3 Sections 4A-102 and 4A-103, any money or monetary obligation
4 owed at any time during the preceding calendar year to an
5 individual, company, or other organization, other than a loan
6 that is from a financial institution, government agency, or
7 business entity and that is granted on terms made available to
8 the general public. For the purposes of Sections 4A-102 and
9 4A-103, "debt" includes, but is not limited to: personal loans
10 from friends or business associates, business loans made
11 outside the lender's regular course of business, and loans made
12 at below market rates. For the purposes of Sections 4A-102 and
13 4A-103, "debt" does not include: (i) debts to or from financial
14 institutions or government entities, such as mortgages,
15 student loans, credit card debts, or loans secured by
16 automobiles, household furniture, or appliances, as long as
17 those loans were made on terms available to the general public
18 and do not exceed the purchase price of the items securing
19 them; or (ii) debts to or from a political committee registered
20 with the Illinois State Board of Elections or political
21 committees, principal campaign committees, or authorized
22 committees registered with the Federal Election Commission.

23 (5 ILCS 420/1-104.5 new)

24 Sec. 1-104.5. Diversified funds. "Diversified funds" means
25 investment products, such as mutual funds, exchange traded

1 funds, or unit investment trusts, that invest in a wide variety
2 of securities.

3 (5 ILCS 420/1-105.2 new)

4 Sec. 1-105.2. Economic relationship. "Economic
5 relationship" means, for the purposes of Sections 4A-102 and
6 4A-103, any joint or shared ownership interests in businesses
7 and creditor-debtor relationships with third parties, other
8 than commercial lending institutions, where: (a) the filer is
9 entitled to receive (i) more than 7.5% of the total
10 distributable income, or (ii) an amount in excess of the salary
11 of the Governor; or (b) the filer together with his or her
12 spouse or minor children is entitled to receive (i) more than
13 15%, in the aggregate, of the total distributable income, or
14 (ii) an amount in excess of 2 times the salary of the Governor.

15 (5 ILCS 420/1-105.3 new)

16 Sec. 1-105.3. Family. "Family" means, for the purposes of
17 Sections 4A-102 and 4A-103, a filer's spouse, children,
18 step-children, parents, step-parents, siblings, step-siblings,
19 half-siblings, sons-in-law, daughters-in-law, grandfathers,
20 grandmothers, grandsons, and granddaughters, as well as the
21 father, mother, grandfather, and grandmother of the filer's
22 spouse.

23 (5 ILCS 420/1-105.4 new)

1 Sec. 1-105.4. Immediate family. "Immediate family" means,
2 for the purposes of Sections 4A-102 and 4A-103, family of the
3 filer living in the filer's residence.

4 (5 ILCS 420/1-105.5 new)

5 Sec. 1-105.5. Filer. "Filer" means, for the purposes of
6 Section 4A-102 and 4A-103, a person required to file a
7 statement of economic interests pursuant to this Act.

8 (5 ILCS 420/1-105.6 new)

9 Sec. 1-105.6. Income. "Income" means, for the purposes of
10 Sections 4A-102 and 4A-103, income from whatever source
11 derived, required to be reported on the filer's federal income
12 tax return, including, but not limited to: compensation
13 received for services rendered or to be rendered (as reported
14 on any Internal Revenue Service forms, including, but not
15 limited to, W-2, 1099, or K-1); earnings or capital gains from
16 the sale of assets; profit; interest or dividend income from
17 all assets; revenue from leases and rentals, royalties, prizes,
18 awards, or barter; forgiveness of debt; and earnings derived
19 from annuities or trusts other than testamentary trusts.
20 "Income" does not include compensation earned for service in
21 the position that necessitates the filing of the statement of
22 economic interests or income from the sale of a personal
23 residence or personal vehicle.

1 (5 ILCS 420/1-105.7 new)

2 Sec. 1-105.7. Investment real estate. "Investment real
3 estate" means any real property, other than a filer's personal
4 residences, purchased to produce a profit, whether from income
5 or resale. Investment real estate may be described by the city
6 and state where the real estate is located.

7 (5 ILCS 420/1-110) (from Ch. 127, par. 601-110)

8 Sec. 1-110. "Lobbyist" means an individual who is required
9 to be registered to engage in lobbying activities pursuant to
10 any statute, regulation, or ordinance adopted by a unit of
11 government in the State of Illinois ~~any person required to be~~
12 ~~registered under "An Act concerning lobbying and providing a~~
13 ~~penalty for violation thereof", approved July 10, 1957, as~~
14 ~~amended.~~

15 (Source: Laws 1967, p. 3401.)

16 (5 ILCS 420/1-112.5 new)

17 Sec. 1-112.5. Personal residence. "Personal residence"
18 means, for the purposes of Sections 4A-102 and 4A-103, a
19 filer's primary home residence and any residential real
20 property held by the filer and used by the filer for
21 residential rather than commercial or income generating
22 purposes.

23 (5 ILCS 420/1-113.6 new)

1 Sec. 1-113.6. Sector funds. "Sector funds" means mutual
2 funds or exchange traded funds invested in a particular
3 industry or business.

4 (5 ILCS 420/1-113.7 new)

5 Sec. 1-113.7. Spouse. "Spouse" means a party to a marriage,
6 a party to a civil union, or a registered domestic partner.

7 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

8 Sec. 4A-102. The statement of economic interests required
9 by this Article shall include the economic interests of the
10 person making the statement as provided in this Section. The
11 following interests shall be listed by all persons required to
12 file:

13 (1) each asset that has a value of more than \$25,000 as
14 of the end of the preceding calendar year and is: (i) held
15 in the filer's name, (ii) held jointly by the filer with
16 his or her spouse, or (iii) held jointly by the filer with
17 his or her minor child or children;

18 (2) excluding the income from the position that
19 requires the filing of a statement of economic interests
20 under this Act, each source of income that generated in
21 excess of \$10,000 in income during the preceding calendar
22 year (as reported on the filer's federal income tax return
23 covering the preceding calendar year) and, if the sale or
24 transfer of an asset produced more than \$10,000 in capital

1 gains during the preceding calendar year, the transaction
2 date on which that asset was sold or transferred;

3 (3) each creditor of a debt in excess of \$25,000 that,
4 during the preceding calendar year, was: (i) owed by the
5 filer, (ii) owed jointly by the filer with his or her
6 spouse or (iii) owed jointly by the filer with his or her
7 minor child or children;

8 (4) each debtor of a debt in excess of \$25,000 that,
9 during the preceding calendar year, was: (i) owed to the
10 filer, (ii) owed jointly to the filer with his or her
11 spouse, or (iii) owed jointly to the filer with his or her
12 minor child or children;

13 (5) each lobbyist known to the filer to be registered
14 with any unit of government in the State of Illinois: (i)
15 with whom the filer maintains an economic relationship, or
16 (ii) who is a member of the filer's immediate family; and

17 (6) each source and type of gift or gifts, or
18 honorarium or honoraria, valued singly or in the aggregate
19 in excess of \$1,000 that was received during the preceding
20 calendar year.

21 For the purposes of this Section, the unit of local
22 government in relation to which a person is required to file
23 under item (o) of Section 4A-101 shall be the unit of local
24 government that contributes to the pension fund of which such
25 person is a member of the board.

26 ~~The interest (if constructively controlled by the person~~

1 ~~making the statement) of a spouse or any other party, shall be~~
2 ~~considered to be the same as the interest of the person making~~
3 ~~the statement. Campaign receipts shall not be included in this~~
4 ~~statement.~~

5 ~~(a) The following interests shall be listed by all~~
6 ~~persons required to file:~~

7 ~~(1) The name, address and type of practice of any~~
8 ~~professional organization or individual professional~~
9 ~~practice in which the person making the statement was~~
10 ~~an officer, director, associate, partner or~~
11 ~~proprietor, or served in any advisory capacity, from~~
12 ~~which income in excess of \$1200 was derived during the~~
13 ~~preceding calendar year;~~

14 ~~(2) The nature of professional services (other~~
15 ~~than services rendered to the unit or units of~~
16 ~~government in relation to which the person is required~~
17 ~~to file) and the nature of the entity to which they~~
18 ~~were rendered if fees exceeding \$5,000 were received~~
19 ~~during the preceding calendar year from the entity for~~
20 ~~professional services rendered by the person making~~
21 ~~the statement.~~

22 ~~(3) The identity (including the address or legal~~
23 ~~description of real estate) of any capital asset from~~
24 ~~which a capital gain of \$5,000 or more was realized in~~
25 ~~the preceding calendar year.~~

26 ~~(4) The name of any unit of government which has~~

1 ~~employed the person making the statement during the~~
2 ~~preceding calendar year other than the unit or units of~~
3 ~~government in relation to which the person is required~~
4 ~~to file.~~

5 ~~(5) The name of any entity from which a gift or~~
6 ~~gifts, or honorarium or honoraria, valued singly or in~~
7 ~~the aggregate in excess of \$500, was received during~~
8 ~~the preceding calendar year.~~

9 ~~(b) The following interests shall also be listed by~~
10 ~~persons listed in items (a) through (f), item (l), item~~
11 ~~(n), and item (p) of Section 4A-101:~~

12 ~~(1) The name and instrument of ownership in any~~
13 ~~entity doing business in the State of Illinois, in~~
14 ~~which an ownership interest held by the person at the~~
15 ~~date of filing is in excess of \$5,000 fair market value~~
16 ~~or from which dividends of in excess of \$1,200 were~~
17 ~~derived during the preceding calendar year. (In the~~
18 ~~case of real estate, location thereof shall be listed~~
19 ~~by street address, or if none, then by legal~~
20 ~~description). No time or demand deposit in a financial~~
21 ~~institution, nor any debt instrument need be listed;~~

22 ~~(2) Except for professional service entities, the~~
23 ~~name of any entity and any position held therein from~~
24 ~~which income of in excess of \$1,200 was derived during~~
25 ~~the preceding calendar year, if the entity does~~
26 ~~business in the State of Illinois. No time or demand~~

1 ~~deposit in a financial institution, nor any debt~~
2 ~~instrument need be listed.~~

3 ~~(3) The identity of any compensated lobbyist with~~
4 ~~whom the person making the statement maintains a close~~
5 ~~economic association, including the name of the~~
6 ~~lobbyist and specifying the legislative matter or~~
7 ~~matters which are the object of the lobbying activity,~~
8 ~~and describing the general type of economic activity of~~
9 ~~the client or principal on whose behalf that person is~~
10 ~~lobbying.~~

11 ~~(c) The following interests shall also be listed by~~
12 ~~persons listed in items (g), (h), (i), and (o) of Section~~
13 ~~4A-101:~~

14 ~~(1) The name and instrument of ownership in any~~
15 ~~entity doing business with a unit of local government~~
16 ~~in relation to which the person is required to file if~~
17 ~~the ownership interest of the person filing is greater~~
18 ~~than \$5,000 fair market value as of the date of filing~~
19 ~~or if dividends in excess of \$1,200 were received from~~
20 ~~the entity during the preceding calendar year. (In the~~
21 ~~case of real estate, location thereof shall be listed~~
22 ~~by street address, or if none, then by legal~~
23 ~~description). No time or demand deposit in a financial~~
24 ~~institution, nor any debt instrument need be listed.~~

25 ~~(2) Except for professional service entities, the~~
26 ~~name of any entity and any position held therein from~~

1 ~~which income in excess of \$1,200 was derived during the~~
2 ~~preceding calendar year if the entity does business~~
3 ~~with a unit of local government in relation to which~~
4 ~~the person is required to file. No time or demand~~
5 ~~deposit in a financial institution, nor any debt~~
6 ~~instrument need be listed.~~

7 ~~(3) The name of any entity and the nature of the~~
8 ~~governmental action requested by any entity which has~~
9 ~~applied to a unit of local government in relation to~~
10 ~~which the person must file for any license, franchise~~
11 ~~or permit for annexation, zoning or rezoning of real~~
12 ~~estate during the preceding calendar year if the~~
13 ~~ownership interest of the person filing is in excess of~~
14 ~~\$5,000 fair market value at the time of filing or if~~
15 ~~income or dividends in excess of \$1,200 were received~~
16 ~~by the person filing from the entity during the~~
17 ~~preceding calendar year.~~

18 ~~For the purposes of this Section, the unit of local~~
19 ~~government in relation to which a person required to file under~~
20 ~~item (c) of Section 4A-101 shall be the unit of local~~
21 ~~government that contributes to the pension fund of which such~~
22 ~~person is a member of the board.~~

23 (Source: P.A. 96-6, eff. 4-3-09; 97-754, eff. 7-6-12.)

24 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

25 Sec. 4A-103. The statement of economic interests required

1 by this Article to be filed with the Secretary of State or
2 county clerk shall be ~~filled in by typewriting or hand~~
3 ~~printing, shall be~~ verified, dated, and signed by the person
4 making the statement and shall contain substantially the
5 following:

6 STATEMENT OF ECONOMIC INTERESTS

7 INSTRUCTIONS:

8 You may find the following documents helpful to you in
9 completing this form:

- 10 (1) federal income tax returns, including any related
11 schedules, attachments, and forms; and
12 (2) investment and brokerage statements.

13 To complete this form, you do not need to disclose specific
14 amounts or values or report interests relating either to
15 political committees registered with the Illinois State Board
16 of Elections or to political committees, principal campaign
17 committees, or authorized committees registered with the
18 Federal Election Commission.

19 The information you disclose will be available to the
20 public.

21 You must answer all 6 questions. Certain questions will ask
22 you to report any applicable assets or debts held in your name;
23 held jointly with your spouse; or held jointly by you with your
24 minor child. If you have any concerns about whether an interest

1 should be reported, please consult your department's ethics
2 officer, if applicable.

3 Please ensure that the information you provide is complete
4 and accurate. If you need more space than the form allows,
5 please attach additional pages for your response. If you are
6 subject to the State Officials and Employees Ethics Act, your
7 ethics officer must review your statement of economic interests
8 before you file it. Failure to complete the statement in good
9 faith and within the prescribed deadline may subject you to
10 finances, imprisonment, or both.

11 BASIC INFORMATION:

12 Name:.....

13 Job title:

14 Office, department, or agency that requires you to file this
15 form:.....

16 Other offices, departments, or agencies that require you to
17 file a Statement of Economic Interests form:.....

18 Full mailing address:.....

19 Preferred e-mail address (optional)

20 QUESTIONS:

21 1. If you have any single asset that was worth more than
22 \$25,000 as of the end of the preceding calendar year and is
23 held in your name, held jointly by you with your spouse, or
24 held jointly by you with your minor child, list such assets

1 below. In the case of investment real estate, list the city and
 2 state where the investment real estate is located. If you do
 3 not have any such assets, list "none" below.

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9 2. Excluding the position for which you are required to
 10 file this form, list the source of any income in excess of
 11 \$10,000 in income as reported on your federal income tax return
 12 covering the preceding calendar year. If you sold an asset that
 13 produced more than \$10,000 in capital gains in the preceding
 14 calendar year, list the name of the asset and the transaction
 15 date on which the sale or transfer took place. If you had no
 16 other sources of income exceeding \$10,000, list "none" below.

17	<u>Source of Income / Name of</u>	<u>Date Sold (if applicable)</u>
18	<u>Asset</u>	
19
20
21

22 3. Excluding debts incurred on terms available to the
 23 general public, such as mortgages, student loans, and credit
 24 card debts, if you owed any single debt in the preceding
 25 calendar year exceeding \$25,000, list the creditor of the debt

1 below. If you had no such debts, list "none" below.

2 List the creditor for all applicable debts owed by you,
3 owed jointly by you with your spouse, or owed jointly by you
4 with your minor child. In addition to the types of debts listed
5 above, you do not need to report any debts to or from financial
6 institutions or government agencies, such as debts secured by
7 automobiles, household furniture or appliances, as long as the
8 debt was made on terms available to the general public, debts
9 to members of your family, or debts to or from a political
10 committee registered with the Illinois State Board of Elections
11 or any political committee, principal campaign committee, or
12 authorized committee registered with the Federal Election
13 Commission.

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18 4. Excluding debts owed to you by members of your family or
19 by a political committee, if there is any entity or person who
20 owed any debt to you in the preceding calendar year exceeding
21 \$25,000, list the debtor below. If no such debts were owed to
22 you, list "none" below.

23 List the debtor for all applicable debts owed to you, owed
24 jointly to you with your spouse, or owed jointly to you with
25 your minor child. You do not need to report loans made to
26 members of your family or to a political committee registered

1 with the Illinois State Board of Elections or any political
 2 committee, principal campaign committee, or authorized
 3 committee registered with the Federal Election Commission.

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7 5. If you maintain an economic relationship with a lobbyist
 8 or if a member of your immediate family is known to you to be a
 9 lobbyist registered with any unit of government in the State of
 10 Illinois, list the name of the lobbyist below and identify the
 11 nature of your relationship with the lobbyist. If you do not
 12 have an economic relationship with a lobbyist, list "none"
 13 below.

<u>Name of Lobbyist</u>	<u>Relationship to Filer</u>
.....
.....
.....

18 6. List the name of any person, organization, or entity
 19 that was the source of a gift or gifts, or honorarium or
 20 honoraria, valued singly or in the aggregate in excess of
 21 \$1,000 received during the preceding calendar year.

22
 23
 24

1 VERIFICATION:

2 "I declare that this statement of economic interests
3 (including any attachments) has been examined by me and to the
4 best of my knowledge and belief is a true, correct and complete
5 statement of my economic interests as required by the Illinois
6 Governmental Ethics Act. I understand that the penalty for
7 willfully filing a false or incomplete statement is a fine not
8 to exceed \$2,500 or imprisonment in a penal institution other
9 than the penitentiary not to exceed one year, or both fine and
10 imprisonment."

11 Printed Name of Filer

12 Date

13 Signature.....

14 If this statement of economic interests requires ethics officer
15 review prior to filing, the applicable ethics officer must
16 complete the following:

17 CERTIFICATION OF ETHICS OFFICER REVIEW:

18 "In accordance with law, as Ethics Officer, I reviewed this
19 statement of economic interests prior to its filing."

20 Printed Name of Ethics Officer

21 Date

22 Signature.....

23 Preferred e-mail address (optional)

~~STATEMENT OF ECONOMIC INTEREST~~

~~(TYPE OR HAND PRINT)~~

.....

~~(name)~~

.....

~~(each office or position of employment for which this statement is filed)~~

.....

~~(full mailing address)~~

~~GENERAL DIRECTIONS:~~

~~The interest (if constructively controlled by the person making the statement) of a spouse or any other party, shall be considered to be the same as the interest of the person making the statement.~~

~~Campaign receipts shall not be included in this statement.~~

~~If additional space is needed, please attach supplemental listing.~~

~~1. List the name and instrument of ownership in any entity doing business in the State of Illinois, in which the ownership interest held by the person at the date of filing is in excess of \$5,000 fair market value or from which dividends in excess of \$1,200 were derived during the preceding calendar year. (In the case of real estate, location thereof shall be listed by street address, or if none, then by legal description.) No time or demand deposit in a financial institution, nor any debt instrument need be listed.~~

1	Business Entity	Instrument of Ownership
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6 ~~2. List the name, address and type of practice of any~~
7 ~~professional organization in which the person making the~~
8 ~~statement was an officer, director, associate, partner or~~
9 ~~proprietor or served in any advisory capacity, from which~~
10 ~~income in excess of \$1,200 was derived during the preceding~~
11 ~~calendar year.~~

12	Name	Address	Type of Practice
13
14
15

16 ~~3. List the nature of professional services rendered (other~~
17 ~~than to the State of Illinois) to each entity from which income~~
18 ~~exceeding \$5,000 was received for professional services~~
19 ~~rendered during the preceding calendar year by the person~~
20 ~~making the statement.~~

21
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23 ~~4. List the identity (including the address or legal~~
24 ~~description of real estate) of any capital asset from which a~~
25 ~~capital gain of \$5,000 or more was realized during the~~
26 ~~preceding calendar year.~~

1
 2

3 ~~5. List the identity of any compensated lobbyist with whom~~
 4 ~~the person making the statement maintains a close economic~~
 5 ~~association, including the name of the lobbyist and specifying~~
 6 ~~the legislative matter or matters which are the object of the~~
 7 ~~lobbying activity, and describing the general type of economic~~
 8 ~~activity of the client or principal on whose behalf that person~~
 9 ~~is lobbying.~~

Lobbyist	Legislative Matter	Client or Principal
10
11
12

13 ~~6. List the name of any entity doing business in the State~~
 14 ~~of Illinois from which income in excess of \$1,200 was derived~~
 15 ~~during the preceding calendar year other than for professional~~
 16 ~~services and the title or description of any position held in~~
 17 ~~that entity. (In the case of real estate, location thereof~~
 18 ~~shall be listed by street address, or if none, then by legal~~
 19 ~~description). No time or demand deposit in a financial~~
 20 ~~institution nor any debt instrument need be listed.~~

Entity	Position Held
21
22
23
24

25 ~~7. List the name of any unit of government which employed~~
 26 ~~the person making the statement during the preceding calendar~~

~~year other than the unit or units of government in relation to which the person is required to file.~~

~~.....
.....~~

~~8. List the name of any entity from which a gift or gifts, or honorarium or honoraria, valued singly or in the aggregate in excess of \$500, was received during the preceding calendar year.~~

~~.....~~

~~VERIFICATION:~~

~~"I declare that this statement of economic interests (including any accompanying schedules and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of my economic interests as required by the Illinois Governmental Ethics Act. I understand that the penalty for willfully filing a false or incomplete statement shall be a fine not to exceed \$1,000 or imprisonment in a penal institution other than the penitentiary not to exceed one year, or both fine and imprisonment."~~

~~.....~~

~~(date of filing) (signature of person making the statement)~~

~~(Source: P.A. 95-173, eff. 1-1-08.)~~

(5 ILCS 420/4A-108)

Sec. 4A-108. Internet-based systems of filing.

(a) Notwithstanding any other provision of this Act or any

1 other law, the Secretary of State and county clerks are
2 authorized to institute an Internet-based system for the filing
3 of statements of economic interests in their offices. With
4 respect to county clerk systems, the determination to institute
5 such a system shall be in the sole discretion of the county
6 clerk and shall meet the requirements set out in this Section.
7 With respect to a Secretary of State system, the determination
8 to institute such a system shall be in the sole discretion of
9 the Secretary of State and shall meet the requirements set out
10 in this Section and those Sections of the State Officials and
11 Employees Ethics Act requiring ethics officer review prior to
12 filing. The system shall be capable of allowing an ethics
13 officer to approve a statement of economic interests and shall
14 include a means to amend a statement of economic interests.
15 When this Section does not modify or remove the requirements
16 set forth elsewhere in this Article, those requirements shall
17 apply to any system of Internet-based filing authorized by this
18 Section. When this Section does modify or remove the
19 requirements set forth elsewhere in this Article, the
20 provisions of this Section shall apply to any system of
21 Internet-based filing authorized by this Section.

22 (b) In any system of Internet-based filing of statements of
23 economic interests instituted by the Secretary of State or a
24 county clerk:

25 (1) Any filing of an Internet-based statement of
26 economic interests shall be the equivalent of the filing of

1 a verified, written statement of economic interests as
2 required by Section 4A-101 and the equivalent of the filing
3 of a verified, dated, and signed statement of economic
4 interests as required by Section 4A-103 ~~4A-104~~.

5 (2) The Secretary of State and county clerks who
6 institute a system of Internet-based filing of statements
7 of economic interests shall establish a password-protected
8 website to receive the filings of such statements. A
9 website established under this Section shall set forth and
10 provide a means of responding to the items set forth in
11 Section 4A-103 ~~4A-102~~ that are required of a person who
12 files a statement of economic interests with that officer.
13 A website established under this Section shall set forth
14 and provide a means of generating a printable receipt page
15 acknowledging filing.

16 (3) The times for the filing of statements of economic
17 interests set forth in Section 4A-105 shall be followed in
18 any system of Internet-based filing of statements of
19 economic interests; provided that a candidate for elective
20 office who is required to file a statement of economic
21 interests in relation to his or her candidacy pursuant to
22 Section 4A-105(a) ~~shall not use the Internet to file his or~~
23 ~~her statement of economic interests but shall file his or~~
24 ~~her statement of economic interests in a written or printed~~
25 ~~form and~~ shall receive a written or printed receipt for his
26 or her filing.

1 A candidate filing for Governor, Lieutenant Governor,
2 Attorney General, Secretary of State, Treasurer,
3 Comptroller, State Senate, State House of Representatives,
4 Supreme Court Judge, appellate court judge, or circuit
5 court judge shall not use the Internet to file his or her
6 statement of economic interests, but shall file his or her
7 statement of economic interests in a written or printed
8 form and shall receive a written or printed receipt for his
9 or her filing. Annually, the duly appointed ethics officer
10 for each legislative caucus shall certify to the Secretary
11 of State whether his or her caucus members will file their
12 statements of economic interests electronically or in a
13 written or printed format for that year. If the ethics
14 officer for a caucus certifies that the statements of
15 economic interests shall be written or printed, then
16 members of the General Assembly of that caucus shall not
17 use the Internet to file his or her statement of economic
18 interests, but shall file his or her statement of economic
19 interests in a written or printed form and shall receive a
20 written or printed receipt for his or her filing. If no
21 certification is made by an ethics officer for a
22 legislative caucus, or if a member of the General Assembly
23 is not affiliated with a legislative caucus, then the
24 affected member or members of the General Assembly may file
25 their statements of economic interests using the Internet.

26 (4) In the first year of the implementation of a system

1 of Internet-based filing of statements of economic
2 interests, each person required to file such a statement is
3 to be notified in writing of his or her obligation to file
4 his or her statement of economic interests by way of the
5 Internet-based system. If access to the web site requires a
6 code or password, this information shall be included in the
7 notice prescribed by this paragraph.

8 (5) When a person required to file a statement of
9 economic interests has supplied the Secretary of State or a
10 county clerk, as applicable, with an email address for the
11 purpose of receiving notices under this Article by email, a
12 notice sent by email to the supplied email address shall be
13 the equivalent of a notice sent by first class mail, as set
14 forth in Section 4A-106. A person who has supplied such an
15 email address shall notify the Secretary of State or county
16 clerk, as applicable, when his or her email address changes
17 or if he or she no longer wishes to receive notices by
18 email.

19 (6) If any person who is required to file a statement
20 of economic interests and who has chosen to receive notices
21 by email fails to file his or her statement by May 10, then
22 the Secretary of State or county clerk, as applicable,
23 shall send an additional email notice on that date,
24 informing the person that he or she has not filed and
25 describing the penalties for late filing and failing to
26 file. This notice shall be in addition to other notices

1 provided for in this Article.

2 (7) The Secretary of State and each county clerk who
3 institutes a system of Internet-based filing of statements
4 of economic interests may also institute an Internet-based
5 process for the filing of the list of names and addresses
6 of persons required to file statements of economic
7 interests by the chief administrative officers that must
8 file such information with the Secretary of State or county
9 clerk, as applicable, pursuant to Section 4A-106. Whenever
10 the Secretary of State or a county clerk institutes such a
11 system under this paragraph, every chief administrative
12 officer must use the system to file this information.

13 (8) The Secretary of State and any county clerk who
14 institutes a system of Internet-based filing of statements
15 of economic interests shall post the contents of such
16 statements filed with him or her available for inspection
17 and copying on a publicly accessible website. Such postings
18 shall not include the addresses or signatures of the
19 filers.

20 (Source: P.A. 99-108, eff. 7-22-15.)

21 (5 ILCS 420/4A-104 rep.)

22 Section 10. The Illinois Governmental Ethics Act is amended
23 by repealing Section 4A-104.

24 Section 15. The Lobbyist Registration Act is amended by

1 changing Section 6 as follows:

2 (25 ILCS 170/6) (from Ch. 63, par. 176)

3 Sec. 6. Reports.

4 (a) Lobbyist reports. Except as otherwise provided in this
5 Section, every lobbyist registered under this Act who is solely
6 employed by a lobbying entity shall file an affirmation,
7 verified under oath pursuant to Section 1-109 of the Code of
8 Civil Procedure, with the Secretary of State attesting to the
9 accuracy of any reports filed pursuant to subsection (b) as
10 those reports pertain to work performed by the lobbyist. Any
11 lobbyist registered under this Act who is not solely employed
12 by a lobbying entity shall personally file reports required of
13 lobbying entities pursuant to subsection (b). A lobbyist may,
14 if authorized so to do by a lobbying entity by whom he or she is
15 employed or retained, file lobbying entity reports pursuant to
16 subsection (b) provided that the lobbying entity may delegate
17 the filing of the lobbying entity report to only one lobbyist
18 in any reporting period.

19 (b) Lobbying entity reports. Every lobbying entity
20 registered under this Act shall report expenditures related to
21 lobbying. The report shall itemize each individual expenditure
22 or transaction and shall include the name of the official on
23 whose behalf the expenditure was made, the name of the client
24 if the expenditure was made on behalf of a client, the total
25 amount of the expenditure, a description of the expenditure,

1 the vendor or purveyor to whom the expenditure was made
2 (including the address or location of the expenditure), the
3 date on which the expenditure occurred and the subject matter
4 of the lobbying activity, if any. For those expenditures made
5 on behalf of a client, if the client is a client registrant,
6 the report shall also include the name and address of the
7 client or clients of the client registrant or the official or
8 officials on whose behalf the expenditure ultimately was made.
9 Each expenditure required to be reported shall include all
10 expenses made for or on behalf of an official or his or her
11 immediate family member living with the official.

12 (b-1) The report shall include any change or addition to
13 the client list information, required in Section 5 for
14 registration, since the last report, including the names and
15 addresses of all clients who retained the lobbying entity
16 together with an itemized description for each client of the
17 following: (1) lobbying regarding executive action, including
18 the name of any executive agency lobbied and the subject
19 matter; (2) lobbying regarding legislative action, including
20 the General Assembly and any other agencies lobbied and the
21 subject matter; and (3) lobbying regarding administrative
22 action, including the agency lobbied and the subject matter.
23 Registrants who made no reportable expenditures during a
24 reporting period shall file a report stating that no
25 expenditures were incurred.

26 (b-2) Expenditures attributable to lobbying officials

1 shall be listed and reported according to the following
2 categories:

3 (1) Travel and lodging on behalf of others, including,
4 but not limited to, all travel and living accommodations
5 made for or on behalf of State officials during sessions of
6 the General Assembly.

7 (2) Meals, beverages and other entertainment.

8 (3) Gifts (indicating which, if any, are on the basis
9 of personal friendship).

10 (4) Honoraria.

11 (5) Any other thing or service of value not listed
12 under categories (1) through (4), setting forth a
13 description of the expenditure. The category travel and
14 lodging includes, but is not limited to, all travel and
15 living accommodations made for or on behalf of State
16 officials in the State capital during sessions of the
17 General Assembly.

18 (b-3) If expenditures are ~~Expenditures~~ incurred for
19 hosting receptions, benefits, and other large gatherings held
20 for purposes of goodwill or otherwise to influence executive,
21 legislative, or administrative action and if the total number
22 of State officials invited to such an event is equal to or
23 greater than the number of State legislators in the smaller
24 minority caucus of either the House of Representatives or the
25 Senate, as defined in the rules of those chambers, then ~~to~~
26 ~~which there are 25 or more State officials invited shall be~~

1 ~~reported listing~~ only the total amount of the expenditure, the
2 date of the event, and the estimated number of officials in
3 attendance shall be reported.

4 (b-7) Matters excluded from reports. The following items
5 need not be included in the report:

6 (1) Reasonable and bona fide expenditures made by the
7 registrant who is a member of a legislative or State study
8 commission or committee while attending and participating
9 in meetings and hearings of such commission or committee.

10 (2) Reasonable and bona fide expenditures made by the
11 registrant for personal sustenance, lodging, travel,
12 office expenses and clerical or support staff.

13 (3) Salaries, fees, and other compensation paid to the
14 registrant for the purposes of lobbying.

15 (4) Any contributions required to be reported under
16 Article 9 of the Election Code.

17 (5) Expenditures made by a registrant on behalf of an
18 official that are returned or reimbursed prior to the
19 deadline for submission of the report.

20 (c) A registrant who terminates employment or duties which
21 required him or her to register under this Act shall give the
22 Secretary of State, within 30 days after the date of such
23 termination, written notice of such termination and shall
24 include therewith a report of the expenditures described
25 herein, covering the period of time since the filing of his or
26 her last report to the date of termination of employment. Such

1 notice and report shall be final and relieve such registrant of
2 further reporting under this Act, unless and until he or she
3 later takes employment or assumes duties requiring him or her
4 to again register under this Act.

5 (d) Failure to file any such report within the time
6 designated or the reporting of incomplete information shall
7 constitute a violation of this Act.

8 A registrant shall preserve for a period of 2 years all
9 receipts and records used in preparing reports under this Act.

10 (e) Within 30 days after a filing deadline or as provided
11 by rule, the lobbyist shall notify each official on whose
12 behalf an expenditure has been reported. Notification shall
13 include the name of the registrant, the total amount of the
14 expenditure, a description of the expenditure, the date on
15 which the expenditure occurred, and the subject matter of the
16 lobbying activity.

17 (f) A report for the period beginning January 1, 2010 and
18 ending on June 30, 2010 shall be filed no later than July 15,
19 2010, and a report for the period beginning July 1, 2010 and
20 ending on December 31, 2010 shall be filed no later than
21 January 15, 2011. Beginning January 1, 2011, reports shall be
22 filed semi-monthly as follows: (i) for the period beginning the
23 first day of the month through the 15th day of the month, the
24 report shall be filed no later than the 20th day of the month
25 and (ii) for the period beginning on the 16th day of the month
26 through the last day of the month, the report shall be filed no

1 later than the 5th day of the following month. A report filed
2 under this Act is due in the Office of the Secretary of State
3 no later than the close of business on the date on which it is
4 required to be filed.

5 (g) All reports filed under this Act shall be filed in a
6 format or on forms prescribed by the Secretary of State.

7 (Source: P.A. 98-459, eff. 1-1-14.)

8 Section 98. Applicability. The provisions of this
9 amendatory Act of the 100th General Assembly concerning
10 statements of economic interests shall apply to statements of
11 economic interests filed in 2019 and for each year thereafter.
12 Any statement of economic interest filed prior to 2019 shall
13 apply the law in effect before the effective date of this
14 amendatory Act of the 100th General Assembly.

15 Section 99. Effective date. This Act takes effect January
16 1, 2018, except that Section 15 and this Section take effect
17 upon becoming law."