

## 98TH GENERAL ASSEMBLY State of Illinois 2013 and 2014 SB3531

Introduced 2/14/2014, by Sen. John M. Sullivan

## SYNOPSIS AS INTRODUCED:

210 ILCS 50/3.30 210 ILCS 50/3.90 210 ILCS 50/3.95 210 ILCS 50/3.100 210 ILCS 50/3.101 new 210 ILCS 50/3.102 new 210 ILCS 50/3.105 210 ILCS 50/3.110 210 ILCS 50/3.140

Amends the Emergency Medical Services (EMS) Systems Act. Provides that the Trauma Center Medical Directors or the Trauma Center Medical Directors Committee may consider Level III Trauma Centers in identifying the types of facilities in which patients can be cared for. Provides that Level II and Level III Trauma Centers shall have some essential services available in-house, 24 hours per day, and other essential services readily available. Provides that an Acute Injury Stabilization Center shall have a comprehensive emergency department capable of initial management and transfer of the acutely injured. Provides that the Department of Public Health shall have the authority to establish and enforce minimum standards for designation and re-designation of 3 levels of trauma centers that meet trauma center national standards (instead of minimum standards for designation as a Level I or Level II Trauma Center). Creates provisions concerning minimum standards for Level III Trauma Centers and Acute Injury Stabilization centers. Makes other changes.

LRB098 17146 RPS 52233 b

FISCAL NOTE ACT MAY APPLY

1 AN ACT concerning regulation.

## Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- Section 5. The Emergency Medical Services (EMS) Systems Act is amended by changing Sections 3.30, 3.90, 3.95, 3.100, 3.105, 3.110, and 3.140 and by adding Section 3.101 and 3.102 as
- 8 (210 ILCS 50/3.30)

follows:

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- 9 Sec. 3.30. EMS Region Plan; Content.
- 10 (a) The EMS Medical Directors Committee shall address at least the following:
  - (1) Protocols for inter-System/inter-Region patient transports, including identifying the conditions of emergency patients which may not be transported to the different levels of emergency department, based on their Department classifications and relevant Regional considerations (e.g. transport times and distances);
    - (2) Regional standing medical orders;
  - (3) Patient transfer patterns, including criteria for determining whether a patient needs the specialized services of a trauma center, along with protocols for the bypassing of or diversion to any hospital, trauma center or regional trauma center which are consistent with

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individual System bypass or diversion protocols and protocols for patient choice or refusal;

- (4) Protocols for resolving Regional or Inter-System conflict;
- (5) An EMS disaster preparedness plan which includes the actions and responsibilities of all EMS participants within the Region. Within 90 days of the effective date of this amendatory Act of 1996, an EMS System shall submit to the Department for review an internal disaster plan. At a minimum, the plan shall include contingency plans for the transfer of patients to other facilities if an evacuation of the hospital becomes necessary due to a catastrophe, including but not limited to, a power failure;
- (6) Regional standardization of continuing education requirements;
- (7) Regional standardization of Do Not Resuscitate (DNR) policies, and protocols for power of attorney for health care;
- 19 (8) Protocols for disbursement of Department grants;
  20 and
- 21 (9) Protocols for the triage, treatment, and transport 22 of possible acute stroke patients.
- 23 (b) The Trauma Center Medical Directors or Trauma Center
  24 Medical Directors Committee shall address at least the
  25 following:
  - (1) The identification of Regional Trauma Centers;

- (2) Protocols for inter-System and inter-Region trauma patient transports, including identifying the conditions of emergency patients which may not be transported to the different levels of emergency department, based on their Department classifications and relevant Regional considerations (e.g. transport times and distances);
  - (3) Regional trauma standing medical orders;
- (4) Trauma patient transfer patterns, including criteria for determining whether a patient needs the specialized services of a trauma center, along with protocols for the bypassing of or diversion to any hospital, trauma center or regional trauma center which are consistent with individual System bypass or diversion protocols and protocols for patient choice or refusal;
- (5) The identification of which types of patients can be cared for by Level I <u>Trauma Centers</u>, and Level II Trauma Centers;
- (6) Criteria for inter-hospital transfer of trauma patients;
- (7) The treatment of trauma patients in each trauma center within the Region;
- (8) A program for conducting a quarterly conference which shall include at a minimum a discussion of morbidity and mortality between all professional staff involved in the care of trauma patients;
  - (9) The establishment of a Regional trauma quality

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assurance and improvement subcommittee, consisting of trauma surgeons, which shall perform periodic medical audits of each trauma center's trauma services, and forward tabulated data from such reviews to the Department; and

- (10) The establishment, within 90 days of the effective date of this amendatory Act of 1996, of an internal disaster plan, which shall include, at a minimum, contingency plans for the transfer of patients to other facilities if an evacuation of the hospital becomes necessary due to a catastrophe, including but not limited to, a power failure.
- 12 (c) The Region's EMS Medical Directors and Trauma Center
  13 Medical Directors Committees shall appoint any subcommittees
  14 which they deem necessary to address specific issues concerning
  15 Region activities.
- 16 (Source: P.A. 96-514, eff. 1-1-10.)
- 17 (210 ILCS 50/3.90)
- 18 Sec. 3.90. Trauma Center Designations.
- 19 (a) "Trauma Center" means a hospital which: (1) within
  20 designated capabilities provides optimal care to trauma
  21 patients; (2) participates in an approved EMS System; and (3)
  22 is duly designated pursuant to the provisions of this Act.
  23 Level I Trauma Centers shall provide all essential services
  24 in-house, 24 hours per day, in accordance with rules adopted by
  25 the Department pursuant to this Act. Level II and Level III

- Trauma Centers shall have some essential services available in-house, 24 hours per day, and other essential services readily available, 24 hours per day, in accordance with rules adopted by the Department pursuant to this Act.
  - (a-5) An Acute Injury Stabilization Center shall have a basic or comprehensive emergency department capable of initial management and transfer of the acutely injured in accordance with rules adopted by the Department pursuant to this Act.
  - (b) The Department shall have the authority and responsibility to:
    - (1) Establish <u>and enforce</u> minimum standards for designation <u>and re-designation of 3 levels of trauma</u> <u>centers that meet trauma center national standards, as modified by the Department in administrative rules as a Level I or Level II Trauma Center, consistent with Sections 22 and 23 of this Act, through rules adopted pursuant to this Act;</u>
    - (2) Require hospitals applying for trauma center designation to submit a plan for designation in a manner and form prescribed by the Department through rules adopted pursuant to this Act;
    - (3) Upon receipt of a completed plan for designation, conduct a site visit to inspect the hospital for compliance with the Department's minimum standards. Such visit shall be conducted by specially qualified personnel with experience in the delivery of emergency medical and/or

trauma care. A report of the inspection shall be provided to the Director within 30 days of the completion of the site visit. The report shall note compliance or lack of compliance with the individual standards for designation, but shall not offer a recommendation on granting or denying designation;

- (4) Designate applicant hospitals as Level I, or Level III Trauma Centers which meet the minimum standards established by this Act and the Department. The Beginning September 1, 1997 the Department shall designate a new trauma center only when a local or regional need for such trauma center has been identified. The Department shall request an assessment of local or regional need from the applicable EMS Region's Trauma Center Medical Directors Committee, with advice from the Regional Trauma Advisory Committee. This shall not be construed as a needs assessment for health planning or other purposes outside of this Act;
- (5) <u>Designate</u> Attempt to designate trauma centers in all areas of the State. There shall be at least one Level I Trauma Center serving each EMS Region, unless waived by the Department. This subsection shall not be construed to require a Level I Trauma Center to be located in each EMS Region. Level I Trauma Centers shall serve as resources for the Level II <u>and Level III</u> Trauma Centers <u>and Acute Injury Stabilization Centers</u> in the EMS Regions. The extent of

such relationships shall be defined in the EMS Region Plan;

- (6) Inspect designated trauma centers to assure compliance with the provisions of this Act and the rules adopted pursuant to this Act. Information received by the Department through filed reports, inspection, or as otherwise authorized under this Act shall not be disclosed publicly in such a manner as to identify individuals or hospitals, except in proceedings involving the denial, suspension or revocation of a trauma center designation or imposition of a fine on a trauma center;
- (7) Renew trauma center designations every 2 years, with onsite inspections conducted every 4 years after an on-site inspection, based on compliance with renewal requirements and standards for continuing operation, as prescribed by the Department through rules adopted pursuant to this Act;
- (8) Refuse to issue or renew a trauma center designation, after providing an opportunity for a hearing, when findings show that it does not meet the standards and criteria prescribed by the Department;
- (9) Review and determine whether a trauma center's annual morbidity and mortality rates for trauma patients significantly exceed the State average for such rates, using a uniform recording methodology based on nationally recognized standards. Such determination shall be considered as a factor in any decision by the Department to

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renew or refuse to renew a trauma center designation under this Act, but shall not constitute the sole basis for refusing to renew a trauma center designation;

- (10) Take the following action, as appropriate, after determining that a trauma center is in violation of this Act or any rule adopted pursuant to this Act:
  - (A) If the Director determines that the violation presents a substantial probability that death or serious physical harm will result and if the trauma center fails to eliminate the violation immediately or within a fixed period of time, not exceeding 10 days, determined by the Director, the Director may as immediately revoke the trauma center designation. The trauma center may appeal the revocation within 15 days after receiving the Director's revocation order, by requesting a hearing as provided by Section 29 of this The Director shall notify the chair of the Act. Region's Trauma Center Medical Directors Committee and EMS Medical Directors for appropriate EMS Systems of such trauma center designation revocation;
  - (B) If the Director determines that the violation does not present a substantial probability that death or serious physical harm will result, the Director shall issue a notice of violation and request a plan of correction which shall be subject to the Department's approval. The trauma center shall have 10 days after

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receipt of the notice of violation in which to submit a plan of correction. The Department may extend this period for up to 30 days. The plan shall include a fixed time period not in excess of 90 days within which violations are to be corrected. The plan of correction and the status of its implementation by the trauma center shall be provided, as appropriate, to the EMS Medical Directors for appropriate EMS Systems. If the Department rejects a plan of correction, it shall send notice of the rejection and the reason for the rejection to the trauma center. The trauma center shall have 10 days after receipt of the notice of rejection in which to submit a modified plan. If the modified plan is not timely submitted, or if the modified plan is rejected, the trauma center shall follow an approved plan of correction imposed by the Department. If, after notice and opportunity for hearing, the Director determines that a trauma center has failed to comply with an approved plan of correction, the Director may the trauma center designation. The trauma revoke center shall have 15 days after receiving Director's notice in which to request a hearing. Such hearing shall conform to the provisions of Section  $3.135 \frac{30}{30}$  of this Act;

(11) The Department may delegate authority to local health departments in jurisdictions which include a

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substantial number of trauma centers. The delegated authority to those local health departments shall include, but is not limited to, the authority to designate trauma centers with final approval by the Department, maintain a regional data base with concomitant reporting of trauma registry data, and monitor, inspect and investigate trauma centers within their jurisdiction, in accordance with the requirements of this Act and the rules promulgated by the Department;

- (A) The Department shall monitor the performance of local health departments with authority delegated pursuant to this Section, based upon performance criteria established in rules promulgated by the Department;
- (B) Delegated authority may be revoked <del>substantial</del> non-compliance with the Department's rules. Notice of an intent to revoke shall be served upon the local health department by certified mail, stating the reasons for revocation and offering an opportunity for an administrative hearing to contest the proposed revocation. The request for a hearing must be in writing and received by the Department within 10 working days of the local health department's receipt of notification;
- (C) The director of a local health department may relinquish its delegated authority upon 60 days

- written notification to the Director of Public Health.
- 2 (Source: P.A. 89-177, eff. 7-19-95.)
- 3 (210 ILCS 50/3.95)
- 4 Sec. 3.95. Level I Trauma Center Minimum Standards. The
- 5 Department shall establish, through rules adopted pursuant to
- 6 this Act, standards for Level I Trauma Centers which shall
- 7 include, but need not be limited to:
- 8 (a) The designation by the trauma center of a Trauma Center
- 9 Medical Director and specification of his qualifications;
- 10 (b) The types of surgical services the trauma center must
- 11 have available for trauma patients, including but not limited
- 12 to a twenty-four hour in-house surgeon with operating
- 13 privileges and ancillary staff necessary for immediate
- 14 surgical intervention;
- 15 (c) The types of nonsurgical services the trauma center
- must have available for trauma patients;
- 17 (d) The numbers and qualifications of emergency medical
- 18 personnel;
- 19 (e) The types of equipment that must be available to trauma
- 20 patients;
- 21 (f) Requiring the trauma center to be affiliated with an
- 22 EMS System;
- 23 (g) Requiring the trauma center to have a communications
- 24 system that is fully integrated with all Level II Trauma
- 25 Centers, Level III Trauma Centers, Acute Injury Stabilization

- 1 <u>Centers</u>, and EMS Systems with which it is affiliated;
- 2 (h) The types of data the trauma center must collect and
- 3 submit to the Department relating to the trauma services it
- 4 provides. Such data may include information on post-trauma care
- 5 directly related to the initial traumatic injury provided to
- 6 trauma patients until their discharge from the facility and
- 7 information on discharge plans;
- 8 (i) Requiring the trauma center to have helicopter landing
- 9 capabilities approved by appropriate State and federal
- 10 authorities, if the trauma center is located within a
- 11 municipality having a population of less than two million
- 12 people; and
- 13 (j) Requiring written agreements with Level II Trauma
- 14 Centers, Level III Trauma Centers, and Acute Injury
- 15 Stabilization Centers in the EMS Regions it serves, executed
- 16 within a reasonable time designated by the Department.
- 17 (Source: P.A. 89-177, eff. 7-19-95.)
- 18 (210 ILCS 50/3.100)
- 19 Sec. 3.100. Level II Trauma Center Minimum Standards. The
- Department shall establish, through rules adopted pursuant to
- 21 this Act, standards for Level II Trauma Centers which shall
- include, but need not be limited to:
- 23 (a) The designation by the trauma center of a Trauma Center
- 24 Medical Director and specification of his qualifications;
- 25 (b) The types of surgical services the trauma center must

- 1 have available for trauma patients. The Department shall not
- 2 require the availability of all surgical services required of
- 3 Level I Trauma Centers;
- 4 (c) The types of nonsurgical services the trauma center
- 5 must have available for trauma patients;
- 6 (d) The numbers and qualifications of emergency medical
- 7 personnel, taking into consideration the more limited trauma
- 8 services available in a Level II Trauma Center;
- 9 (e) The types of equipment that must be available for
- 10 trauma patients;
- 11 (f) Requiring the trauma center to have a written agreement
- 12 with a Level I Trauma Centers, Level III Trauma Centers, and
- 13 Acute Injury Stabilization Centers Center serving the EMS
- 14 Region outlining their respective responsibilities in
- 15 providing trauma services, executed within a reasonable time
- designated by the Department, unless the requirement for a
- 17 Level I Trauma Center to serve that EMS Region has been waived
- 18 by the Department;
- 19 (g) Requiring the trauma center to be affiliated with an
- 20 EMS System;
- 21 (h) Requiring the trauma center to have a communications
- 22 system that is fully integrated with the Level I Trauma
- 23 Centers, Level III Trauma Centers, Acute Injury Stabilization
- 24 Centers, and the EMS Systems with which it is affiliated;
- 25 (i) The types of data the trauma center must collect and
- 26 submit to the Department relating to the trauma services it

1	provides.	Such	data	may	include	information	on	post-trauma	care

- 2 directly related to the initial traumatic injury provided to
- 3 trauma patients until their discharge from the facility and
- 4 information on discharge plans;
- 5 (j) Requiring the trauma center to have helicopter landing
- 6 capabilities approved by appropriate State and federal
- 7 authorities, if the trauma center is located within a
- 8 municipality having a population of less than two million
- 9 people.
- 10 (Source: P.A. 89-177, eff. 7-19-95.)
- 11 (210 ILCS 50/3.101 new)
- Sec. 3.101. Level III Trauma Center minimum standards. The
- Department shall establish, through rules adopted pursuant to
- 14 this Act, standards for Level III Trauma Centers which shall
- include, but need not be limited to:
- 16 (1) the designation by the trauma center of a Trauma
- 17 <u>Center Medical Director and specification of his or her</u>
- 18 qualifications;
- 19 (2) the types of surgical services the trauma center
- 20 must have available for trauma patients; the Department
- 21 shall not require the availability of all surgical services
- required of Level I or Level II Trauma Centers;
- 23 (3) the types of nonsurgical services the trauma center
- 24 <u>must have available for trauma patients;</u>
- 25 (4) the numbers and qualifications of emergency

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1	medical personnel, taking into consideration the more
2	limited trauma services available in a Level III Trauma
3	<pre>Center;</pre>
4	(5) the types of equipment that must be available for
5	trauma patients;
6	(6) requiring the trauma center to have a written
7	agreement with Level I Trauma Centers, Level II Trauma
8	Centers, and Acute Injury Stabilization Centers serving
9	the EMS Region outlining their respective responsibilities
10	in providing trauma services, executed within a reasonable
11	time designated by the Department, unless the requirement
12	for a Level I Trauma Center to serve that EMS Region has
13	been waived by the Department;
14	(7) requiring the trauma center to be affiliated with
15	an EMS System;
16	(8) requiring the trauma center to have a
17	communications system that is fully integrated with the
18	Level I Trauma Centers, Level II Trauma Centers, Acute
19	Injury Stabilization Centers, and the EMS Systems with
20	which it is affiliated;
21	(9) the types of data the trauma center must collect
22	and submit to the Department relating to the trauma
23	services it provides; such data may include information on
24	post-trauma care directly related to the initial traumatic

injury provided to trauma patients until their discharge

from the facility and information on discharge plans; and

- 1 (10) requiring the trauma center to have helicopter
- 2 landing capabilities that have been approved by
- 3 appropriate State and federal authorities.
- 4 (210 ILCS 50/3.102 new)
- 5 Sec. 3.102. Acute Injury Stabilization Center minimum
- 6 <u>standards</u>. The Department shall establish, through rules
- 7 adopted pursuant to this Act, standards for Acute Injury
- 8 Stabilization Centers which shall include, but need not be
- 9 <u>limited</u> to, <u>Comprehensive</u> or <u>Basic Emergency</u> Department
- services pursuant to the Hospital Licensing Act.
- 11 (210 ILCS 50/3.105)
- 12 Sec. 3.105. Trauma Center Misrepresentation. No After the
- 13 effective date of this amendatory Act of 1995, no facility
- 14 shall use the phrase "trauma center" or words of similar
- meaning in relation to itself or hold itself out as a trauma
- 16 center without first obtaining designation pursuant to this
- 17 Act.
- 18 (Source: P.A. 89-177, eff. 7-19-95.)
- 19 (210 ILCS 50/3.110)
- Sec. 3.110. EMS system and trauma center confidentiality
- 21 and immunity.
- 22 (a) All information contained in or relating to any medical
- 23 audit performed of a trauma center's trauma services or an

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- Acute Injury Stabilization Center pursuant to this Act or by an EMS Medical Director or his designee of medical care rendered by System personnel, shall be afforded the same status as is provided information concerning medical studies in Article VIII, Part 21 of the Code of Civil Procedure. Disclosure of such information to the Department pursuant to this Act shall not be considered a violation of Article VIII, Part 21 of the
- 9 (b) Hospitals, trauma centers and individuals that perform 10 or participate in medical audits pursuant to this Act shall be 11 immune from civil liability to the same extent as provided in 12 Section 10.2 of the Hospital Licensing Act.
  - (c) All information relating to the State Emergency Medical Services Disciplinary Review Board or a local review board, except final decisions, shall be afforded the same status as is provided information concerning medical studies in Article VIII, Part 21 of the Code of Civil Procedure. Disclosure of such information to the Department pursuant to this Act shall not be considered a violation of Article VIII, Part 21 of the Code of Civil Procedure.
- 21 (Source: P.A. 92-651, eff. 7-11-02.)

Code of Civil Procedure.

- 22 (210 ILCS 50/3.140)
- Sec. 3.140. Violations; Fines.
- 24 (a) The Department shall have the authority to impose fines 25 on any licensed vehicle service provider, designated trauma

- center, <u>Acute Injury Stabilization Center</u>, resource hospital, associate hospital, or participating hospital.
  - (b) The Department shall adopt rules pursuant to this Act which establish a system of fines related to the type and level of violation or repeat violation, including but not limited to:
    - (1) A fine not exceeding \$10,000 for a violation which created a condition or occurrence presenting a substantial probability that death or serious harm to an individual will or did result therefrom; and
    - (2) A fine not exceeding \$5,000 for a violation which creates or created a condition or occurrence which threatens the health, safety or welfare of an individual.
  - (c) A Notice of Intent to Impose Fine may be issued in conjunction with or in lieu of a Notice of Intent to Suspend, Revoke, Nonrenew or Deny, and shall conform to the requirements specified in Section 3.130(d) of this Act. All Hearings conducted pursuant to a Notice of Intent to Impose Fine shall conform to the requirements specified in Section 3.135 of this Act.
- 20 (d) All fines collected pursuant to this Section shall be 21 deposited into the EMS Assistance Fund.
- 22 (Source: P.A. 89-177, eff. 7-19-95.)