

97TH GENERAL ASSEMBLY State of Illinois 2011 and 2012 HB6201

by Rep. Dwight Kay

SYNOPSIS AS INTRODUCED:

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Amends the Abuse of Adults with Disabilities Intervention Act. Provides that upon the death of an adult with disabilities, where a complaint or report of alleged abuse, neglect, or exploitation was made prior to the person's death pursuant to the Act, regardless of whether the complaint or report was substantiated or unsubstantiated, and regardless of whether consent was given for an assessment, the Office of Inspector General designated by the Department of Human Services shall immediately report the matter to the appropriate law enforcement agency and coroner or medical examiner. Provides that such reports shall be in writing and, at a minimum, shall contain information regarding (1) the prior complaint or report of alleged abuse, neglect, or exploitation of the deceased adult with disabilities, the deceased adult with disabilities, and the reporter, upon the reporter's consent; (2) actions taken or not taken by the Office of Inspector General or the Department upon receiving the complaint or report of alleged abuse, neglect, or exploitation; (3) the personnel from the Department and the Office of Inspector General who were involved in investigating the compliant or report of alleged abuse, neglect, or exploitation; and (4) as available and applicable, the case numbers for the Department, the Office of Inspector General, law enforcement, and the coroner or medical examiner. Requires the Office of Inspector General to: maintain a copy of the written report; document any subsequent actions; forward the written report, the coroner's report, and the law enforcement agency's final investigative report to the Attorney General; and notify the proper law enforcement agency to investigate if a crime has been committed. Contains provisions concerning service plans. Effective immediately.

LRB097 22043 KTG 70804 b

FISCAL NOTE ACT MAY APPLY 1 AN ACT concerning State government.

Be it enacted by the People of the State of Illinois, represented in the General Assembly:

- 4 Section 5. The Abuse of Adults with Disabilities
- 5 Intervention Act is amended by changing Sections 15, 25, 35,
- 6 40, and 45 as follows:
- 7 (20 ILCS 2435/15) (from Ch. 23, par. 3395-15)
- 8 Sec. 15. Definitions. As used in this Act:
- 9 "Abuse" means causing any physical, sexual, or mental abuse
- 10 to an adult with disabilities, including exploitation of the
- 11 adult's financial resources. Nothing in this Act shall be
- 12 construed to mean that an adult with disabilities is a victim
- of abuse or neglect for the sole reason that he or she is being
- 14 furnished with or relies upon treatment by spiritual means
- 15 through prayer alone, in accordance with the tenets and
- 16 practices of a recognized church or religious denomination.
- 17 Nothing in this Act shall be construed to mean that an adult
- 18 with disabilities is a victim of abuse because of health care
- 19 services provided or not provided by licensed health care
- 20 professionals.
- "Adult with disabilities" means a person aged 18 through 59
- 22 who resides in a domestic living situation and whose physical
- or mental disability impairs his or her ability to seek or

1 obtain protection from abuse, neglect, or exploitation.

2 "Department" means the Department of Human Services.

"Adults with Disabilities Abuse Project" or "project" means that program within the Office of Inspector General designated by the Department of Human Services to receive and assess reports of alleged or suspected abuse, neglect, or exploitation of adults with disabilities.

"Domestic living situation" means a residence where the adult with disabilities lives alone or with his or her family or household members, a care giver, or others or at a board and care home or other community-based unlicensed facility, but is not:

- (1) A licensed facility as defined in Section 1-113 of the Nursing Home Care Act or Section 1-113 of the ID/DD Community Care Act or Section 1-113 of the Specialized Mental Health Rehabilitation Act.
- (2) A life care facility as defined in the Life Care Facilities Act.
- (3) A home, institution, or other place operated by the federal government, a federal agency, or the State.
- (4) A hospital, sanitarium, or other institution, the principal activity or business of which is the diagnosis, care, and treatment of human illness through the maintenance and operation of organized facilities and that is required to be licensed under the Hospital Licensing Act.

- 1 (5) A community living facility as defined in the 2 Community Living Facilities Licensing Act.
 - (6) A community-integrated living arrangement as defined in the Community-Integrated Living Arrangements Licensure and Certification Act or community residential alternative as licensed under that Act.

7 "Emergency" means a situation in which an adult with 8 disabilities is in danger of death or great bodily harm.

"Family or household members" means a person who as a family member, volunteer, or paid care provider has assumed responsibility for all or a portion of the care of an adult with disabilities who needs assistance with activities of daily living.

"Financial exploitation" means the illegal, including tortious, use of the assets or resources of an adult with disabilities. Exploitation includes, but is not limited to, the misappropriation of assets or resources of an adult with disabilities by undue influence, by breach of a fiduciary relationship, by fraud, deception, or extortion, or by the use of the assets or resources in a manner contrary to law.

"Mental abuse" means the infliction of emotional or mental distress by a caregiver, a family member, or any person with ongoing access to a person with disabilities by threat of harm, humiliation, or other verbal or nonverbal conduct.

"Neglect" means the failure of another individual to provide an adult with disabilities with or the willful

- 1 withholding from an adult with disabilities the necessities of
- life, including, but not limited to, food, clothing, shelter,
- 3 or medical care.
- 4 Nothing in the definition of "neglect" shall be construed to
- 5 impose a requirement that assistance be provided to an adult
- 6 with disabilities over his or her objection in the absence of a
- 7 court order, nor to create any new affirmative duty to provide
- 8 support, assistance, or intervention to an adult with
- 9 disabilities. Nothing in this Act shall be construed to mean
- that an adult with disabilities is a victim of neglect because
- of health care services provided or not provided by licensed
- 12 health care professionals.

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- "Physical abuse" means any of the following acts:
- 14 (1) knowing or reckless use of physical force,
 15 confinement, or restraint;
- 16 (2) knowing, repeated, and unnecessary sleep
 17 deprivation;
 - (3) knowing or reckless conduct which creates an immediate risk of physical harm; or
 - (4) when committed by a caregiver, a family member, or any person with ongoing access to a person with disabilities, directing another person to physically abuse a person with disabilities.
- "Secretary" means the Secretary of Human Services.
- 25 <u>"Service plan" means a temporary plan of the actions</u>
 26 developed to address the service or support needs of the adult

with a disability.

- 2 "Sexual abuse" means touching, fondling, sexual threats,
- 3 sexually inappropriate remarks, or any other sexual activity
- 4 with an adult with disabilities when the adult with
- 5 disabilities is unable to understand, unwilling to consent,
- 6 threatened, or physically forced to engage in sexual behavior.
- 7 Sexual abuse includes acts of sexual exploitation including,
- 8 but not limited to, facilitating or compelling an adult with
- 9 disabilities to become a prostitute, or receiving anything of
- 10 value from an adult with disabilities knowing it was obtained
- in whole or in part from the practice of prostitution.
- "Substantiated case" means a reported case of alleged or
- 13 suspected abuse, neglect, or exploitation in which the Adults
- 14 with Disabilities Abuse Project staff, after assessment,
- determines that there is reason to believe abuse, neglect, or
- 16 exploitation has occurred.
- 17 (Source: P.A. 96-339, eff. 7-1-10; 97-38, eff. 6-28-11; 97-227,
- 18 eff. 1-1-12; 97-354, eff. 8-12-11; revised 9-7-11.)
- 19 (20 ILCS 2435/25) (from Ch. 23, par. 3395-25)
- Sec. 25. Reports of abuse, neglect, or exploitation of an
- 21 adult with disabilities.
- 22 (a) Any person who has reasonable cause to believe abuse,
- 23 neglect, or exploitation of an adult with disabilities has
- 24 occurred may report this to the statewide telephone number
- established under this Act.

- (b) Any person, institution, or agency making a report or assessment under this Section in good faith, or providing information, participating in an assessment, or taking photographs or x-rays, shall be immune from any civil or criminal liability on account of making the report or assessment, providing information, or participating in an assessment, or on account of submitting or otherwise disclosing the photographs or x-rays to the Adults with Disabilities Abuse Project.
- (c) The identity of a person making a report of alleged or suspected abuse, neglect, or exploitation under this Section may be disclosed by the Office of Inspector General only with the person's written consent or by court order.
- (d) The privileged quality of communication between any licensed health care professional or any other person who reports abuse, neglect, or exploitation and his or her patient or client shall not apply to situations involving abused, neglected, or exploited adults with disabilities. Use of a telecommunication device for the deaf constitutes an oral report. Written reports may be taken, but cannot be required.
- (e) All reports shall, if possible, include the name and address of the adult with disabilities, the name and address of the alleged abuser, if applicable, the nature and extent of the suspected abuse, neglect, or exploitation, the possible extent of the injury or condition as a result of the abuse, neglect, or exploitation, any evidence of previous abuse, neglect, or

exploitation, the time, date and location of the incident, the name, address of the reporter, and any other information that the reporter believes may be useful in assessing the suspected abuse, neglect, or exploitation.

(f) The Office of Inspector General shall refer evidence of crimes against an adult with disabilities to the appropriate law enforcement agency immediately upon finding evidence of a crime according to Office of Inspector General policies. A referral to law enforcement may be made at any time. Upon the death of an adult with disabilities, where a complaint or report of alleged abuse, neglect, or exploitation was made prior to the person's death pursuant to this Act, regardless of whether the complaint or report was substantiated or unsubstantiated, and regardless of whether consent was given for an assessment, the Office of Inspector General shall immediately report the matter to both the appropriate (i) law enforcement agency and (ii) coroner or medical examiner.

Such reports shall be in writing and, at a minimum, shall contain information regarding (1) the prior complaint or report of alleged abuse, neglect, or exploitation of the deceased adult with disabilities, the deceased adult with disabilities, and the reporter, upon the reporter's consent pursuant to this Act; (2) actions taken or not taken by the Office of Inspector General or the Department upon receiving the complaint or report of alleged abuse, neglect, or exploitation; (3) the personnel from the Department and the Office of Inspector

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General who were involved in investigating the compliant or
report of alleged abuse, neglect, or exploitation; and (4) as
available and applicable, the case numbers for the Department,
the Office of Inspector General, law enforcement, and the
coroner or medical examiner. The Office of Inspector General
shall also maintain a copy of the written report and shall
document subsequent action, if any, by the appropriate law
enforcement agency.

Upon the completion of the coroner's report and the law enforcement agency's investigation, the Office of Inspector General shall forward its written report, the coroner's report, and the law enforcement agency's final investigative report to the Attorney General.

When the Office of Inspector General has reason to believe that the death of an adult with disabilities may be the result of abuse, neglect, or exploitation, the Office of Inspector General shall immediately report the matter to the coroner or medical examiner and shall cooperate fully with any subsequent investigation.

- (g) Nothing in this Act shall preclude a person from reporting an alleged act of abuse, neglect, or exploitation of an adult with disabilities to a law enforcement agency.
- (h) Nothing in this Act shall diminish the duty of law enforcement officers to respond to and investigate incidents of alleged abuse, neglect, and exploitation pursuant to the Illinois Domestic Violence Act of 1986, when applicable.

1 (Source: P.A. 91-671, eff. 7-1-00.)

- 2 (20 ILCS 2435/35) (from Ch. 23, par. 3395-35)
- 3 Sec. 35. Assessment of reports.
- 4 (a) The Adults with Disabilities Abuse Project shall, upon 5 receiving a report of alleged or suspected abuse, neglect, or 6 exploitation obtain the consent of the subject of the report to 7 conduct assessment with respect to the an report. 8 assessment shall include, but not be limited to, a face-to-face 9 interview with the adult with disabilities who is the subject 10 of the report and shall may include a visit to the residence of 11 the adult with disabilities, and interviews or consultations with service agencies or individuals who may have knowledge of 12 circumstances of the adult. with 1.3 disabilities. Α 14 determination shall be made whether each 15 substantiated. The Office of Inspector General must notify the 16 proper law enforcement agency to investigate if a crime has been committed. If the Office of Inspector General determines 17 that there is clear and substantial risk of death or great 18 19 bodily harm, it shall immediately secure or provide emergency 20 protective services for purposes of preventing further abuse, 21 neglect, or exploitation, and for safeguarding the welfare of 22 the person. Such services must be provided in the least restrictive environment commensurate with the adult with 23 24 disabilities' needs.
- 25 (a-5) The Adults with Disabilities Abuse Project shall

- 1 initiate an assessment of all reports of alleged or suspected
- 2 abuse or neglect within 7 days after receipt of the report,
- 3 except reports of abuse or neglect that indicate that the life
- 4 or safety of an adult with disabilities is in imminent danger
- 5 shall be assessed within 24 hours after receipt of the report.
- 6 Reports of exploitation shall be assessed within 30 days after
- 7 the receipt of the report.
- 8 (b) (Blank).
- 9 (c) The Department shall effect written interagency
- 10 agreements with other State departments and any other public
- 11 and private agencies to coordinate and cooperate in the
- 12 handling of substantiated cases; to accept and manage
- 13 substantiated cases on a priority basis; and to waive
- 14 eligibility requirements for the adult with disabilities in an
- emergency.
- 16 (d) Every effort shall be made by the Adults with
- 17 Disabilities Abuse Project to coordinate and cooperate with
- 18 public and private agencies to ensure the provision of services
- 19 necessary to eliminate further abuse, neglect, and
- 20 exploitation of the adult with disabilities who is the subject
- of the report.
- The Office of Inspector General shall promulgate rules and
- 23 regulations to ensure the effective implementation of the
- 24 Adults with Disabilities Abuse Project statewide.
- 25 (e) When the Adults with Disabilities Abuse Project
- determines that a case is substantiated, it shall refer the

- 1 case to the appropriate office within the Department of Human
- 2 Services to develop, with the consent of and in consultation
- 3 with the adult with disabilities, a service plan for the adult
- 4 with disabilities.
- 5 (f) The Adults with Disabilities Abuse Project shall refer
- 6 reports of alleged or suspected abuse, neglect, or exploitation
- 7 to another State agency when that agency has a statutory
- 8 obligation to investigate such reports.
- 9 (g) If the Adults with Disabilities Abuse Project has
- 10 reason to believe that a crime has been committed, the incident
- shall be reported to the appropriate law enforcement agency.
- 12 (Source: P.A. 91-671, eff. 7-1-00.)
- 13 (20 ILCS 2435/40) (from Ch. 23, par. 3395-40)
- 14 Sec. 40. Service plan periods. A service plan shall be
- developed for each adult with disabilities who is in need of
- services and who accepts the services. When implemented, the
- service plan shall be in place until the situation causing the
- 18 abuse, neglect, or exploitation of an adult with disabilities
- 19 is ameliorated. The Department shall by rule establish the
- 20 period of time within which a service plan shall be implemented
- 21 and the duration of the plan. The rules shall provide for an
- 22 expedited response to emergency situations.
- The Department shall implement a service plan for
- 24 substantiated cases within 14 calendar days after the report is
- 25 substantiated. In emergency situations, the Department shall

- 1 <u>implement the service plan as soon as possible and in no event</u>
- 2 later than 2 working days after the case is substantiated. All
- 3 appropriate emergency services shall remain in place until this
- 4 service plan is implemented.
- 5 This Section shall not be interpreted to preclude an
- 6 investigation into the death of a disabled adult who is the
- 7 <u>subject of a pending complaint or report of alleged abuse</u>,
- 8 <u>neglect</u>, or exploitation.
- 9 (Source: P.A. 91-671, eff. 7-1-00.)
- 10 (20 ILCS 2435/45) (from Ch. 23, par. 3395-45)
- 11 Sec. 45. Consent.
- 12 (a) If the Adults with Disabilities Abuse Project has
- 13 received a report of alleged or suspected abuse, neglect, or
- 14 exploitation with regard to an adult with disabilities who
- lacks the capacity to consent to an assessment or to services,
- 16 the Adults with Disabilities Abuse Project may seek, directly
- or through another agency, the appointment of a temporary or
- 18 permanent guardian for assessment, provision of services, or
- 19 any other decision-making authority as is appropriate for the
- 20 individual as provided in Article XIa of the Probate Act of
- 21 1975 or other relief as provided under the Illinois Domestic
- 22 Violence Act of 1986.
- 23 (a-5) If the adult with disabilities consents to the
- 24 assessment, such assessment shall be conducted. If the adult
- 25 with disabilities consents to the services included in the

service plan, such services shall be provided. If the adult with disabilities refuses or withdraws his or her consent to the completion of the assessment, the assessment shall be terminated. If the adult with disabilities refuses or withdraws his or her consent to the provision of services, the services shall not be provided. When a complaint or report of alleged abuse, neglect, or exploitation is made, but consent is not given or withdrawn, and the adult with disabilities subsequently dies, the Office of the Inspector General shall report the matter to the proper authorities pursuant to Section 25 of this Act.

(b) A guardian of the person of an adult with disabilities who is abused, neglected, or exploited by another individual in a domestic living situation may consent to an assessment or to services being provided pursuant to the service plan. If the guardian is alleged to be the perpetrator of the abuse, neglect, or exploitation, the Adults with Disabilities Abuse Project shall, when there is an immediate and urgent necessity, seek the appointment of a temporary substitute guardian pursuant to Section 213.3 of the Illinois Domestic Violence Act of 1986 under the provisions of Article XIa of the Probate Act of 1975. If a guardian withdraws his consent or refuses to allow an assessment or services to be provided to the adult with disabilities, the Adults with Disabilities Abuse Project may seek directly or through another agency a court order seeking appropriate remedies, and may in addition request

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- 1 removal of the guardian and appointment of a successor guardian
- 2 pursuant to Article XIa of the Probate Act of 1975.
- 3 (c) For the purposes of this Section only, "lacks the
- 4 capacity to consent" shall mean that the adult with
- 5 disabilities reasonably appears to be unable by reason of
- 6 physical or mental condition to receive and evaluate
- 7 information related to the assessment or services, or to
- 8 communicate decisions related to the assessment or services.
- 9 (Source: P.A. 94-418, eff. 8-2-05.)
- 10 Section 99. Effective date. This Act takes effect upon
- 11 becoming law.

1	INDEX
2	Statutes amended in order of appearance
3	20 ILCS 2435/15 from Ch. 23, par. 3395-15
4	20 ILCS 2435/25 from Ch. 23, par. 3395-25
5	20 ILCS 2435/35 from Ch. 23, par. 3395-35
6	20 ILCS 2435/40 from Ch. 23, par. 3395-40
7	20 ILCS 2435/45 from Ch. 23, par. 3395-45