



## 99TH GENERAL ASSEMBLY

### State of Illinois

2015 and 2016

HB3857

by Rep. Fred Crespo

#### SYNOPSIS AS INTRODUCED:

5 ILCS 420/4A-102

from Ch. 127, par. 604A-102

Amends the Illinois Governmental Ethics Act. Requires elected state officials to indicate on their statements of economic interests the name and employment information of any family member of that person who had been employed during the previous calendar year by: (i) a State agency or department, or (ii) any other entity that receives State funding. Defines "family member" to mean the spouse, ancestors, siblings, children, step-children, grandchildren, great-grandchildren, and the spouses of siblings, children, step-children, grandchildren, and great-grandchildren.

LRB099 08378 JLK 31764 b

1 AN ACT concerning government.

2 **Be it enacted by the People of the State of Illinois,**  
3 **represented in the General Assembly:**

4 Section 5. The Illinois Governmental Ethics Act is amended  
5 by changing Section 4A-102 as follows:

6 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

7 Sec. 4A-102. The statement of economic interests required  
8 by this Article shall include the economic interests of the  
9 person making the statement as provided in this Section. The  
10 interest (if constructively controlled by the person making the  
11 statement) of a spouse or any other party, shall be considered  
12 to be the same as the interest of the person making the  
13 statement. Campaign receipts shall not be included in this  
14 statement.

15 (a) The following interests shall be listed by all  
16 persons required to file:

17 (1) The name, address and type of practice of any  
18 professional organization or individual professional  
19 practice in which the person making the statement was  
20 an officer, director, associate, partner or  
21 proprietor, or served in any advisory capacity, from  
22 which income in excess of \$1200 was derived during the  
23 preceding calendar year;

1           (2) The nature of professional services (other  
2 than services rendered to the unit or units of  
3 government in relation to which the person is required  
4 to file) and the nature of the entity to which they  
5 were rendered if fees exceeding \$5,000 were received  
6 during the preceding calendar year from the entity for  
7 professional services rendered by the person making  
8 the statement.

9           (3) The identity (including the address or legal  
10 description of real estate) of any capital asset from  
11 which a capital gain of \$5,000 or more was realized in  
12 the preceding calendar year.

13           (4) The name of any unit of government which has  
14 employed the person making the statement during the  
15 preceding calendar year other than the unit or units of  
16 government in relation to which the person is required  
17 to file.

18           (5) The name of any entity from which a gift or  
19 gifts, or honorarium or honoraria, valued singly or in  
20 the aggregate in excess of \$500, was received during  
21 the preceding calendar year.

22           (a-5) Any person under subsections (a), (b), (c), and  
23 (e) of Section 4A-101 of this Section shall also list on  
24 his or her statement of economic interests the name and  
25 employment information of any family member of that person  
26 who had been employed during the previous calendar year by:

1       (i) a State agency or department, or (ii) any other entity  
2       receiving State funding. As used in this subsection,  
3       "family member" means spouse, ancestors, siblings,  
4       children, step-children, grandchildren,  
5       great-grandchildren, and the spouses of siblings,  
6       children, step-children, grandchildren, and  
7       great-grandchildren.

8       (b) The following interests shall also be listed by  
9       persons listed in items (a) through (f), item (l), item  
10       (n), and item (p) of Section 4A-101:

11               (1) The name and instrument of ownership in any  
12       entity doing business in the State of Illinois, in  
13       which an ownership interest held by the person at the  
14       date of filing is in excess of \$5,000 fair market value  
15       or from which dividends of in excess of \$1,200 were  
16       derived during the preceding calendar year. (In the  
17       case of real estate, location thereof shall be listed  
18       by street address, or if none, then by legal  
19       description). No time or demand deposit in a financial  
20       institution, nor any debt instrument need be listed;

21               (2) Except for professional service entities, the  
22       name of any entity and any position held therein from  
23       which income of in excess of \$1,200 was derived during  
24       the preceding calendar year, if the entity does  
25       business in the State of Illinois. No time or demand  
26       deposit in a financial institution, nor any debt

1 instrument need be listed.

2 (3) The identity of any compensated lobbyist with  
3 whom the person making the statement maintains a close  
4 economic association, including the name of the  
5 lobbyist and specifying the legislative matter or  
6 matters which are the object of the lobbying activity,  
7 and describing the general type of economic activity of  
8 the client or principal on whose behalf that person is  
9 lobbying.

10 (c) The following interests shall also be listed by  
11 persons listed in items (g), (h), (i), and (o) of Section  
12 4A-101:

13 (1) The name and instrument of ownership in any  
14 entity doing business with a unit of local government  
15 in relation to which the person is required to file if  
16 the ownership interest of the person filing is greater  
17 than \$5,000 fair market value as of the date of filing  
18 or if dividends in excess of \$1,200 were received from  
19 the entity during the preceding calendar year. (In the  
20 case of real estate, location thereof shall be listed  
21 by street address, or if none, then by legal  
22 description). No time or demand deposit in a financial  
23 institution, nor any debt instrument need be listed.

24 (2) Except for professional service entities, the  
25 name of any entity and any position held therein from  
26 which income in excess of \$1,200 was derived during the

1 preceding calendar year if the entity does business  
2 with a unit of local government in relation to which  
3 the person is required to file. No time or demand  
4 deposit in a financial institution, nor any debt  
5 instrument need be listed.

6 (3) The name of any entity and the nature of the  
7 governmental action requested by any entity which has  
8 applied to a unit of local government in relation to  
9 which the person must file for any license, franchise  
10 or permit for annexation, zoning or rezoning of real  
11 estate during the preceding calendar year if the  
12 ownership interest of the person filing is in excess of  
13 \$5,000 fair market value at the time of filing or if  
14 income or dividends in excess of \$1,200 were received  
15 by the person filing from the entity during the  
16 preceding calendar year.

17 For the purposes of this Section, the unit of local  
18 government in relation to which a person required to file under  
19 item (o) of Section 4A-101 shall be the unit of local  
20 government that contributes to the pension fund of which such  
21 person is a member of the board.

22 (Source: P.A. 96-6, eff. 4-3-09; 97-754, eff. 7-6-12.)