



Sen. Dan Kotowski

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09800SB1361sam002

LRB098 08268 JDS 45545 a

1 AMENDMENT TO SENATE BILL 1361

2 AMENDMENT NO. _____. Amend Senate Bill 1361, AS AMENDED,
3 by replacing everything after the enacting clause with the
4 following:

5 "Section 5. The Illinois Governmental Ethics Act is amended
6 by changing Sections 1-105, 1-110, 4A-102, 4A-103, and 4A-108
7 and by adding Sections 1-102.5, 1-104.3, 1-104.4, 1-104.5,
8 1-105.2, 1-105.3, 1-105.4, 1-105.6, 1-105.7, 1-112.5, 1-113.6,
9 and 1-113.7 as follows:

10 (5 ILCS 420/1-102.5 new)

11 Sec. 1-102.5. "Asset" means, for the purposes of Sections
12 4A-102 and 4A-103, an item that is owned and has monetary
13 value. For the purposes of Sections 4A-102 and 4A-103, assets
14 include, but are not limited to: stocks, bonds (except those
15 issued by the federal, state, or local governments), sector
16 mutual funds, sector exchange traded funds, commodity futures,

1 investment real estate, and partnership interests. For the
2 purposes of Sections 4A-102 and 4A-103, assets do not include:
3 personal residences; personal vehicles; savings or checking
4 accounts; bonds, notes, or securities issued by any branch of
5 federal, state, or local government; Medicare benefits;
6 inheritances or bequests; diversified mutual funds; annuities;
7 pensions (including government pensions); retirement accounts;
8 college savings plans that are qualified tuition plans;
9 non-income producing trust holdings; or tangible personal
10 property.

11 (5 ILCS 420/1-104.3 new)

12 Sec. 1-104.3. "Creditor" means, for the purposes of
13 Sections 4A-102 and 4A-103, an individual, organization, or
14 other business entity to whom money or its equivalent is owed,
15 no matter whether that obligation is secured or unsecured,
16 except that if a filer makes a loan to members of his or her
17 family, a political committee registered with the Illinois
18 State Board of Elections, or a political committee, principal
19 campaign committee, or authorized committee registered with
20 the Federal Election Commission, then that filer does not, by
21 making such a loan, become a creditor of that individual or
22 entity for the purposes of Sections 4A-102 and 4A-103 of this
23 Act.

24 (5 ILCS 420/1-104.4 new)

1 Sec. 1-104.4. "Debt" means, for the purposes of Sections
2 4A-102 and 4A-103, any money or monetary obligation owed at any
3 time during the preceding calendar year to an individual,
4 company, or other organization, other than a loan that is from
5 a financial institution, government agency, or business entity
6 and that is granted on terms made available to the general
7 public. For the purposes of Sections 4A-102 and 4A-103, "debt"
8 includes, but is not limited to: personal loans from friends or
9 business associates, business loans made outside the lender's
10 regular course of business, and loans made at below market
11 rates. For the purposes of Sections 4A-102 and 4A-103, "debt"
12 does not include: (i) debts to or from financial institutions
13 or government entities, such as mortgages, student loans,
14 credit card debts, or loans secured by automobiles, household
15 furniture, or appliances, as long as those loans were made on
16 terms available to the general public and do not exceed the
17 purchase price of the items securing them; or (ii) debts to or
18 from a political committee registered with the Illinois State
19 Board of Elections or political committees, principal campaign
20 committees, or authorized committees registered with the
21 Federal Election Commission.

22 (5 ILCS 420/1-104.5 new)

23 Sec. 1-104.5. "Diversified funds" means investment
24 products, such as mutual funds, exchange traded funds, or unit
25 investment trusts, that invest in a wide variety of securities.

1 (5 ILCS 420/1-105) (from Ch. 127, par. 601-105)

2 Sec. 1-105. "Economic opportunity" means any purchase,
3 sale, lease, contract, option, or other transaction or
4 arrangement involving property or services wherein a
5 legislator or, in the case of Sections 4A-102 and 4A-103, the
6 filer may gain an economic benefit. The term shall not include
7 gifts.

8 (Source: Laws 1967, p. 3401.)

9 (5 ILCS 420/1-105.2 new)

10 Sec. 1-105.2. "Economic relationship" means, for the
11 purposes of Sections 4A-102 and 4A-103, any relationship that
12 provides the filer with income or an economic opportunity. For
13 the purposes of Sections 4A-102 and 4A-103, economic
14 relationships include, but are not limited to, ownership
15 interests in family-owned businesses and creditor-debtor
16 relationships with third parties other than commercial lending
17 institutions.

18 (5 ILCS 420/1-105.3 new)

19 Sec. 1-105.3. "Family" means, for the purposes of Sections
20 4A-102 and 4A-103, a filer's spouse (including a party to a
21 civil union or a registered domestic partner), children,
22 step-children, parents, step-parents, siblings, step-siblings,
23 half-siblings, brothers-in-law, sisters-in-law, sons-in-law,

1 daughters-in-law, aunts, uncles, nieces, nephews, great aunts,
2 great uncles, first cousins, grandfathers, grandmothers,
3 grandsons, and granddaughters, as well as the father, mother,
4 grandfather, and grandmother of the filer's spouse (including a
5 party to a civil union or a registered domestic partner).

6 (5 ILCS 420/1-105.4 new)

7 Sec. 1-105.4. "Filer" means, for the purposes of Section
8 4A-102 and 4A-103, a person required to file a statement of
9 economic interests pursuant to this Act.

10 (5 ILCS 420/1-105.6 new)

11 Sec. 1-105.6. "Income" means, for the purposes of Sections
12 4A-102 and 4A-103, income from whatever source derived,
13 required to be reported on the filer's federal income tax
14 return, including, but not limited to: compensation received
15 for services rendered or to be rendered (as reported on any
16 Internal Revenue Service forms, including, but not limited to,
17 W-2, 1099, or K-1); earnings or capital gains from the sale of
18 assets; profit; interest or dividend income from all assets;
19 revenue from leases and rentals, royalties, prizes, awards, or
20 barter; forgiveness of debt; and earnings derived from
21 annuities or trusts other than testamentary trusts. "Income"
22 does not include compensation earned for service in the
23 position that necessitates the filing of the statement of
24 economic interests, and income from the sale of a personal

1 residence or personal vehicle.

2 (5 ILCS 420/1-105.7 new)

3 Sec. 1-105.7. "Investment real estate" means any real
4 property, other than a filer's personal residences, purchased
5 to produce a profit, whether from income or resale.

6 (5 ILCS 420/1-110) (from Ch. 127, par. 601-110)

7 Sec. 1-110. "Lobbyist" means an individual who is required
8 to be registered to engage in lobbying activities pursuant to
9 any statute, regulation, or ordinance adopted by a unit of
10 government in the State of Illinois ~~any person required to be~~
11 ~~registered under "An Act concerning lobbying and providing a~~
12 ~~penalty for violation thereof", approved July 10, 1957, as~~
13 ~~amended.~~

14 (Source: Laws 1967, p. 3401.)

15 (5 ILCS 420/1-112.5 new)

16 Sec. 1-112.5. "Personal residence" means, for the purposes
17 of Sections 4A-102 and 4A-103, a filer's primary home residence
18 and any residential real property held by the filer and used by
19 the filer for residential rather than commercial or income
20 generating purposes.

21 (5 ILCS 420/1-113.6 new)

22 Sec. 1-113.6. "Sector funds" means mutual funds or exchange

1 traded funds invested in a particular industry or business.

2 (5 ILCS 420/1-113.7 new)

3 Sec. 1-113.7. "Spouse" means a party to a marriage, a party
4 to a civil union, or a registered domestic partner.

5 (5 ILCS 420/4A-102) (from Ch. 127, par. 604A-102)

6 Sec. 4A-102. The statement of economic interests required
7 by this Article shall include the economic interests of the
8 person making the statement as provided in this Section. The
9 following interests shall be listed by all persons required to
10 file:

11 (1) each asset that has a value of more than \$10,000 as
12 of the end of the preceding calendar year and is: (i) held
13 in the filer's name, (ii) held jointly by the filer with
14 his or her spouse, or (iii) held jointly by the filer with
15 his or her minor child or children;

16 (2) excluding the income from the position that
17 requires the filing of a statement of economic interests
18 under this Act, each source of income that generated in
19 excess of \$2,500 in income during the preceding calendar
20 year (as reported on the filer's federal income tax return
21 covering the preceding calendar year) and, if the sale or
22 transfer of an asset produced more than \$2,500 in capital
23 gains during the preceding calendar year, the transaction
24 date on which that asset was sold or transferred;

1 (3) each creditor of a debt in excess of \$5,000 that,
2 during the preceding calendar year, was: (i) owed by the
3 filer, (ii) owed jointly by the filer with his or her
4 spouse or (iii) owed jointly by the filer with his or her
5 minor child or children;

6 (4) each debtor of a debt in excess of \$5,000 that,
7 during the preceding calendar year, was: (i) owed to the
8 filer, (ii) owed jointly to the filer with his or her
9 spouse or (iii) owed jointly to the filer with his or her
10 minor child or children;

11 (5) each lobbyist who is registered with any unit of
12 government in the State of Illinois and with whom the filer
13 maintains an economic relationship and each lobbyist
14 registered with any unit of government in the State of
15 Illinois who is a member of the filer's family; and

16 (6) each source and type of gift or gifts, or
17 honorarium or honoraria, valued singly or in the aggregate
18 in excess of \$1,000 that was received during the preceding
19 calendar year.

20 For the purposes of this Section, the unit of local
21 government in relation to which a person is required to file
22 under item (o) of Section 4A-101 shall be the unit of local
23 government that contributes to the pension fund of which such
24 person is a member of the board.

25 ~~The interest (if constructively controlled by the person~~
26 ~~making the statement) of a spouse or any other party, shall be~~

1 ~~considered to be the same as the interest of the person making~~
2 ~~the statement. Campaign receipts shall not be included in this~~
3 ~~statement.~~

4 ~~(a) The following interests shall be listed by all~~
5 ~~persons required to file:~~

6 ~~(1) The name, address and type of practice of any~~
7 ~~professional organization or individual professional~~
8 ~~practice in which the person making the statement was~~
9 ~~an officer, director, associate, partner or~~
10 ~~proprietor, or served in any advisory capacity, from~~
11 ~~which income in excess of \$1200 was derived during the~~
12 ~~preceding calendar year;~~

13 ~~(2) The nature of professional services (other~~
14 ~~than services rendered to the unit or units of~~
15 ~~government in relation to which the person is required~~
16 ~~to file) and the nature of the entity to which they~~
17 ~~were rendered if fees exceeding \$5,000 were received~~
18 ~~during the preceding calendar year from the entity for~~
19 ~~professional services rendered by the person making~~
20 ~~the statement.~~

21 ~~(3) The identity (including the address or legal~~
22 ~~description of real estate) of any capital asset from~~
23 ~~which a capital gain of \$5,000 or more was realized in~~
24 ~~the preceding calendar year.~~

25 ~~(4) The name of any unit of government which has~~
26 ~~employed the person making the statement during the~~

1 ~~preceding calendar year other than the unit or units of~~
2 ~~government in relation to which the person is required~~
3 ~~to file.~~

4 ~~(5) The name of any entity from which a gift or~~
5 ~~gifts, or honorarium or honoraria, valued singly or in~~
6 ~~the aggregate in excess of \$500, was received during~~
7 ~~the preceding calendar year.~~

8 ~~(b) The following interests shall also be listed by~~
9 ~~persons listed in items (a) through (f), item (l), item~~
10 ~~(n), and item (p) of Section 4A-101:~~

11 ~~(1) The name and instrument of ownership in any~~
12 ~~entity doing business in the State of Illinois, in~~
13 ~~which an ownership interest held by the person at the~~
14 ~~date of filing is in excess of \$5,000 fair market value~~
15 ~~or from which dividends of in excess of \$1,200 were~~
16 ~~derived during the preceding calendar year. (In the~~
17 ~~case of real estate, location thereof shall be listed~~
18 ~~by street address, or if none, then by legal~~
19 ~~description). No time or demand deposit in a financial~~
20 ~~institution, nor any debt instrument need be listed;~~

21 ~~(2) Except for professional service entities, the~~
22 ~~name of any entity and any position held therein from~~
23 ~~which income of in excess of \$1,200 was derived during~~
24 ~~the preceding calendar year, if the entity does~~
25 ~~business in the State of Illinois. No time or demand~~
26 ~~deposit in a financial institution, nor any debt~~

1 ~~instrument need be listed.~~

2 ~~(3) The identity of any compensated lobbyist with~~
3 ~~whom the person making the statement maintains a close~~
4 ~~economic association, including the name of the~~
5 ~~lobbyist and specifying the legislative matter or~~
6 ~~matters which are the object of the lobbying activity,~~
7 ~~and describing the general type of economic activity of~~
8 ~~the client or principal on whose behalf that person is~~
9 ~~lobbying.~~

10 ~~(c) The following interests shall also be listed by~~
11 ~~persons listed in items (g), (h), (i), and (o) of Section~~
12 ~~4A-101:~~

13 ~~(1) The name and instrument of ownership in any~~
14 ~~entity doing business with a unit of local government~~
15 ~~in relation to which the person is required to file if~~
16 ~~the ownership interest of the person filing is greater~~
17 ~~than \$5,000 fair market value as of the date of filing~~
18 ~~or if dividends in excess of \$1,200 were received from~~
19 ~~the entity during the preceding calendar year. (In the~~
20 ~~case of real estate, location thereof shall be listed~~
21 ~~by street address, or if none, then by legal~~
22 ~~description). No time or demand deposit in a financial~~
23 ~~institution, nor any debt instrument need be listed.~~

24 ~~(2) Except for professional service entities, the~~
25 ~~name of any entity and any position held therein from~~
26 ~~which income in excess of \$1,200 was derived during the~~

1 ~~preceding calendar year if the entity does business~~
2 ~~with a unit of local government in relation to which~~
3 ~~the person is required to file. No time or demand~~
4 ~~deposit in a financial institution, nor any debt~~
5 ~~instrument need be listed.~~

6 ~~(3) The name of any entity and the nature of the~~
7 ~~governmental action requested by any entity which has~~
8 ~~applied to a unit of local government in relation to~~
9 ~~which the person must file for any license, franchise~~
10 ~~or permit for annexation, zoning or rezoning of real~~
11 ~~estate during the preceding calendar year if the~~
12 ~~ownership interest of the person filing is in excess of~~
13 ~~\$5,000 fair market value at the time of filing or if~~
14 ~~income or dividends in excess of \$1,200 were received~~
15 ~~by the person filing from the entity during the~~
16 ~~preceding calendar year.~~

17 ~~For the purposes of this Section, the unit of local~~
18 ~~government in relation to which a person required to file under~~
19 ~~item (c) of Section 4A-101 shall be the unit of local~~
20 ~~government that contributes to the pension fund of which such~~
21 ~~person is a member of the board.~~

22 (Source: P.A. 96-6, eff. 4-3-09; 97-754, eff. 7-6-12.)

23 (5 ILCS 420/4A-103) (from Ch. 127, par. 604A-103)

24 Sec. 4A-103. The statement of economic interests required
25 by this Article to be filed with the Secretary of State or

1 county clerk shall be ~~filled in by typewriting or hand~~
2 ~~printing,~~ shall be verified, dated, and signed by the person
3 making the statement and shall contain substantially the
4 following:

5 STATEMENT OF ECONOMIC INTERESTS

6 INSTRUCTIONS:

7 You may find the following documents helpful to you in
8 completing this form:

- 9 (1) federal income tax returns, including any related
10 schedules, attachments, and forms; and
11 (2) investment and brokerage statements.

12 To complete this form, you do not need to disclose specific
13 amounts or values or report interests relating either to
14 political committees registered with the Illinois State Board
15 of Elections or to political committees, principal campaign
16 committees, or authorized committees registered with the
17 Federal Election Commission.

18 The information you disclose will be available to the
19 public.

20 You must answer all 6 questions. Certain questions will ask
21 you to report any applicable assets or debts held in your name;
22 held jointly with your spouse; or held jointly by you with your
23 minor child. If you have any concerns about whether an interest
24 should be reported, please consult your department's ethics

1 officer, if applicable.

2 Please ensure that the information you provide is complete
3 and accurate. If you need more space than the form allows,
4 please attach additional pages for your response. If you are
5 subject to the State Officials and Employees Ethics Act, your
6 ethics officer must review your statement of economic interests
7 before you file it. Failure to complete the statement in good
8 faith and within the prescribed deadline may subject you to
9 fines, imprisonment, or both.

10 DEFINITIONS, EXAMPLES AND FURTHER INSTRUCTIONS:

11 As used in this form:

12 "Asset" means an item that is owned and has monetary value.
13 Assets include, but are not limited to: stocks, bonds (except
14 those issued by the federal, state or local governments),
15 sector mutual funds, sector exchange traded funds, commodity
16 futures, investment real estate and partnership interests.
17 "Asset" does not include: personal residences; personal
18 vehicles; savings or checking accounts; bonds, notes or
19 securities issued by any branch of federal, state or local
20 government; Medicare benefits; inheritances or bequests;
21 diversified mutual funds; diversified exchange traded funds;
22 annuities; pensions (including government pensions);
23 retirement accounts; college savings plans that are qualified
24 tuition plans; non-income producing trust holdings; or
25 tangible personal property.

1 "Creditor" means an individual, organization, or other
2 business entity to whom money or its equivalent is owned, no
3 matter whether that obligation is secured or unsecured, except
4 that if a filer makes a loan to members of his or her family, a
5 political committee registered with the Illinois State Board of
6 Elections, or a political committee, principal campaign
7 committee, or authorized committee registered with the Federal
8 Election Commission, then that filer does not, for the purposes
9 of this from, become a creditor of that individual or entity by
10 making such a loan.

11 "Debt" means any money or monetary obligation owed at any
12 time during the preceding calendar year to an individual,
13 company, or other organization, other than a loan that is from
14 a financial institution, government agency, or business entity
15 and that is granted on terms made available to the general
16 public. "Debt" includes, but is not limited to: personal loans
17 from friends or business associates, business loans made
18 outside the lender's regular course of business, and loans made
19 at below market rates. "Debt" does not include: (i) debts to or
20 from financial institutions or government entities, such as
21 mortgages, student loans, credit card debts, or loans secured
22 by automobiles, household furniture, or appliances, as long as
23 those loans were made on terms available to the general public
24 and do not exceed the purchase price of the items securing
25 them; or (ii) debts to or from political committees registered
26 with the Illinois State Board of Elections or political

1 committees, principal campaign committees, or authorized
2 committees registered with the Federal Election Commission.

3 "Diversified funds" are investment products, such as
4 mutual funds, exchange traded funds, or unit investment trusts,
5 that invest in a wide variety of securities.

6 "Economic opportunity" means any purchase, sale, lease,
7 contract, option or other transaction or arrangement involving
8 property or services wherein the filer may gain an economic
9 benefit. The term shall not include gifts.

10 "Economic relationship" means any relationship that
11 provides the filer with income or an economic opportunity.
12 Economic relationships include, but are not limited to,
13 ownership interests in family-owned businesses and
14 creditor-debtor relationships with third parties other than
15 commercial lending institutions.

16 "Family" means a filer's spouse, children, step-children,
17 parents, step-parents, siblings, step-siblings half-siblings,
18 brothers-in-law, sisters-in-law, sons-in-law,
19 daughters-in-law, aunts, uncles, nieces, nephews, great aunts,
20 great uncles, first cousins, grandfathers, grandmothers,
21 grandsons, and granddaughters, as well as the father, mother,
22 grandfather, and grandmother of the filer's spouse.

23 "Income" means, for the purposes of Sections 4A-102 and
24 4A-103, income from whatever source derived, required to be
25 reported on the filer's federal income tax return, including,
26 but not limited to: compensation received for services rendered

1 or to be rendered (as reported on any Internal Revenue Service
2 forms, including, but not limited to, W-2, 1099, or K-1);
3 earnings or capital gains from the sale of assets; profit;
4 interest or dividend income from all assets; revenue from
5 leases and rentals, royalties, prizes, awards or barter;
6 forgiveness of debt; and earnings annuities or trusts other
7 than testamentary trusts. "Income" does not include
8 compensation earned from service in the position that
9 necessitates the filing of the statement of economic interests
10 or income from the sale of a personal residence or personal
11 vehicle.

12 "Investment real estate" means any real property, other
13 than a filer's personal residences, purchased to produce a
14 profit, whether from income or resale.

15 "Lobbyist" means an individual who is required to be
16 registered to engage in lobbying activities pursuant to any
17 statute, regulation, or ordinance adopted by a unit of
18 government in the State of Illinois.

19 "Personal residence" means a filer's primary home
20 residence and any residential real property held by the filer
21 and used by the filer for residential rather than commercial or
22 income generating purposes.

23 "Sector funds" means mutual funds or exchange traded funds
24 invested in a particular industry or business.

25 "Spouse" means a party to a marriage, a party to a civil
26 union, or a registered domestic partner.

1 BASIC INFORMATION:

2 Name:.....

3 Job title:

4 Office, department, or agency that requires you to file this
5 form:.....

6 Other offices, departments, or agencies that require you to
7 file a Statement of Economic Interests form:.....

8 Full mailing address:

9 Preferred e-mail address (optional)

10 QUESTIONS:

11 1. If you have any single asset that was worth more than
12 \$10,000 as of the end of the preceding calendar year and is
13 held in your name, held jointly by you with your spouse, or
14 held jointly by you with your minor child, list such assets
15 below. If you do not have any such assets, list "none" below.

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21 2. Excluding the position for which you are required to
22 file this form, list the source of any income in excess of
23 \$2,500 in income as reported on your federal income tax return
24 covering the preceding calendar year. If you sold an asset that

1 produced more than \$2,500 in capital gains in the preceding
 2 calendar year, list the name of the asset and the transaction
 3 date on which the sale or transfer took place. If you had no
 4 other sources of income exceeding \$2,500, list "none" below.

6	<u>Source of Income / Name of</u>	<u>Date Sold (if applicable)</u>
7	<u>Asset</u>	
8
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11 3. Excluding debts incurred on terms available to the
 12 general public, such as mortgages, student loans, and credit
 13 card debts, if you owed any single debt in the preceding
 14 calendar year exceeding \$5,000, list the creditor of the debt
 15 below. If you had no such debts, list "none" below.

16 List the creditor for all applicable debts owed by you,
 17 owed jointly by you with your spouse, or owed jointly by you
 18 with your minor child. In addition to the types of debts listed
 19 above, you do not need to report any debts to or from financial
 20 institutions or government agencies, such as debts secured by
 21 automobiles, household furniture or appliances, as long as the
 22 debt was made on terms available to the general public and does
 23 not exceed the purchase price of the item securing the loan,
 24 debts to members of your family, or debts to or from a
 25 political committee registered with the Illinois State Board of
 26 Elections or any political committee, principal campaign

1 committee, or authorized committee registered with the Federal
2 Election Commission.

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7 4. Excluding debts owed to you by members of your family or
8 by a political committee, if there is any entity or person who
9 owed any debt to you in the preceding calendar year exceeding
10 \$5,000, list the debtor below. If no such debts were owed to
11 you, list "none" below.

12 List the debtor for all applicable debts owed to you, owed
13 jointly to you with your spouse, or owed jointly to you with
14 your minor child. You do not need to report loans made to
15 members of your family or to a political committee registered
16 with the Illinois State Board of Elections or any political
17 committee, principal campaign committee, or authorized
18 committee registered with the Federal Election Commission.

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22 5. If you maintain an economic relationship with a lobbyist
23 or if a member of your family is a lobbyist registered with any
24 unit of government in the State of Illinois, list the name of
25 the lobbyist below and identify the nature of your relationship
26 with the lobbyist. If you do not have an economic association

1 with a lobbyist, list "none" below.

2

3 Name of Lobbyist Relationship to Filer

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7 6. List the name of any person, organization, or entity
8 that was the source of a gift or gifts, or honorarium or
9 honoraria, valued singly or in the aggregate in excess of
10 \$1,000 received during the preceding calendar year.

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14 VERIFICATION:

15 "I declare that this statement of economic interests
16 (including any attachments) has been examined by me and to the
17 best of my knowledge and belief is a true, correct and complete
18 statement of my economic interests as required by the Illinois
19 Governmental Ethics Act. I understand that the penalty for
20 willfully filing a false or incomplete statement is a fine not
21 to exceed \$2,500 or imprisonment in a penal institution other
22 than the penitentiary not to exceed one year, or both fine and
23 imprisonment."

24 Printed Name of Filer

25 Date

1 Signature.....

2 If this statement of economic interests requires ethics officer
3 review prior to filing, the applicable ethics officer must
4 complete the following:

5 CERTIFICATION OF ETHICS OFFICER REVIEW:

6 "In accordance with law, as Ethics Officer, I reviewed this
7 statement of economic interests prior to its filing."

8 Printed Name of Ethics Officer

9 Date

10 Signature.....

11 ~~STATEMENT OF ECONOMIC INTEREST~~

12 ~~(TYPE OR HAND PRINT)~~

13 ~~.....~~

14 ~~(name)~~

15 ~~.....~~

16 ~~(each office or position of employment for which this statement~~
17 ~~is filed)~~

18 ~~.....~~

19 ~~(full mailing address)~~

20 ~~GENERAL DIRECTIONS:~~

21 ~~The interest (if constructively controlled by the person~~
22 ~~making the statement) of a spouse or any other party, shall be~~
23 ~~considered to be the same as the interest of the person making~~

1 ~~the statement.~~

2 ~~Campaign receipts shall not be included in this statement.~~

3 ~~If additional space is needed, please attach supplemental~~
4 ~~listing.~~

5 ~~1. List the name and instrument of ownership in any entity~~
6 ~~doing business in the State of Illinois, in which the ownership~~
7 ~~interest held by the person at the date of filing is in excess~~
8 ~~of \$5,000 fair market value or from which dividends in excess~~
9 ~~of \$1,200 were derived during the preceding calendar year. (In~~
10 ~~the case of real estate, location thereof shall be listed by~~
11 ~~street address, or if none, then by legal description.) No time~~
12 ~~or demand deposit in a financial institution, nor any debt~~
13 ~~instrument need be listed.~~

Business Entity	Instrument of Ownership
.....
.....
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.....

19 ~~2. List the name, address and type of practice of any~~
20 ~~professional organization in which the person making the~~
21 ~~statement was an officer, director, associate, partner or~~
22 ~~proprietor or served in any advisory capacity, from which~~
23 ~~income in excess of \$1,200 was derived during the preceding~~
24 ~~calendar year.~~

Name	Address	Type of Practice
.....

1
 2

3 ~~3. List the nature of professional services rendered (other~~
 4 ~~than to the State of Illinois) to each entity from which income~~
 5 ~~exceeding \$5,000 was received for professional services~~
 6 ~~rendered during the preceding calendar year by the person~~
 7 ~~making the statement.~~

8
 9

10 ~~4. List the identity (including the address or legal~~
 11 ~~description of real estate) of any capital asset from which a~~
 12 ~~capital gain of \$5,000 or more was realized during the~~
 13 ~~preceding calendar year.~~

14
 15

16 ~~5. List the identity of any compensated lobbyist with whom~~
 17 ~~the person making the statement maintains a close economic~~
 18 ~~association, including the name of the lobbyist and specifying~~
 19 ~~the legislative matter or matters which are the object of the~~
 20 ~~lobbying activity, and describing the general type of economic~~
 21 ~~activity of the client or principal on whose behalf that person~~
 22 ~~is lobbying.~~

23	Lobbyist	Legislative Matter	Client or Principal
24
25

26 ~~6. List the name of any entity doing business in the State~~

1 ~~of Illinois from which income in excess of \$1,200 was derived~~
 2 ~~during the preceding calendar year other than for professional~~
 3 ~~services and the title or description of any position held in~~
 4 ~~that entity. (In the case of real estate, location thereof~~
 5 ~~shall be listed by street address, or if none, then by legal~~
 6 ~~description). No time or demand deposit in a financial~~
 7 ~~institution nor any debt instrument need be listed.~~

Entity	Position Held
.....
.....
.....

12 ~~7. List the name of any unit of government which employed~~
 13 ~~the person making the statement during the preceding calendar~~
 14 ~~year other than the unit or units of government in relation to~~
 15 ~~which the person is required to file.~~

16

17

18 ~~8. List the name of any entity from which a gift or gifts,~~
 19 ~~or honorarium or honoraria, valued singly or in the aggregate~~
 20 ~~in excess of \$500, was received during the preceding calendar~~
 21 ~~year.~~

22

23 ~~VERIFICATION:~~

24 ~~"I declare that this statement of economic interests~~
 25 ~~(including any accompanying schedules and statements) has been~~
 26 ~~examined by me and to the best of my knowledge and belief is a~~

1 ~~true, correct and complete statement of my economic interests~~
 2 ~~as required by the Illinois Governmental Ethics Act. I~~
 3 ~~understand that the penalty for willfully filing a false or~~
 4 ~~incomplete statement shall be a fine not to exceed \$1,000 or~~
 5 ~~imprisonment in a penal institution other than the penitentiary~~
 6 ~~not to exceed one year, or both fine and imprisonment."~~

7
 8 ~~(date of filing) (signature of person making the statement)~~
 9 (Source: P.A. 95-173, eff. 1-1-08.)

10 (5 ILCS 420/4A-108)

11 Sec. 4A-108. Internet-based systems of filing; required
 12 training for ethics officers.

13 (a) Notwithstanding any other provision of this Act or any
 14 other law, the Secretary of State and county clerks are a
 15 ~~county clerk is~~ authorized to institute an Internet-based
 16 system for the filing of statements of economic interests in
 17 their offices his or her office. With respect to county clerk
 18 systems, the ~~The~~ determination to institute such a system shall
 19 be in the sole discretion of the county clerk and shall meet
 20 the requirements set out in this Section. With respect to a
 21 Secretary of State system, the determination to institute such
 22 a system shall be in the sole discretion of the Secretary of
 23 State and shall meet the requirements set out in this Section
 24 and those Sections of the State Officials and Employees Act
 25 requiring ethics officer review prior to filing. The system

1 shall include a means to amend a statement of economic
2 interest. When this Section does not modify or remove the
3 requirements set forth elsewhere in this Article, those
4 requirements shall apply to any system of Internet-based filing
5 authorized by this Section. When this Section does modify or
6 remove the requirements set forth elsewhere in this Article,
7 the provisions of this Section shall apply to any system of
8 Internet-based filing authorized by this Section.

9 (b) In any system of Internet-based filing of statements of
10 economic interests instituted by the Secretary of State or a
11 county clerk:

12 (1) Any filing of an Internet-based statement of
13 economic interests shall be the equivalent of the filing of
14 a verified, written statement of economic interests as
15 required by Section 4A-101 and the equivalent of the filing
16 of a verified, dated, and signed statement of economic
17 interests as required by Section 4A-103 ~~4A-104~~.

18 (2) The Secretary of State and county clerks who
19 institute ~~A county clerk who institutes~~ a system of
20 Internet-based filing of statements of economic interests
21 shall establish a password-protected website ~~web site~~ to
22 receive the filings of such statements. A website
23 established under this Section shall set forth and provide
24 a means of responding to the form's questions ~~items~~ set
25 forth in Section 4A-103 ~~4A-102~~ that are required of a
26 person who files a statement of economic interests with

1 that officer. A website established under this Section
2 shall set forth and provide a means for generating a
3 printable receipt page, acknowledging filing.

4 (3) The times for the filing of statements of economic
5 interests set forth in Section 4A-105 shall be followed in
6 any system of Internet-based filing of statements of
7 economic interests; provided that a candidate for elective
8 office who is required to file a statement of economic
9 interests in relation to his or her candidacy pursuant to
10 Section 4A-105(a) ~~shall not use the Internet to file his or~~
11 ~~her statement of economic interests but shall file his or~~
12 ~~her statement of economic interests in a written or printed~~
13 ~~form and~~ shall receive a written or printed receipt for his
14 or her filing.

15 (4) In the first year of the implementation of a system
16 of Internet-based filing of statements of economic
17 interests, each person required to file such a statement is
18 to be notified in writing of his or her obligation to file
19 his or her statement of economic interests ~~and the option~~
20 ~~to file~~ by way of the Internet-based system ~~or by way of~~
21 ~~standardized form~~. If access to the web site requires a
22 code or password, this information shall be included in the
23 notice prescribed by this paragraph.

24 (5) When a person required to file a statement of
25 economic interests has supplied the Secretary of State or a
26 county clerk, as applicable, with an email address for the

1 purpose of receiving notices under this Article by email, a
2 notice sent by email to the supplied email address shall be
3 the equivalent of a notice sent by first class mail, as set
4 forth in Section 4A-106. A person who has supplied such an
5 email address shall notify the Secretary of State or county
6 clerk, as applicable, when his or her email address changes
7 or if he or she no longer wishes to receive notices by
8 email.

9 (6) If any person who is required to file a statement
10 of economic interests and who has chosen to receive notices
11 by email fails to file his or her statement by May 10, then
12 the Secretary of State or county clerk, as applicable,
13 shall send an additional email notice on that date,
14 informing the person that he or she has not filed and
15 describing the penalties for late filing and failing to
16 file. This notice shall be in addition to other notices
17 provided for in this Article.

18 (7) Each county clerk who institutes a system of
19 Internet-based filing of statements of economic interests
20 may also institute an Internet-based process for the filing
21 of the list of names and addresses of persons required to
22 file statements of economic interests by the chief
23 administrative officers of units of local government that
24 must file such information with that county clerk pursuant
25 to Section 4A-106. Whenever a county clerk institutes such
26 a system under this paragraph, every unit of local

1 government must use the system to file this information.

2 (8) The Secretary of State and any ~~Any~~ county clerk who
3 institutes a system of Internet-based filing of statements
4 of economic interests shall post the contents of such
5 statements filed with him or her available for inspection
6 and copying on a publicly accessible website. Such postings
7 shall not include the addresses of the filers.

8 (c) Each person serving as an ethics officer under the
9 State Officials and Employees Ethics Act shall, within 6 months
10 after the effective date of this amendatory Act of the 98th
11 General Assembly, successfully complete an electronic training
12 curriculum to be developed by the Secretary of State and
13 thereafter successfully complete an annual training program.
14 Thereafter, whenever a new ethics officer is designated under
15 the State Officials and Employees Ethics Act, that person shall
16 successfully complete the electronic training curriculum
17 within 30 days after assuming the position. Successful
18 completion of the required training curriculum within the
19 periods provided shall be a prerequisite to continue serving as
20 an ethics officer.

21 (Source: P.A. 96-1336, eff. 1-1-11; 97-212, eff. 7-28-11.)

22 (5 ILCS 420/4A-104 rep.)

23 Section 10. The Illinois Governmental Ethics Act is amended
24 by repealing Sections 4A-104.

1 Section 15. The Lobbyist Registration Act is amended by
2 changing Section 6 as follows:

3 (25 ILCS 170/6) (from Ch. 63, par. 176)

4 Sec. 6. Reports.

5 (a) Lobbyist reports. Except as otherwise provided in this
6 Section, every lobbyist registered under this Act who is solely
7 employed by a lobbying entity shall file an affirmation,
8 verified under oath pursuant to Section 1-109 of the Code of
9 Civil Procedure, with the Secretary of State attesting to the
10 accuracy of any reports filed pursuant to subsection (b) as
11 those reports pertain to work performed by the lobbyist. Any
12 lobbyist registered under this Act who is not solely employed
13 by a lobbying entity shall personally file reports required of
14 lobbying entities pursuant to subsection (b). A lobbyist may,
15 if authorized so to do by a lobbying entity by whom he or she is
16 employed or retained, file lobbying entity reports pursuant to
17 subsection (b) provided that the lobbying entity may delegate
18 the filing of the lobbying entity report to only one lobbyist
19 in any reporting period.

20 (b) Lobbying entity reports. Every lobbying entity
21 registered under this Act shall report expenditures related to
22 lobbying. The report shall itemize each individual expenditure
23 or transaction and shall include the name of the official on
24 whose behalf the expenditure was made, the name of the client
25 if the expenditure was made on behalf of a client, the total

1 amount of the expenditure, a description of the expenditure,
2 the vendor or purveyor to whom the expenditure was made
3 (including the address or location of the expenditure), the
4 date on which the expenditure occurred and the subject matter
5 of the lobbying activity, if any. Each expenditure required to
6 be reported shall include all expenses made for or on behalf of
7 an official or his or her immediate family member living with
8 the official.

9 (b-1) The report shall include any change or addition to
10 the client list information, required in Section 5 for
11 registration, since the last report, including the names and
12 addresses of all clients who retained the lobbying entity
13 together with an itemized description for each client of the
14 following: (1) lobbying regarding executive action, including
15 the name of any executive agency lobbied and the subject
16 matter; (2) lobbying regarding legislative action, including
17 the General Assembly and any other agencies lobbied and the
18 subject matter; and (3) lobbying regarding administrative
19 action, including the agency lobbied and the subject matter.
20 Registrants who made no reportable expenditures during a
21 reporting period shall file a report stating that no
22 expenditures were incurred.

23 (b-2) Expenditures attributable to lobbying officials
24 shall be listed and reported according to the following
25 categories:

26 (1) travel and lodging on behalf of others, including,

1 but not limited to, all travel and living accommodations
2 made for or on behalf of State officials during sessions of
3 the General Assembly.

4 (2) meals, beverages and other entertainment.

5 (3) gifts (indicating which, if any, are on the basis
6 of personal friendship).

7 (4) honoraria.

8 (5) any other thing or service of value not listed
9 under categories (1) through (4), setting forth a
10 description of the expenditure. The category travel and
11 lodging includes, but is not limited to, all travel and
12 living accommodations made for or on behalf of State
13 officials in the State capital during sessions of the
14 General Assembly.

15 (b-3) If expenditures are ~~Expenditures~~ incurred for
16 hosting receptions, benefits, and other large gatherings held
17 for purposes of goodwill or otherwise to influence executive,
18 legislative, or administrative action and if the total number
19 of State officials invited to such an event is equal to or
20 greater than the number of State legislators in the smaller
21 minority caucus of either the House of Representatives or the
22 Senate, as defined in the rules of those chambers, then ~~to~~
23 ~~which there are 25 or more State officials invited shall be~~
24 ~~reported listing~~ only the total amount of the expenditure, the
25 date of the event, and the estimated number of officials in
26 attendance shall be reported.

1 (b-5) The report must include a description of any business
2 or familial relationship, or both, that a registrant has with
3 an official who he or she is registered to lobby under this
4 Act. For the purposes of this subsection, "familial
5 relationship" includes those people related to the registrant
6 as spouse, as defined in the Illinois Governmental Ethics Act,
7 child, step-child, parent, step-parent, sibling, step-sibling,
8 half-sibling, parent-in-law, brother-in-law, sister-in-law,
9 son-in-law, daughter-in-law, aunt, uncle, niece, nephew, great
10 aunt, great uncle, first cousin, grandfather, grandmother,
11 grandson and granddaughter, as well as the father, mother,
12 grandfather and grandmother of the filer's spouse.

13 (b-7) Matters excluded from reports. The following items
14 need not be included in the report:

15 (1) Reasonable and bona fide expenditures made by the
16 registrant who is a member of a legislative or State study
17 commission or committee while attending and participating
18 in meetings and hearings of such commission or committee.

19 (2) Reasonable and bona fide expenditures made by the
20 registrant for personal sustenance, lodging, travel,
21 office expenses and clerical or support staff.

22 (3) Salaries, fees, and other compensation paid to the
23 registrant for the purposes of lobbying.

24 (4) Any contributions required to be reported under
25 Article 9 of the Election Code.

26 (5) Expenditures made by a registrant on behalf of an

1 official that are returned or reimbursed prior to the
2 deadline for submission of the report.

3 (c) A registrant who terminates employment or duties which
4 required him or her to register under this Act shall give the
5 Secretary of State, within 30 days after the date of such
6 termination, written notice of such termination and shall
7 include therewith a report of the expenditures described
8 herein, covering the period of time since the filing of his or
9 her last report to the date of termination of employment. Such
10 notice and report shall be final and relieve such registrant of
11 further reporting under this Act, unless and until he or she
12 later takes employment or assumes duties requiring him or her
13 to again register under this Act.

14 (d) Failure to file any such report within the time
15 designated or the reporting of incomplete information shall
16 constitute a violation of this Act.

17 A registrant shall preserve for a period of 2 years all
18 receipts and records used in preparing reports under this Act.

19 (e) Within 30 days after a filing deadline or as provided
20 by rule, the lobbyist shall notify each official on whose
21 behalf an expenditure has been reported. Notification shall
22 include the name of the registrant, the total amount of the
23 expenditure, a description of the expenditure, the date on
24 which the expenditure occurred, and the subject matter of the
25 lobbying activity.

26 (f) A report for the period beginning January 1, 2010 and

1 ending on June 30, 2010 shall be filed no later than July 15,
2 2010, and a report for the period beginning July 1, 2010 and
3 ending on December 31, 2010 shall be filed no later than
4 January 15, 2011. Beginning January 1, 2011, reports shall be
5 filed semi-monthly as follows: (i) for the period beginning the
6 first day of the month through the 15th day of the month, the
7 report shall be filed no later than the 20th day of the month
8 and (ii) for the period beginning on the 16th day of the month
9 through the last day of the month, the report shall be filed no
10 later than the 5th day of the following month. A report filed
11 under this Act is due in the Office of the Secretary of State
12 no later than the close of business on the date on which it is
13 required to be filed.

14 (g) All reports filed under this Act shall be filed in a
15 format or on forms prescribed by the Secretary of State.

16 (Source: P.A. 96-555, eff. 1-1-10; 96-1358, eff. 7-28-10.)

17 Section 99. Effective date. This Act takes effect January
18 1, 2015."