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1 AN ACT concerning regulation.

2 Be it enacted by the People of the State of Illinois, 3 represented in the General Assembly:

Section 5. The Regulatory Sunset Act is amended by changing
Section 4.20 and by adding Section 4.30 as follows:

(5 ILCS 80/4.20) 6 7 Sec. 4.20. Acts repealed on January 1, 2010 and December 31, 2010. 8 9 (a) The following Acts are repealed on January 1, 2010: The Auction License Act. 10 The Illinois Architecture Practice Act of 1989. 11 The Illinois Landscape Architecture Act of 1989. 12 The Illinois Professional Land Surveyor Act of 1989. 13 14 The Land Sales Registration Act of 1999. The Orthotics, Prosthetics, and Pedorthics Practice 15 16 Act. 17 The Perfusionist Practice Act. The Professional Engineering Practice Act of 1989. 18 19 The Real Estate License Act of 2000. The Structural Engineering Practice Act of 1989. 20 21 (b) The following Act is repealed on December 31, 2010: The Medical Practice Act of 1987. 22 (Source: P.A. 95-1018, eff. 12-18-08.) 23

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1		(5 ILCS 80/4.30 new)
2		Sec. 4.30. Act repealed on January 1, 2020. The following
3	<u>Act</u>	is repealed on January 1, 2020:
4	-	The Illinois Architecture Practice Act of 1989.
5	-	The Structural Engineering Practice Act of 1989.

Section 10. The Illinois Architecture Practice Act of 1989
is amended by changing Sections 3, 4, 5, 6, 8, 9, 10, 11, 12,
13, 21, 22, 23.5, 24, 25, 26, 29, 31, 36 and 38 and by adding
Sections 4.5 and 17.5 as follows:

10 (225 ILCS 305/3) (from Ch. 111, par. 1303)

11 (Section scheduled to be repealed on January 1, 2010)

Sec. 3. Application of Act. Nothing in this Act shall be 12 13 deemed or construed to prevent the practice of structural 14 engineering as defined in the Structural Engineering Practice Act of 1989, the practice of professional engineering as 15 defined in the Professional Engineering Practice Act of 1989, 16 or the preparation of documents used to prescribe work to be 17 18 done inside buildings for non-loadbearing interior 19 construction, furnishings, fixtures and equipment, or the 20 offering or preparation of environmental analysis, feasibility 21 studies, programming or construction management services by 22 persons other than those licensed in accordance with this Act, 23 the Structural Engineering Practice Act of 1989 or the SB0122 Enrolled - 3 - LRB096 02999 ASK 14724 b

1 Professional Engineering Practice Act of 1989.

2 Nothing contained in this Act shall prevent the draftsmen, 3 students, project representatives and other employees of those lawfully practicing as licensed architects under 4 the 5 provisions of this Act, from acting under the responsible direct supervision and control of their employers, or to 6 7 the employment of project representatives prevent for 8 enlargement or alteration of buildings or any parts thereof, or 9 prevent such project representatives from acting under the 10 responsible direct supervision and control of the licensed 11 architect by whom the construction documents including 12 drawings and specifications of any such building, enlargement 13 or alteration were prepared.

Nothing in this Act or any other Act shall prevent a licensed architect from practicing interior design services. Nothing in this Act shall be construed as requiring the services of an interior designer for the interior designing of a single family residence.

19 The involvement of a licensed architect is not required for 20 the following:

(A) The building, remodeling or repairing of any
building or other structure outside of the corporate limits
of any city or village, where such building or structure is
to be, or is used for farm purposes, or for the purposes of
outbuildings or auxiliary buildings in connection with
such farm premises.

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1 2 (B) The construction, remodeling or repairing of a detached single family residence on a single lot.

3 (C) The construction, remodeling or repairing of a 4 two-family residence of wood frame construction on a single 5 lot, not more than two stories and basement in height.

6 (D) Interior design services for buildings which do not 7 involve life safety or structural changes.

8 However, when an ordinance of a unit of local government 9 requires the involvement of a licensed architect for any 10 buildings included in the preceding paragraphs (A) through (D), 11 the requirements of this Act shall apply. All buildings not 12 included in the preceding paragraphs (A) through (D), including multi-family buildings and buildings previously exempt from 13 14 the involvement of a licensed architect under those paragraphs 15 but subsequently non-exempt due to a change in occupancy or 16 use, are subject to the requirements of this Act. Interior 17 alterations which result in life safety or structural changes of the building are subject to the requirements of this Act. 18 (Source: P.A. 92-16, eff. 6-28-01; 93-1009, eff. 1-1-05.) 19

20 (225 ILCS 305/4) (from Ch. 111, par. 1304)

21 (Section scheduled to be repealed on January 1, 2010)

22 Sec. 4. Definitions. In this Act:

23 <u>"Address of record" means the designated address recorded</u>
24 by the Department in the applicant's or licensee's application

25 <u>file or license file maintained by the Department's licensure</u>

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1 <u>maintenance unit. It is the duty of the applicant or licensee</u> 2 <u>to inform the Department of any change of address, and such</u> 3 <u>changes must be made either through the Department's website or</u> 4 by directly contacting the Department.

5 <u>"Architect, Retired" means a person who has been duly</u> 6 <u>licensed as an architect by the Department and who chooses to</u> 7 <u>place on inactive status or not renew his or her license</u> 8 <u>pursuant to Section 17.5 of this Act.</u>

9 <u>"Architectural intern" means an unlicensed person who has</u> 10 <u>completed the education requirements, is actively</u> 11 <u>participating in the diversified professional training, and</u> 12 <u>maintains in good standing a training record as required for</u> 13 <u>licensure by this Act and may use the title "architectural</u> 14 <u>intern", but may not independently engage in the practice of</u> 15 architecture.

16 <u>"Board" means the Illinois Architecture Licensing Board</u>
17 appointed by the Secretary.

18 (a) "Department" means the Department of <u>Financial and</u>
 19 Professional Regulation.

20 <u>"Design build" and "design build entity" means the project</u> 21 <u>delivery process defined in 68 Ill. Adm. Code 1150.85, and any</u> 22 <u>amendments or changes thereto.</u>

23 (b) "Director" means the Director of Professional 24 Regulation.

25 (c) "Board" means the Illinois Architecture Licensing
 26 Board appointed by the Director.

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1 (d) "Public health" as related to the practice of 2 architecture means the state of the well-being of the body or 3 mind of the building user.

4 (c) "Public safety" as related to the practice of
5 architecture means the state of being reasonably free from risk
6 of danger, damage, or injury.

7 (f) "Public welfare" as related to the practice of 8 architecture means the well-being of the building user 9 resulting from the state of a physical environment that 10 accommodates human activity.

11"Secretary" means the Secretary of Financial and12Professional Regulation.

13 (Source: P.A. 93-1009, eff. 1-1-05.)

14 (225 ILCS 305/4.5 new)

15 Sec. 4.5. References to Department or Director of 16 Professional Regulation. References in this Act (i) to the Department of Professional Regulation are deemed, in 17 18 appropriate contexts, to be references to the Department of Financial and Professional Regulation and (ii) to the Director 19 of Professional Regulation are deemed, in appropriate 20 21 contexts, to be references to the Secretary of Financial and 22 Professional Regulation.

23 (225 ILCS 305/5) (from Ch. 111, par. 1305)

24 (Section scheduled to be repealed on January 1, 2010)

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Sec. 5. Architect defined; Acts constituting practice.

2 <u>(a)</u> An architect is a person who is qualified by education, 3 training, experience, and examination, and who is licensed 4 under the laws of this State, to practice architecture.

5 (b) The practice of architecture within the meaning and intent of this Act includes the offering or furnishing of 6 7 professional services, such as consultation, environmental analysis, feasibility studies, programming, 8 planning, 9 aesthetic and structural design, technical submissions 10 consisting of drawings and specifications and other documents 11 required in the construction process, administration of 12 construction contracts, project representation, and construction management, in connection with the construction 13 14 of any private or public building, building structure, building 15 project, or addition to or alteration or restoration thereof.

16 <u>(c) In the offering or furnishing of professional services</u> 17 <u>set forth in subsection (b) of this Section, a licensee shall</u> 18 <u>adhere to the standards of professional conduct enumerated in</u> 19 <u>68 Ill. Adm. Code 1150.90, and any amendments or changes</u> 20 thereto.

21 (d) Nothing contained in this Section imposes upon a person 22 licensed under this Act the responsibility for the performance 23 of any of the services set forth in subsection (b) of this 24 Section unless such person specifically contracts to provide 25 such services.

26 (Source: P.A. 92-360, eff. 1-1-02.)

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(225 ILCS 305/6) (from Ch. 111, par. 1306)

(Section scheduled to be repealed on January 1, 2010) Sec. 6. Technical submissions. All technical submissions 3 intended for use in construction in the State of Illinois shall 4 5 be prepared and administered in accordance with standards of 6 reasonable professional skill and diligence. Care shall be taken to reflect the requirements of State statutes and, where 7 8 applicable, county and municipal building ordinances in such 9 submissions. In recognition that architects are licensed for 10 the protection of the public health, safety and welfare, 11 submissions shall be of such quality and scope, and be so 12 administered, as to conform to professional standards.

Technical submissions are the designs, drawings and 13 14 specifications which establish the scope of the architecture to 15 constructed, the standard of quality for materials, be 16 workmanship, equipment, and construction systems, and the studies and other technical reports and calculations prepared 17 18 in the course of the practice of architecture.

No officer, board, commission, or other public entity who 19 receives technical submissions shall accept for filing or 20 21 approval any technical submissions relating to services 22 requiring the involvement of an architect that do not bear the 23 seal and signature of an architect licensed under this Act. 24 It is unlawful to affix one's seal to technical submissions if it masks the true identity of the person who actually 25

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1	exercised responsible control of the preparation of such work.
2	An architect who seals and signs technical submissions is not
3	responsible for damage caused by subsequent changes to or uses
4	of those technical submissions where the subsequent changes or
5	uses, including changes or uses made by State or local
6	governmental agencies, are not authorized or approved in
7	writing by the architect who originally sealed and signed the
8	technical submissions.
9	(Source: P.A. 92-360, eff. 1-1-02.)
10	(225 ILCS 305/8) (from Ch. 111, par. 1308)
11	(Section scheduled to be repealed on January 1, 2010)
12	Sec. 8. Powers and duties of the Department.
13	(1) Subject to the provisions of this Act, the Department
14	shall exercise the following functions, powers, and duties:
15	(a) conduct examinations to ascertain the
16	qualifications and fitness of applicants for licensure as
17	licensed architects, and pass upon the qualifications and
18	fitness of applicants for licensure by endorsement;
19	(b) prescribe rules for a method of examination of
20	candidates;
21	(c) prescribe rules defining what constitutes a
22	school, college or university, or department of a
23	university, or other institution, reputable and in good
24	standing, to determine whether or not a school, college or
25	university, or department of a university, or other

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institution is reputable and in good standing by reference 1 2 to compliance with such rules, and to terminate the 3 approval of such school, college or university or department of a university or other institution that 4 5 refuses admittance to applicants solely on the basis of race, color, creed, sex or national origin. The Department 6 7 may adopt, as its own rules relating to education 8 requirements, those guidelines published from time to time 9 by the National Architectural Accrediting Board;

10 (d) prescribe rules for diversified professional 11 training;

(e) conduct oral interviews, disciplinary conferences
and formal evidentiary hearings on proceedings to impose
fines or to suspend, revoke, place on probationary status,
reprimand, and refuse to issue or restore any license
issued under the provisions of this Act for the reasons set
forth in Section 22 of this Act;

18 (f) issue licenses to those who meet the requirements 19 of this Act;

(g) formulate and publish rules necessary or
 appropriate to carrying out the provisions of this Act; and

(h) maintain membership in the National Council of Architectural Registration Boards and participate in activities of the Council by designation of individuals for the various classifications of membership and the appointment of delegates for attendance at regional and SB0122 Enrolled - 11 - LRB096 02999 ASK 14724 b

1 national meetings of the Council. All costs associated with 2 membership and attendance of such delegates to any national 3 meetings may be funded from the Design Professionals 4 Administration and Investigation Fund; and -

5 <u>(i) review such applicant qualifications to sit for the</u> 6 <u>examination or for licensure that the Board designates</u> 7 <u>pursuant to Section 10 of this Act.</u>

8 (2) Upon the Prior to issuance of any final decision or 9 order that deviates from any report or recommendation of the 10 Board relating to the qualification of applicants, discipline 11 of licensees or registrants, or promulgation of rules, the 12 Secretary Director shall notify the Board in writing with an 13 explanation of the deviation and provide a reasonable time for 14 the Board to submit written comments to the Secretary Director regarding the final decision or order proposed action. In the 15 16 event that the Board fails or declines to submit written 17 comments within 30 days of the notification, the Director may issue a final decision or order consistent with the Director's 18 19 original decision. The Department may at any time seek the 20 expert advice and knowledge of the Board on any matter relating to the enforcement of this Act. 21

22 (3) The Department may in its discretion, but shall not be 23 required to, employ or utilize the legal services of outside 24 counsel and the investigative services of outside personnel to 25 assist the Department. However, no attorney employed or used by 26 the Department shall prosecute a matter or provide legal SB0122 Enrolled - 12 - LRB096 02999 ASK 14724 b

1 services to the Department or Board with respect to the same 2 matter.

3 (Source: P.A. 91-133, eff. 1-1-00; 92-16, eff. 6-28-01.)

4 (225 ILCS 305/9) (from Ch. 111, par. 1309)

5

(Section scheduled to be repealed on January 1, 2010)

6 Sec. 9. Creation of the Board. The Director shall appoint 7 Architecture Licensing Board which will consist of 6 an 8 members. Five members shall be licensed architects, one of whom 9 shall be a tenured member of the architectural faculty of an 10 Illinois public university accredited by the National 11 Architectural Accrediting Board the University of Illinois. 12 The other 4 shall be licensed architects, residing in this 13 State, who have been engaged in the practice of architecture at 14 least 10 years. In addition to the 5 licensed architects, there 15 shall be one public member. The public member shall be a voting 16 member and shall not hold a license as an architect, professional engineer, structural engineer or land surveyor. 17

Board members shall serve 5 year terms and until their 18 19 successors are appointed and qualified. In making the designation of persons to the Board, the Director shall give 20 21 due consideration to recommendations by members and 22 organizations of the profession.

23 The membership of the Board should reasonably reflect 24 representation from the geographic areas in this State.

25 No member shall be reappointed to the Board for a term

1 which would cause his or her continuous service on the Board to 2 be longer than 10 successive years. Service prior to the 3 effective date of this Act shall not be considered.

Appointments to fill vacancies shall be made in the same manner as original appointments, for the unexpired portion of the vacated term. Initial terms shall begin upon the effective date of this Act and Board members in office on that date under the predecessor Act may be appointed to specific terms as indicated in this Section.

Persons holding office as members of the Board under the Illinois Architecture Act immediately prior to the effective date of this Act shall continue as members of the Board under this Act until the expiration of the term for which they were appointed and until their successors are appointed and gualified.

16 <u>Four members</u> A quorum of the Board shall <u>constitute a</u> 17 <u>quorum</u> consist of a majority of Board members currently 18 appointed. A majority vote of the quorum is required for Board 19 decisions.

The Director may remove any member of the Board for misconduct, incompetence, neglect of duty, or for reasons prescribed by law for removal of State officials.

23 The Director may remove a member of the Board who does not 24 attend 2 consecutive meetings.

Notice of proposed rulemaking shall be transmitted to the Board and the Department shall review the response of the Board SB0122 Enrolled - 14 - LRB096 02999 ASK 14724 b

and any recommendations made therein. The Department may, at any time, seek the expert advice and knowledge of the Board on any matter relating to the administration or enforcement of this Act.

5 Members of the Board are immune from suit in any action 6 based upon any disciplinary proceedings or other activities 7 performed in good faith as members of the Board.

8 (Source: P.A. 91-133, eff. 1-1-00.)

9 (225 ILCS 305/10) (from Ch. 111, par. 1310)

10 (Section scheduled to be repealed on January 1, 2010)

11 Sec. 10. Powers and duties of the Board.

12 (a) The Board shall hold at least 3 regular meetings each13 year.

(b) The Board shall annually elect a Chairperson and a ViceChairperson who shall be licensed architects.

16 (c) The Board, upon request by the Department, may make a 17 curriculum evaluation to determine if courses conform to the 18 requirements of approved architectural programs.

(d) The Board shall assist the Department in conducting
 oral interviews, disciplinary conferences and formal
 evidentiary hearings.

(e) The Department may, at any time, seek the expert advice
and knowledge of the Board on any matter relating to the
enforcement of this Act.

25 (f) The Board may appoint a subcommittee to serve as a

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Complaint Committee to recommend the disposition of case files
 according to procedures established by rule <u>in 68 Ill. Adm.</u>
 <u>Code 1150.95</u>, and any amendments or changes thereto.

(q) The Board shall review applicant qualifications to sit 4 5 for the examination or for licensure and shall make 6 recommendations to the Department except for those applicant 7 qualifications that the Board designates as routinely 8 acceptable. The Department shall review the Board's 9 recommendations on applicant qualifications. The Secretary 10 Director shall notify the Board in writing with an explanation 11 of any deviation from the Board's recommendation on applicant 12 qualifications. After review of the Secretary's Director's written explanation of his or her reasons for deviation, the 13 14 Board shall have the opportunity to comment upon the 15 Secretary's Director's decision.

16 (h) The Board may shall submit written comments to the 17 Secretary Director within a reasonable time 30 days from notification of any final decision or order from the Secretary 18 19 Director that deviates from any report or recommendation of the 20 Board relating to the qualifications of applicants, unlicensed 21 practice, discipline of licensees or registrants, or 22 promulgation of rules.

23 (i) The Board may recommend that the Department contract 24 with an individual or a corporation or other business entity to 25 assist in the providing of investigative, legal, 26 prosecutorial, and other services necessary to perform its SB0122 Enrolled - 16 - LRB096 02999 ASK 14724 b

<u>duties pursuant to subsection (3) of Section 8 of this Act.</u>
 (Source: P.A. 91-133, eff. 1-1-00.)

3 (225 ILCS 305/11) (from Ch. 111, par. 1311)

4 (Section scheduled to be repealed on January 1, 2010)

5 Sec. 11. Application for original license. Applications 6 for original licensure shall be made to the Department in 7 writing on forms prescribed by the Department and shall be 8 accompanied by the required fee, which is not refundable. Any 9 such application shall require information as in the judgment 10 of the Department will enable the Department to pass on the 11 qualifications of the applicant to practice architecture. The 12 Department may require an applicant, at the applicant's expense, to have an evaluation of the applicant's education in 13 a foreign country by an evaluation service a nationally 14 15 recognized educational body approved by the Board in accordance 16 with rules prescribed by the Department.

An applicant who has graduated from an architectural program outside the United States or its territories and whose first language is not English shall submit certification of passage of the Test of English as a Foreign Language (TOEFL) and <u>a test of spoken English</u> the Test of Spoken English (TSE) as defined by rule.

23 (Source: P.A. 91-133, eff. 1-1-00.)

24 (225 ILCS 305/12) (from Ch. 111, par. 1312)

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1 (Section scheduled to be repealed on January 1, 2010) 2 Sec. 12. Examinations; subjects; failure or refusal to take 3 examination. The Department shall authorize examination of 4 applicants as architects at such times and places as it may 5 determine. The examination shall be in English and shall be 6 written or written and graphic. It shall include at a minimum 7 the following subjects:

8 (a) pre-design (environmental analysis, architectural 9 programming, and application of principles of project 10 management and coordination);

(b) site planning (site analysis, design and development, parking, and application of zoning requirements);

14 building planning (conceptual planning (C) of 15 functional and space relationships, building design, 16 interior space layout, barrier-free design, and the 17 application of the life safety code requirements and principles of energy efficient design); 18

19 (d) building technology (application of structural 20 systems, building components, and mechanical and 21 electrical systems);

(e) general structures (identification, resolution,
and incorporation of structural systems and the long span
design on the technical aspects of the design of buildings
and the process and construction);

26

(f) lateral forces (identification and resolution of

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the effects of lateral forces on the technical aspects of the design of buildings and the process of construction);

3 (g) mechanical and electrical systems (as applied to 4 the design of buildings, including plumbing and acoustical 5 systems);

(h) materials and methods (as related to the design of buildings and the technical aspects of construction); and

8 (i) construction documents and services (conduct of 9 architectural practice as it relates to construction 10 documents, bidding, and construction administration and 11 contractual documents from beginning to end of a building 12 project).

13 It shall be the responsibility of the applicant to be 14 familiar with this Act and its rules.

Examination subject matter headings and bases on which examinations are graded shall be indicated in rules pertaining to this Act. The Department may adopt the examinations and grading procedures of the National Council of Architectural Registration Boards. Content of any particular examination shall not be considered public record under the Freedom of Information Act.

If an applicant neglects without an approved excuse or refuses to take the next available examination offered for licensure under this Act, the fee paid by the applicant shall be forfeited. If an applicant fails to pass an examination for licensure under this Act within 3 years after filing an SB0122 Enrolled - 19 - LRB096 02999 ASK 14724 b

1 application, the application shall be denied. The applicant 2 may, however, make a new application for examination 3 accompanied by the required fee and must furnish proof of 4 meeting the qualifications for examination in effect at the 5 time of the new application.

6 <u>An applicant shall have 5 years from the passage of the</u> 7 <u>first examination to successfully complete all examinations</u> 8 required by rule of the Department.

9 The Department may by rule prescribe additional subjects 10 for examination.

An applicant has one year from the date of notification of 11 12 successful completion of all the examination requirements to 13 apply to the Department for a license. If an applicant fails to 14 apply within one year, the applicant shall be required to again take and pass the examination, unless the Department, upon 15 16 recommendation of the Board, determines that there is 17 sufficient cause for the delay that is not due to the fault of 18 the applicant.

19 (Source: P.A. 91-133, eff. 1-1-00; 92-16, eff. 6-28-01.)

20 (225 ILCS 305/13) (from Ch. 111, par. 1313)

21 (Section scheduled to be repealed on January 1, 2010)

22 Sec. 13. Qualifications of applicants. Any person who is of 23 good moral character may <u>apply</u> take an examination for 24 licensure if he or she is a graduate with a first professional 25 degree in architecture from a program accredited by the SB0122 Enrolled - 20 - LRB096 02999 ASK 14724 b

1 National Architectural Accrediting Board, has completed the 2 examination requirements set forth under Section 12 of this Act, and has completed such diversified professional training, 3 including academic training, as is required by rules of the 4 5 Department. Until January 1, 2014, in lieu of the requirement 6 of graduation with a first professional degree in architecture from a program accredited by the National Architectural 7 8 Accrediting Board, the Department may admit an applicant who is 9 a graduate with a pre-professional 4 year baccalaureate degree 10 accepted for direct entry into a first professional master of 11 architecture degree program, and who has completed such 12 additional diversified professional training, including 13 academic training, as is required by rules of the Department. 14 The Department may adopt, as its own rules relating to diversified professional training, those guidelines published 15 16 from time to time by the National Council of Architectural 17 Registration Boards.

Good moral character means such character as will enable a 18 19 person to discharge the fiduciary duties of an architect to 20 that person's client and to the public in a manner which protects health, safety and welfare. Evidence of inability to 21 22 discharge such duties may include the commission of an offense 23 justifying discipline under Section 22 19. In addition, the Department may take into consideration whether the applicant 24 25 has engaged in conduct or actions that would constitute grounds 26 for discipline under this Act.

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1 (Source: P.A. 93-1009, eff. 1-1-05; 94-543, eff. 8-10-05.)

ursuant to Section 2105-15 egulation Law of the Civil Department may grant the person who has been duly artment and who has chosen renew his or her license. "Architect, Retired" may
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the provisions of the Professional Service Corporation Act, of
 a corporation to offer the practice of architecture.

3 business, including a Professional Service Any Corporation, that includes the practice of architecture within 4 5 its stated purposes, practices architecture, or holds itself out as available to practice architecture shall register with 6 7 the Department under this Section. Any professional service 8 corporation, sole proprietorship, or professional design firm 9 offering architectural services must have a resident architect in responsible charge of overseeing the architectural 10 11 practices in each location in which architectural services are 12 provided who shall be designated as a managing agent.

13 Any sole proprietorship not owned and operated by an 14 Illinois licensed design professional licensed under this Act 15 shall be prohibited from offering architectural services to the 16 public. "Illinois licensed design professional" means a person 17 who holds an active license as an architect under this Act, as structural engineer under the Structural Engineering 18 а Practice Act of 1989, or as a professional engineer under the 19 Professional Engineering Practice Act of 1989. Any sole 20 proprietorship owned and operated by an architect with an 21 22 active license issued under this Act and conducting or 23 transacting such business under an assumed name in accordance with the provisions of the Assumed Business Name Act shall 24 25 comply with the registration requirements of a professional 26 design firm. Any sole proprietorship owned and operated by an 1 architect with an active license issued under this Act and 2 conducting or transacting such business under the real name of 3 the sole proprietor is exempt from the registration 4 requirements of a professional design firm.

5 (b) Any corporation, including a Professional Service 6 Corporation, partnership, limited liability company, or 7 professional design firm seeking to be registered under this 8 Section shall not be registered unless:

9 (1) two-thirds of the board of directors, in the case 10 of a corporation, or two-thirds of the general partners, in 11 the case of a partnership, or two-thirds of the members, in 12 the case of a limited liability company, are licensed under 13 State to practice architecture, the laws of any 14 professional engineering, land surveying, or structural 15 engineering; and

16 (2) <u>a managing agent</u> the person having the 17 architectural practice in this State in his charge is (A) a 18 director in the case of a corporation, a general partner in 19 the case of a partnership, or a member in the case of a 20 limited liability company, and (B) holds a license under 21 this Act.

Any corporation, limited liability company, professional service corporation, or partnership qualifying under this Section and practicing in this State shall file with the Department any information concerning its officers, directors, members, managers, partners or beneficial owners as the SB0122 Enrolled - 24 - LRB096 02999 ASK 14724 b

1 Department may, by rule, require.

(c) No business shall offer the practice or hold itself out
as available to offer the practice of architecture until it is
registered with the Department. Every entity registered as a
professional design firm shall display its certificate of
registration or a facsimile thereof in a conspicuous place in
each office offering architectural services.

8 (d) Any business seeking to be registered under this 9 Section shall make application on a form provided by the 10 Department and shall provide any information requested by the 11 Department, which shall include but shall not be limited to all 12 of the following:

13 (1) The name and architect's license number of at least 14 one person designated as the managing agent in responsible 15 charge of the practice of architecture in Illinois. In the 16 case of a corporation, the corporation shall also submit a 17 certified copy of the resolution by the board of directors designating at least one managing agent. If a limited 18 19 liability company, the company shall submit a certified 20 copy of either its articles of organization or operating agreement designating at least one the managing agent. 21

(2) The names and architect's, professional
engineer's, structural engineer's, or land surveyor's
license numbers of the directors, in the case of a
corporation, the members, in the case of a limited
liability company, or general partners, in the case of a

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1 partnership.

2 (3) A list of all locations at which the professional
3 design firm provides architectural services.

4 (4) A list of all assumed names of the business.
5 Nothing in this Section shall be construed to exempt a
6 business from compliance with the requirements of the
7 Assumed Business Name Act.

8 It is the responsibility of the professional design firm to 9 provide the Department notice, in writing, of any changes in 10 the information requested on the application.

11 (e) In the event a managing agent is terminated or 12 terminates his or her status as managing agent of the 13 professional design firm, the managing agent and professional 14 design firm shall notify the Department of this fact in 15 writing, by certified mail, within 10 business days of 16 termination.

17 Thereafter, the professional design firm, if it has so informed the Department, has 30 days in which to notify the 18 Department of the name and architect's license number of the 19 20 architect who is the newly designated managing agent. If a corporation, the corporation shall also submit a certified copy 21 of a resolution by the board of directors designating the new 22 23 managing agent. If a limited liability company, the company shall also submit a certified copy of either its articles of 24 25 organization or operating agreement designating the new 26 managing agent. The Department may, upon good cause shown,

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1 extend the original 30 day period.

2 If the professional design firm has not notified the Department in writing, by certified mail within the specified 3 time, the registration shall be terminated without prior 4 5 hearing. Notification of termination shall be sent by certified 6 mail to the last known address of record the business. If the 7 professional design firm continues to operate and offer architectural services after the termination, the Department 8 9 may seek prosecution under Sections 22, 36, and 36a of this Act 10 for the unlicensed practice of architecture.

No professional design firm shall be relieved of 11 (f) 12 responsibility for the conduct or acts of its agents, 13 employees, or officers by reason of its compliance with this Section, nor shall any individual practicing architecture be 14 15 relieved of the responsibility for professional services 16 performed by reason of the individual's employment or 17 relationship with a professional design firm registered under this Section. 18

(g) Disciplinary action against a professional design firm 19 20 registered under this Section shall be administered in the same 21 manner and on the same grounds as disciplinary action against a 22 licensed architect. All disciplinary action taken or pending 23 against a corporation or partnership before the effective date of this amendatory Act of 1993 shall be continued or remain in 24 25 effect without the Department filing separate actions. (Source: P.A. 91-91, eff. 1-1-00; 91-133, eff. 1-1-00.) 26

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(225 ILCS 305/22) (from Ch. 111, par. 1322)

(Section scheduled to be repealed on January 1, 2010)

3 Sec. 22. Refusal, suspension and revocation of licenses; 4 Causes.

5 (a) The Department may, singularly or in combination, 6 refuse to issue, renew or restore, or may suspend, or revoke, 7 place on probation, or take other disciplinary or non-disciplinary action as deemed appropriate, including, but 8 9 not limited to, the imposition of fines any license or 10 registration, or may place on probation, reprimand, or fine, 11 with a civil penalty not to exceed \$10,000 for each violation, 12 as the Department may deem proper, with regard to a license any 13 person, corporation, or partnership, or professional design 14 firm licensed or registered under this Act for any one or 15 combination of the following causes reasons:

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(1) material misstatement in furnishing information to 17 the Department;

18 (2) negligence, incompetence or misconduct in the practice of architecture; 19

(3) failure to comply with any of the provisions of 20 21 this Act or any of the rules;

22 (4) making any misrepresentation for the purpose of 23 obtaining licensure;

24 (5) purposefully making false statements or signing false statements, certificates or affidavits to induce 25

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payment;

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2 (6) conviction of or plea of guilty or nolo contendere to any crime that is a felony under the laws of the United 3 States, or any state or territory thereof, which is a 4 5 felony, whether related to the practice of architecture or that <u>is</u> not; or conviction of any crime, whether a felony, 6 7 misdemeanor, or otherwise, an essential element of which is 8 dishonesty, wanton disregard for the rights of others, or 9 any crime that which is directly related to the practice of 10 the profession of architecture;

11 (7) aiding or assisting another person in violating any 12 provision of this Act or its rules;

13 (8) signing, affixing the licensed architect's seal or 14 permitting the architect's seal to be affixed to any 15 <u>technical submission</u> construction documents not prepared 16 by the architect or under that architect's <u>responsible</u> 17 <u>direct supervision and</u> control;

18 (9) engaging in dishonorable, unethical or
19 unprofessional conduct of a character likely to deceive,
20 defraud or harm the public;

(10) <u>habitual or excessive use or addiction to alcohol</u>, <u>narcotics</u>, <u>stimulants</u>, <u>or any other chemical agent or drug</u> <u>that results in the inability to practice with reasonable</u> <u>judgment</u>, <u>skill</u>, <u>or safety</u> <u>habitual intoxication or</u> <u>addiction to the use of drugs</u>;

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(11) making a statement of compliance pursuant to the

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Environmental Barriers Act that technical submissions 1 2 construction documents prepared by the architect Licensed 3 Architect or prepared under the licensed architect's direct supervision and 4 responsible control for 5 construction or alteration of an occupancy required to be in compliance with the Environmental Barriers Act are in 6 compliance with the Environmental Barriers Act when such 7 8 technical submissions construction documents are not in 9 compliance;

10 (12) a finding by the Board that an applicant or 11 registrant has failed to pay a fine imposed by the 12 Department or a registrant, whose license has been placed 13 on probationary status, has violated the terms of 14 probation;

(13) discipline by another state, territory, foreign country, the District of Columbia, the United States government, or any other governmental agency, if at least one of the grounds for discipline is the same or substantially equivalent to those set forth herein;

(14) failure to provide information in response to a
written request made by the Department within 30 days after
the receipt of such written request;

(15) physical illness, including, but not limited to, deterioration through the aging process or loss of motor skill, mental illness, or disability which results in the inability to practice the profession with reasonable SB0122 Enrolled - 30 - LRB096 02999 ASK 14724 b

judgment, skill, and or safety, including without 1 2 limitation deterioration through the aging process, mental 3 illness, or disability. (a-5) In enforcing this Section, the Department or Board, 4 5 upon a showing of a possible violation, may order a licensee or applicant to submit to a mental or physical examination, or 6 7 both, at the expense of the Department. The Department or Board 8 may order the examining physician to present testimony concerning his or her examination of the licensee or applicant. 9 10 No information shall be excluded by reason of any common law or 11 statutory privilege relating to communications between the licensee or applicant and the examining physician. The 12 examining physicians shall be specifically designated by the 13 14 Board or Department. The licensee or applicant may have, at his 15 or her own expense, another physician of his or her choice 16 present during all aspects of the examination. Failure of a licensee or applicant to submit to any such examination when 17 directed, without reasonable cause as defined by rule, shall be 18 grounds for either the immediate suspension of his or her 19 license or immediate denial of his or her application. 20

If the Secretary immediately suspends the license of a licensee for his or her failure to submit to a mental or physical examination when directed, a hearing must be convened by the Department within 15 days after the suspension and completed without appreciable delay.

26 If the Secretary otherwise suspends a license pursuant to

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the results of the licensee's mental or physical examination, a 1 2 hearing must be convened by the Department within 15 days after the suspension and completed without appreciable delay. The 3 4 Department and Board shall have the authority to review the licensee's record of treatment and counseling regarding the 5 relevant impairment or impairments to the extent permitted by 6 7 applicable federal statutes and regulations safeguarding the confidentiality of medical records. 8

9 Any licensee suspended under this subsection (a-5) shall be afforded an opportunity to demonstrate to the Department or 10 11 Board that he or she can resume practice in compliance with the acceptable and prevailing standards under the provisions of his 12 13 or her license. In enforcing this Section, the Board upon 14 showing of a possible violation may request that the Department 15 compel a person licensed to practice under this Act, or who has 16 applied for licensure or certification pursuant to this Act, to 17 submit to a mental or physical examination, or both, as required by and at the expense of the Department. The examining 18 physicians shall be those specifically designated by the 19 20 Department. The Department may order the examining physician to 21 present testimony concerning this mental or physical 22 examination of the licensee or applicant. No information shall 23 be excluded by reason of any common law or statutory privilege relating to communications between the licensee or applicant 24 and the examining physician. The person to be examined may 25 26 have, at his or her own expense, another physician of his

her choice present during all aspects of the examination.
Failure of any person to submit to a mental or physical
examination, when directed, shall be grounds for suspension of
a license until the person submits to the examination if the
Department finds, after notice and hearing, that the refusal to
submit to the examination was without reasonable cause.

If the Board finds a person unable to practice because of 7 the reasons set forth in this Section, the Board may recommend 8 9 that the Department require that person to submit to care, 10 counseling, or treatment by physicians approved or designated 11 by the Department as a condition, term, or restriction for 12 continued, reinstated, or renewed licensure to practice; or, in lieu of care, counseling, or treatment, the Board may recommend 13 to the Department to file a complaint to immediately suspend, 14 revoke or otherwise discipline the license of the person. Any 15 16 person whose license was granted, continued, reinstated, renewed, disciplined, or supervised subject to such terms, 17 conditions, or restrictions and who fails to comply with such 18 terms, conditions, or restrictions shall be referred to the 19 20 Director for a determination as to whether the person shall 21 have his or her license suspended immediately, pending a 22 hearing by the Board.

(b) The determination by a circuit court that a licensee is subject to involuntary admission or judicial admission, as provided in the Mental Health and Developmental Disabilities Code, operates as an automatic suspension. Such suspension will SB0122 Enrolled - 33 - LRB096 02999 ASK 14724 b

end only upon a finding by a court that the patient is no
longer subject to involuntary admission or judicial admission,
the issuance of an order so finding and discharging the
patient, and the recommendation of the Board to the <u>Secretary</u>
Director that the licensee be allowed to resume practice.

6 <u>(c) The Department shall deny a license or renewal</u> 7 <u>authorized by this Act to a person who has defaulted on an</u> 8 <u>educational loan or scholarship provided or quaranteed by the</u> 9 <u>Illinois Student Assistance Commission or any governmental</u> 10 <u>agency of this State in accordance with subdivision (a)(5) of</u> 11 <u>Section 15 of the Department of Professional Regulation Law of</u> 12 <u>the Civil Administrative Code of Illinois.</u>

13 (d) In cases where the Department of Healthcare and Family 14 Services (formerly the Department of Public Aid) has previously determined that a licensee or a potential licensee is more than 15 16 30 days delinquent in the payment of child support and has 17 subsequently certified the delinquency to the Department, the Department shall refuse to issue or renew or shall revoke or 18 19 suspend that person's license or shall take other disciplinary 20 action against that person based solely upon the certification 21 of delinquency made by the Department of Healthcare and Family 22 Services in accordance with subdivision (a) (5) of Section 15 of 23 the Department of Professional Regulation Law of the Civil 24 Administrative Code of Illinois. 25 The Department shall deny a license or renewal (e)

26 <u>authorized by this Act to a person who has failed to file a</u>

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return, to pay the tax, penalty, or interest shown in a filed return, or to pay any final assessment of tax, penalty, or interest as required by any tax Act administered by the Department of Revenue, until such time as the requirements of the tax Act are satisfied in accordance with subsection (g) of Section 15 of the Department of Professional Regulation Law of the Civil Administrative Code of Illinois.

8 The Department may refuse to issue or may suspend the 9 license of any person who fails to file a return, or to pay the 10 tax, penalty or interest shown in a filed return, or to pay any 11 final assessment of tax, penalty or interest, as required by 12 any tax Act administered by the Illinois Department of Revenue, 13 until such time as the requirements of any such satisfied. 14

15 (f) Persons who assist the Department as consultants or 16 expert witnesses in the investigation or prosecution of alleged 17 violations of the Act, licensure matters, restoration proceedings, or criminal prosecutions, shall not be liable for 18 damages in any civil action or proceeding as a result of such 19 20 assistance, except upon proof of actual malice. The attorney general shall defend such persons in any such action or 21 22 proceeding.

23 (Source: P.A. 94-543, eff. 8-10-05.)

24 (225 ILCS 305/23.5)

25 (Section scheduled to be repealed on January 1, 2010)

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Sec. 23.5. Unlicensed practice; violation; civil penalty.

(a) Any person who practices, offers to practice, attempts 2 3 to practice, or holds oneself out to practice as an architect without being licensed under this Act shall, in addition to any 4 5 other penalty provided by law, pay a civil penalty to the Department in an amount not to exceed \$10,000 \$5,000 for each 6 7 offense as determined by the Department. The civil penalty 8 shall be assessed by the Department after a hearing is held in 9 accordance with the provisions set forth in this Act regarding 10 the provision of a hearing for the discipline of a licensee.

11 (a-5) Any entity that advertises architecture services in a 12 telecommunications directory must include its architecture firm registration number or, in the case of a sole proprietor, 13 individual license 14 his or her number. Nothing in this 15 subsection (a-5) requires the publisher of а 16 telecommunications directory to investigate or verify the 17 accuracy of the registration or license number provided by the advertiser of architecture services. 18

(b) The Department has the authority and power toinvestigate any and all unlicensed activity.

(c) The civil penalty shall be paid within 60 days after the effective date of the order imposing the civil penalty. The order shall constitute a judgment and may be filed and execution had thereon in the same manner as any judgment from any court of record.

26 (Source: P.A. 94-543, eff. 8-10-05.)

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(225 ILCS 305/24) (from Ch. 111, par. 1324)

(Section scheduled to be repealed on January 1, 2010) 2 Sec. 3 24. Investigations; notice and hearing. The 4 Department may investigate the actions of any applicant or of 5 any person or entity holding or claiming to hold a license or 6 registration. Before the initiation of an investigation, the 7 matter shall be reviewed by a subcommittee of the Board 8 according to procedures established by rule for the Complaint 9 Committee. The Department shall, before refusing to restore, 10 issue or renew a license or registration, or discipline a 11 licensee or registrant, at least 30 days prior to the date set 12 for the hearing, notify in writing the applicant for, or holder of, a license or registrant of the nature of the charges and 13 14 that a hearing will be held on the date designated, and direct 15 the applicant or entity or licensee or registrant to file a 16 written answer to the Board under oath within 20 days after the service of the notice and inform the applicant or entity or 17 licensee or registrant that failure to file an answer will 18 19 result in default being taken against the applicant or entity or licensee or registrant and that the license or certificate 20 21 may be suspended, revoked, placed on probationary status, or 22 other disciplinary action may be taken, including limiting the 23 scope, nature or extent of practice, as the Director may deem 24 proper. Written notice may be served by personal delivery or 25 certified or registered mail to the respondent at the address SB0122 Enrolled - 37 - LRB096 02999 ASK 14724 b

of <u>record with</u> his last notification to the Department. In case 1 2 the person or entity fails to file an answer after receiving 3 notice, his or her license or certificate may, in the discretion of the Department, be suspended, revoked, or placed 4 5 on probationary status, or the Department may take whatever 6 disciplinary action deemed proper, including limiting the scope, nature, or extent of the person's practice or the 7 8 imposition of a fine, without a hearing, if the act or acts 9 charged constitute sufficient grounds for such action under 10 this Act. At the time and place fixed in the notice, the Board 11 shall proceed to hear the charges and the parties or their 12 counsel shall be accorded ample opportunity to present such statements, testimony, evidence and argument as 13 mav be 14 pertinent to the charges or to their defense. The Board may 15 continue the hearing from time to time.

16 (Source: P.A. 87-1031; 88-428.)

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17 (225 ILCS 305/25) (from Ch. 111, par. 1325)

(Section scheduled to be repealed on January 1, 2010)

19 Sec. 25. Stenographer; transcript. The Department, at its expense, shall preserve a record of all proceedings at the 20 21 formal hearing of any case involving the refusal to restore, 22 issue or renew a license, or the discipline of a licensee. The notice of hearing, complaint and all other documents in the 23 24 nature of pleadings and written motions filed in the 25 proceedings, the transcript of testimony, the report of the SB0122 Enrolled - 38 - LRB096 02999 ASK 14724 b

Board and the orders of the Department shall be the record of the proceedings. <u>A</u> The Department shall furnish a transcript of the record <u>may be made available</u> to any person interested in the hearing upon payment of the fee required by Section 2105-115 of the Department of Professional Regulation Law (20 ILCS 2105/2105-115).

7 (Source: P.A. 91-239, eff. 1-1-00.)

8 (225 ILCS 305/26) (from Ch. 111, par. 1326)

9 (Section scheduled to be repealed on January 1, 2010)

10 Sec. 26. Subpoenas; depositions; oaths of witnesses; 11 Oaths. The Department has power to subpoena documents, books, 12 records, or other materials and to bring before it any person 13 and to take testimony, either orally or by deposition, or take 14 written interrogatories, or any combination thereof, with the 15 same fees and mileage and in the same manner as is prescribed 16 in civil cases in the courts of this State.

The Secretary, the designated hearing officer, and every 17 18 member of the Board has the power to administer oaths to witnesses at any hearing that the Department is authorized to 19 20 conduct and any other oaths authorized in any Act administered 21 by the Department. and bring before it any person in this State 22 and to take testimony either orally or by deposition, or both, 23 with the same fees and mileage and in the same manner 24 prescribed by law in judicial proceedings in civil cases in 25 circuit courts of this State.

1	The Director, and every member of the Board each have power
2	to administer oaths to witnesses at any hearing which the
3	Department is authorized by law to conduct, and any other oaths
4	required or authorized in any Act administered by the
5	Department.

6 (Source: P.A. 86-702.)

7 (225 ILCS 305/29) (from Ch. 111, par. 1329)

8 (Section scheduled to be repealed on January 1, 2010)

9 Sec. 29. Hearing officer. Notwithstanding the provisions of Section 28 of this Act, the Director has the authority to 10 11 appoint any attorney duly licensed to practice law in the State 12 of Illinois to serve as the hearing officer in any action under Section 24. The Director shall notify the Board of any such 13 14 appointment. The hearing officer has full authority to conduct 15 the hearing. The Board has the right to have at least one 16 member present at any hearing conducted by such hearing officer. The hearing officer shall report his findings of fact, 17 conclusions of law and recommendations to the Board and the 18 Director. The Board has 60 days from receipt of the report to 19 20 review the report of the hearing officer and present its 21 findings of fact, conclusions of law and recommendations to the 22 Secretary Director. If the Board fails to present its report 23 within the 60 day period, the Secretary may Director shall 24 issue an order based on the report of the hearing officer. If 25 the Secretary Director disagrees in any regard with the report SB0122 Enrolled - 40 - LRB096 02999 ASK 14724 b

of the Board or hearing officer, he may issue an order in contravention thereof. The <u>Secretary Director</u> shall <u>notify</u> provide a written explanation to the Board on any such deviation, and shall specify with particularity the reasons for such action in the final order.

6 (Source: P.A. 86-702.)

7 (225 ILCS 305/31) (from Ch. 111, par. 1331)

8 (Section scheduled to be repealed on January 1, 2010)

9 Sec. 31. Restoration of suspended or revoked Issuance or 10 restoration of license. At any time after the successful completion of a term of suspension or revocation of a license, 11 12 the Department may restore it to the licensee, upon the written 13 recommendation of the Board, unless after an investigation and a hearing the Board determines that restoration is not in the 14 15 public interest the refusal to issue, or after the suspension 16 or revocation of any license, the Department may issue or to the applicant without examination, upon 17 it restore-the 18 written recommendation of the Board.

19 (Source: P.A. 86-702.)

20 (225 ILCS 305/36) (from Ch. 111, par. 1336)
21 (Section scheduled to be repealed on January 1, 2010)
22 Sec. 36. Violations. Each of the following Acts constitutes
23 a Class A misdemeanor for the first offense and a Class 4
24 felony for a second or subsequent offense:

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(a) the practice, attempt to practice or offer to 1 2 practice architecture, or the advertising or putting out of any sign or card or other device which might indicate to 3 public that the person is entitled to practice 4 the architecture, without a license as a licensed architect, or 5 6 registration as a professional design firm issued by the Department. Each day of practicing architecture 7 or 8 attempting to practice architecture, and each instance of 9 offering to practice architecture, without a license as a 10 licensed architect or registration as a professional 11 design firm constitutes a separate offense;

12 (b) the making of any wilfully false oath or 13 affirmation in any matter or proceeding where an oath or 14 affirmation is required by this Act;

15 (c) the affixing of a licensed architect's seal to any 16 <u>technical submissions</u> construction documents which have 17 not been prepared by that architect or under the 18 architect's <u>responsible</u> direct supervision and control;

19 (d) the violation of any provision of this Act or its 20 rules;

(e) using or attempting to use an expired, inactive,
suspended, or revoked license, or the certificate or seal
of another, or impersonating another licensee;

24 (f) obtaining or attempting to obtain a license or 25 registration by fraud; or

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(g) If any person, sole proprietorship, professional

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1 service corporation, limited liability company, corporation or partnership, or other entity practices 2 architecture or advertises or displays any sign or card or 3 other device that might indicate to the public that the 4 5 person or entity is entitled to practice as an architect or use the title "architect" or any of its derivations unless 6 7 the person or other entity holds an active license as an 8 architect or registration as a professional design firm in 9 the State; then, in addition to any other penalty provided 10 by law any person or other entity who violates this 11 subsection (q) shall forfeit and pay to the Design 12 Professionals Administration and Investigation Fund a 13 civil penalty in an amount determined by the Department of not more than \$10,000 $\frac{55,000}{5,000}$ for each offense. 14

An unlicensed person who has completed the education requirements, is actively participating in the diversified professional training, and maintains in good standing a training record as required for licensure by this Act may use the title "architectural intern", but may not <u>independently</u> engage in the practice of architecture.

21 (Source: P.A. 93-1009, eff. 1-1-05.)

(225 ILCS 305/38) (from Ch. 111, par. 1338)
(Section scheduled to be repealed on January 1, 2010)
Sec. 38. Fund; appropriations; investments; audits. Moneys
deposited in the Design Professionals Administration and

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Investigation Fund shall be appropriated to the Department 1 2 exclusively for expenses of the Department and the Board in the 3 administration of this Act, the Illinois Professional Land Surveyor Act of 1989, the Professional Engineering Practice Act 4 5 of 1989, and the Structural Engineering Practice Act of 1989. The expenses of the Department under this Act shall be limited 6 the ordinary and contingent expenses of the Design 7 to 8 Professionals Dedicated Employees within the Department as 9 established under Section 2105-75 of the Department of 10 Professional Regulation Law (20 ILCS 2105/2105-75) and other 11 expenses related to the administration and enforcement of this 12 Act.

Moneys from the Fund may also be used for direct and allocable indirect costs related to the public purposes of the Department of <u>Financial and</u> Professional Regulation. Moneys in the Fund may be transferred to the Professions Indirect Cost Fund as authorized by Section 2105-300 of the Department of Professional Regulation Law (20 ILCS 2105/2105-300).

All fines and penalties under Sections 22 and 36 shall be deposited in the Design Professionals Administration and Investigation Fund.

22 Moneys in the Design Professionals Administration and 23 Investigation Fund may be invested and reinvested, with all 24 earnings received from the investments to be deposited in the 25 Design Professionals Administration and Investigation Fund and 26 used for the same purposes as fees deposited in the Fund. SB0122 Enrolled - 44 - LRB096 02999 ASK 14724 b

Upon the completion of any audit of the Department as 1 2 prescribed by the Illinois State Auditing Act that includes an 3 audit of the Design Professionals Administration and 4 Investigation Fund, the Department shall make the audit open to 5 inspection by any interested person. The copy of the audit 6 report required to be submitted to the Department by this 7 Section is an addition to copies of audit reports required to be submitted to other State officers and agencies by Section 8 9 3-14 of the Illinois State Auditing Act.

10 (Source: P.A. 91-91, eff. 1-1-00; 91-133, eff. 1-1-00; 91-239, 11 eff. 1-1-00; 92-16, eff. 6-28-01.)

Section 15. The Structural Engineering Practice Act of 1989 is amended by changing Sections 4, 5, 6, 7, 8, 9, 10, 11, 14, 16, 18, 19, 20, 20.5, 21, 22, 23, 24, 26, 27, 28 and 31 and by adding Section 4.5 as follows:

16 (225 ILCS 340/4) (from Ch. 111, par. 6604)

17 (Section scheduled to be repealed on January 1, 2010)

18 Sec. 4. In this Act:

19 <u>(a) "Address of record" means the designated address</u> 20 <u>recorded by the Department in the applicant's or licensee's</u> 21 <u>application file or license file maintained by the Department's</u> 22 <u>licensure maintenance unit. It is the duty of the applicant or</u> 23 <u>licensee to inform the Department of any change of address, and</u> 24 <u>such changes must be made either through the Department's</u> SB0122 Enrolled - 45 - LRB096 02999 ASK 14724 b

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website or by directly contacting the Department.

2 (b) (a) "Department" means the Department of <u>Financial and</u>
3 Professional Regulation.

4 <u>(c)</u> (b) <u>"Secretary"</u> <u>"Director"</u> means the <u>Secretary</u> 5 <u>Director</u> of the Department of <u>Financial and</u> Professional 6 Regulation.

7 (d) (c) "Board" means the Structural Engineering Board
8 appointed by the <u>Secretary</u> Director.

9 <u>(e)</u> (d) "Negligence in the practice of structural 10 engineering" means the failure to exercise that degree of 11 reasonable professional skill, judgment and diligence normally 12 rendered by structural engineers in the practice of structural 13 engineering.

14 <u>(f)</u> (c) "Structural engineer intern" means a person who is 15 a candidate for licensure as a structural engineer and who has 16 been enrolled as a structural engineer intern.

17 (g) (f) "Structural engineer" means a person licensed under 18 the laws of the State of Illinois to practice structural 19 engineering.

20 (Source: P.A. 91-91, eff. 1-1-00.)

21 (225 ILCS 340/4.5 new)

22 <u>Sec. 4.5. References to Department or Director of</u> 23 <u>Professional Regulation. References in this Act (i) to the</u> 24 <u>Department of Professional Regulation are deemed, in</u> 25 <u>appropriate contexts, to be references to the Department of</u> SB0122 Enrolled - 46 - LRB096 02999 ASK 14724 b

Financial and Professional Regulation and (ii) to the Director of Professional Regulation are deemed, in appropriate contexts, to be references to the Secretary of Financial and Professional Regulation.

5 (225 ILCS 340/5) (from Ch. 111, par. 6605)

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(Section scheduled to be repealed on January 1, 2010)

7 Sec. 5. A person shall be regarded as practicing structural 8 engineering within the meaning of this Act who is engaged in the design, analysis, or supervision designing or supervising 9 10 of the construction, enlargement or alteration of structures, 11 or any part thereof, for others, to be constructed by persons 12 other than himself. Structures within the meaning of this Act 13 are all structures having as essential features foundations, 14 columns, girders, trusses, arches or and beams, with or without 15 other parts, and in which safe design and construction require 16 that loads and stresses must be computed and the size and strength of parts determined by mathematical calculations 17 based upon scientific principles and engineering data. A person 18 19 shall also be regarded as practicing structural engineering 20 within the meaning of this Act who is engaged as a principal in 21 the design, analysis, or supervision designing and supervision 22 of the construction of structures or of the structural part of edifices designed solely for the generation of electricity; or 23 for the hoisting, cleaning, sizing or storing of coal, cement, 24 25 sand, grain, gravel or similar materials; elevators;

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manufacturing plants; docks; bridges; blast furnaces; rolling 1 and reservoirs; 2 mills; gas producers smelters; dams; 3 reservoirs; waterworks; sanitary works as applied to the purification of water; plants for waste and sewage disposal; 4 5 round houses for locomotives; railroad shops; pumping or power stations for drainage districts; or power houses, even though 6 7 such structures may come within the definition of "buildings" as defined in any Act in force in this State relating to the 8 9 regulation of the practice of architecture.

10 (Source: P.A. 86-711.)

11 (225 ILCS 340/6) (from Ch. 111, par. 6606)

12 (Section scheduled to be repealed on January 1, 2010)

Sec. 6. The Department of <u>Financial and</u> Professional Regulation shall exercise the following functions, powers and duties subject to the provisions of this Act:

16 (1) <u>To conduct</u> Conduct examinations to ascertain the 17 qualifications and fitness of applicants for licensure as 18 licensed structural engineers, and pass upon the 19 qualifications and fitness of applicants for licensure by 20 endorsement.

21 (2) <u>To prescribe</u> Prescribe rules for a method of
 22 examination of candidates.

23 (3) <u>To prescribe rules to establish what constitutes a</u>
 24 <u>structural engineering or related science curriculum, to</u>
 25 <u>determine if a specific curriculum qualifies as a</u>

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structural engineering or related science curriculum, and 1 2 to terminate the Department's approval of any curriculum as 3 a structural engineering or related science curriculum for non-compliance with such rules. Prescribe rules defining 4 5 what shall constitute a school, college or university or 6 department of a university, or other institution, 7 reputable and in good standing, and to determine the 8 reputability and good standing of a school, college 9 other institution reputable and in good standing by 10 reference to a compliance with such rules; provided that no 11 school, college or university, or department 12 university or other institution that refuses admittance to applicants, solely on account of race, color, creed, 13 14 religion, physical or mental handicap unrelated 15 ability, or national origin shall be considered reputable 16 and in good standing.

17 (3.5) <u>To register</u> Register corporations, partnerships, 18 professional service corporations, limited liability 19 companies, and sole proprietorships for the practice of 20 structural engineering and issue a license to those who 21 qualify.

(4) <u>To investigate</u> <u>Investigate</u> complaints, <u>to</u> conduct
oral interviews, disciplinary conferences, and formal
evidentiary hearings on proceedings to refuse to issue,
renew or restore, or to suspend or revoke a license, or to
place on probation or reprimand a licensee for reasons set

1 forth in Section 20 of this Act.

2 (5) <u>To formulate</u> Formulate rules necessary to carry out
3 the provisions of this Act.

To maintain Maintain membership in a national 4 (6) 5 organization that provides an acceptable structural 6 engineering examination and participate in activities of 7 the organization by designation of individuals for the 8 various classifications of membership and the appointment 9 of delegates for attendance at regional and national 10 meetings of the organization. All costs associated with 11 membership and attendance of such delegates to any national 12 meetings may be funded from the Design Professionals 13 Administration and Investigation Fund.

14 (7) To review such applicant qualifications to sit for
 15 the examination or for licensure that the Board designates
 16 pursuant to Section 8 of this Act.

17 Prior to issuance of any final decision or order that deviates from any report or recommendation of the Board 18 relating to the qualification of applicants, discipline of 19 20 licensees or registrants, or promulgation of rules, the 21 Secretary Director shall notify the Board and the Secretary of 22 State in writing with an explanation of any such deviation and 23 provide a reasonable time for the Board to submit written 24 comments to the Secretary Director regarding the proposed 25 action. In the event that the Board fails or declines to submit such written comments within 30 days of said notification, the 26

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<u>Secretary</u> Director may issue a final decision or order
 consistent with the Secretary's Director's original decision.

None of these functions, powers or duties shall be
exercised by the Department of Professional Regulation except
upon the action and report in writing of the Board.

6 Whenever the Secretary is not satisfied that substantial 7 justice has been done in an examination, the Secretary may 8 order a reexamination by the same or other examiners.

9 (Source: P.A. 91-91, eff. 1-1-00.)

10 (225 ILCS 340/7) (from Ch. 111, par. 6607)

11 (Section scheduled to be repealed on January 1, 2010)

12 Sec. 7. The Secretary Director shall appoint a Structural Engineering Board, which shall consist of 7 6 members. Six Five 13 14 members shall be Illinois licensed structural engineers, who 15 have been engaged in the practice of structural engineering for 16 a minimum of 10 years, and one shall be a public member. The public member shall be a voting member and shall not hold a 17 license as an architect, professional engineer, structural 18 19 engineer or land surveyor.

20 Members shall serve 5 year terms and until their successors 21 are appointed and qualified.

In making the designation of persons to act, the <u>Secretary</u> Director shall give due consideration to recommendations by members of the profession and by organizations of the structural engineering profession. SB0122 Enrolled - 51 - LRB096 02999 ASK 14724 b

The membership of the Board should reasonably reflect
 representation from the geographic areas in this State.

No member shall be reappointed to the Board for a term which would cause his or her continuous service on the Board to be longer than <u>15</u> 14 successive years <u>in a lifetime</u>. Service prior to the effective date of this Act shall not be considered in calculating length of service.

Appointments to fill vacancies shall be made in the same manner as original appointments, for the unexpired portion of the vacated term. Initial terms under this Act shall begin upon the expiration of the terms of Committee members appointed under The Illinois Structural Engineering Act.

Persons holding office as members of the Board under this Act on the effective date of this Act shall serve as members of the Board under this Act until the expiration of the term for which they were appointed and until their successors are appointed and qualified under this Act.

18 <u>Four members</u> A quorum of the Board shall <u>constitute a</u> 19 <u>quorum</u> consist of a majority of Board members appointed. A 20 majority of the quorum is required for Board decisions.

The <u>Secretary</u> Director may terminate the appointment of any member for cause which in the opinion of the <u>Secretary</u> Director reasonably justifies such termination, which may include, but is not limited to, a Board member who does not attend 2 consecutive meetings.

26 Notice of proposed rulemaking shall be transmitted to the

Board and the Department shall review the response of the Board and any recommendations made therein. The Department may, at any time, seek the expert advice and knowledge of the Board on any matter relating to the administration or enforcement of this Act.

6 Members of the Board shall be immune from suit in any 7 action based upon any disciplinary proceedings or other 8 activities performed in good faith as members of the Board.

9 <u>Each member of the Board may receive compensation as</u> 10 <u>determined by the Secretary</u> Whenever the Director is not 11 satisfied that substantial justice has been done in an 12 examination, the Director may order a reexamination by the same 13 or other examiners.

14 (Source: P.A. 91-91, eff. 1-1-00; 92-237, eff. 8-3-01.)

15 (225 ILCS 340/8) (from Ch. 111, par. 6608)

16 (Section scheduled to be repealed on January 1, 2010)
17 Sec. 8. The Board has the following powers and duties:

18 (a) The Board shall hold at least 3 regular meetings each19 year;

20 (b) The Board shall annually elect a Chairperson and a Vice 21 Chairperson, both of whom shall be Illinois licensed structural 22 engineers;

(c) The Board, upon request by the Department, may make a
 curriculum evaluation to determine if courses conform to
 requirements of approved engineering programs;

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(d) The Department may at any time seek the expert advice
 and knowledge of the Board on any matter relating to the
 enforcement of this Act;

4 (e) The Board may appoint a subcommittee to serve as a
5 Complaint Committee to recommend the disposition of case files
6 according to procedures established by rule;

7 (f) The Board shall assist the Department in conducting
8 oral interviews, disciplinary conferences, <u>informal</u>
9 <u>conferences</u>, and formal evidentiary hearings;

10 (q) The Board shall review applicant qualifications to sit 11 for the examination or for licensure and shall make 12 recommendations to the Department except for those applicant qualifications that the Board designates as routinely 13 14 acceptable, and the Department shall review the Board's 15 recommendations on applicant qualifications; and

16 (h) The Board may shall submit written comments to the 17 Secretary Director within a reasonable time 30 days from notification of any final decision or order from the Secretary 18 19 Director that deviates from any report or recommendation of the 20 Board relating to the qualification of applicants, discipline 21 of licensees or registrants, unlicensed practice, or 22 promulgation of rules.

23 (Source: P.A. 91-91, eff. 1-1-00.)

24 (225 ILCS 340/9) (from Ch. 111, par. 6609)

25 (Section scheduled to be repealed on January 1, 2010)

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Sec. 9. Applications for original licenses shall be made to 1 2 the Department in writing on forms prescribed by the Department and shall be accompanied by the required fee, which is not 3 refundable. The application shall require such information as 4 5 in the judgment of the Department will enable the Department to pass on the qualifications of the applicant for a license. The 6 7 Department may require an applicant, at the applicant's expense, to have an evaluation of the applicant's education in 8 9 a foreign county by a nationally recognized evaluation service 10 educational body approved by the Department Board in accordance 11 with rules prescribed by the Department.

An applicant who graduated from a structural engineering program outside the United States or its territories and whose first language is not English shall submit certification of passage of the Test of English as a Foreign Language (TOEFL) and <u>a test of spoken English</u> the Test of Spoken English (TSE) as defined by rule.

18 (Source: P.A. 91-91, eff. 1-1-00.)

19 (225 ILCS 340/10) (from Ch. 111, par. 6610)

20 (Section scheduled to be repealed on January 1, 2010)

Sec. 10. The Department shall authorize examinations of applicants as structural engineers at such times and places as it may determine. The examination of applicants shall be of a character to give a fair test of the qualifications of the applicant to practice structural engineering. SB0122 Enrolled - 55 - LRB096 02999 ASK 14724 b

Applicants for examination as structural engineers are 1 2 required to pay, either to the Department or the designated 3 testing service, a fee covering the cost of providing the examination. Failure to appear for the examination on the 4 5 scheduled date, at the time and place specified, after the applicant's application for examination has been received and 6 7 acknowledged by the Department or the designated testing 8 service, shall result in the forfeiture of the examination fee.

9 If an applicant neglects, fails without an approved excuse 10 or refuses to take the next available examination offered for 11 licensure under this Act, the fee paid by the applicant shall 12 be forfeited to the Department and the application denied. If an applicant fails to pass an examination for a licensure under 13 this Act within 3 years after filing the application, the 14 application shall be denied. However, such applicant may 15 16 thereafter make a new application for examination accompanied 17 by the required fee, and must furnish proof of meeting the qualifications for examination in effect at the time of new 18 19 application.

20 (Source: P.A. 91-91, eff. 1-1-00.)

21 (225 ILCS 340/11) (from Ch. 111, par. 6611)
22 (Section scheduled to be repealed on January 1, 2010)
23 Sec. 11. A person is qualified for enrollment as a
24 structural engineer intern or licensure as a structural
25 engineer if that person has applied in writing in form and

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1 substance satisfactory to the Department and:

2 (a) The applicant is of good moral character. In 3 determining moral character under this Section, the Department 4 may take into consideration whether the applicant has engaged 5 in conduct or actions that would constitute grounds for 6 discipline under this Act.

7 (a-5) The applicant, if a structural engineer intern
8 applicant, has met the minimum standards for enrollment as a
9 structural engineer intern, which are as follows:

10 (1) is a graduate of an approved <u>structural</u> engineering 11 curriculum of at least 4 years meeting the requirements as 12 set forth by rule and passes a nominal 8-hour written 13 examination in the fundamentals of engineering; or

14 (2) is a graduate of a related science curriculum of at
15 least 4 years meeting the requirements as set forth by rule
16 and passes a nominal 8-hour written examination in the
17 fundamentals of engineering.

(b) The applicant, if a structural engineer applicant, has met the minimum standards for licensure as a structural engineer, which are as follows:

(1) is a graduate of an approved <u>structural</u> engineering curriculum of at least 4 years meeting the requirements as set forth by rule and submits evidence acceptable to the Department of an additional 4 years or more of experience in structural engineering work of a grade and character which indicates that the individual may be competent to SB0122 Enrolled - 57 - LRB096 02999 ASK 14724 b

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practice structural engineering as set forth by rule; or

2 (2) is a graduate of an approved related science curriculum of at least 4 years meeting the requirements as 3 set forth by rule who submits evidence acceptable to the 4 5 Department of an additional 8 years or more of progressive experience in structural engineering work of a grade and 6 7 character which indicates that the individual may be 8 competent to practice structural engineering as set forth 9 by rule.

(c) The applicant, if a structural engineer applicant, has
 passed an examination <u>authorized</u> conducted by the Department <u>as</u>
 <u>determined by rule</u> to determine his or her fitness to receive a
 license as a structural engineer <u>Structural Engineer</u>.

14 (Source: P.A. 91-91, eff. 1-1-00.)

15 (225 ILCS 340/14) (from Ch. 111, par. 6614)

16 (Section scheduled to be repealed on January 1, 2010)

Sec. 14. The expiration date and renewal period for each 17 license issued under this Act shall be set by rule. The holder 18 19 of a license may renew the license during the month preceding its expiration date by paying the required fee. Beginning 20 21 January 1, 1996, the holder of a license may renew the license during the month preceding its expiration by paying 22 the required fee and submitting satisfactory evidence of knowledge 23 24 in seismic design.

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A licensed structural engineer who has permitted his

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license to expire or who placed his license on inactive status 1 2 may have his license restored by making application to the 3 Department and filing proof acceptable to the Department of fitness to have the license restored, including sworn evidence 4 5 certifying to active practice in another jurisdiction 6 satisfactory to the Department and by submitting evidence of 7 knowledge in seismic design and by paying the required 8 restoration fee.

9 If the licensed structural engineer has not maintained an 10 active practice in another jurisdiction satisfactory to the 11 Department, the Board shall determine, by an evaluation program 12 established by rule, that person's fitness to resume active 13 status and may require the licensed structural engineer to 14 complete an examination.

Any licensed structural engineer whose license has been expired for more than 5 years may have his license restored by making application to the Department and filing proof acceptable to the Department of fitness to have the license restored, including sworn evidence certifying to active practice in another jurisdiction and by paying the required restoration fee.

However, any licensed structural engineer whose license has expired while such engineer was engaged (1) in federal service on active duty with the Army of the United States, the United States Navy, the Marine Corps, the Air Force, the Coast Guard, or the State Militia called into the service or training SB0122 Enrolled - 59 - LRB096 02999 ASK 14724 b

of the United States of America, (2) in training or 1 or 2 the United education under the supervision of States preliminary to induction into the military service, may have 3 his license restored or reinstated without paying any lapsed 4 5 renewal fees, reinstatement fee or restoration fee or passing 6 any examination, if within 2 years after termination of such service, training or education other than by dishonorable 7 8 discharge such person furnishes the Department with an 9 affidavit to the effect that he has been so engaged and that 10 the service, training or education has been so terminated.

11 (Source: P.A. 86-711; 87-1237.)

12 (225 ILCS 340/16) (from Ch. 111, par. 6616)

13 (Section scheduled to be repealed on January 1, 2010)

14 Sec. 16. The Department may, in its discretion, license as 15 a structural engineer upon payment of the required fee, an 16 applicant who is a structural engineer licensed under the laws of another state or territory, or of another country, if the 17 18 requirements for licensure in the state or τ territory or 19 country were, at the date of licensure, substantially 20 equivalent to the requirements in force in this State on that 21 date.

Applicants have 3 years from the date of application to complete the application process. If the process has not been completed in 3 years, the application shall be denied, the fee forfeited and the applicant must reapply and meet the

SB0122 Enrolled - 60 - LRB096 02999 ASK 14724 b requirements in effect at the time of reapplication. 1 (Source: P.A. 91-91, eff. 1-1-00.) 2 3 (225 ILCS 340/18) (from Ch. 111, par. 6618) 4 (Section scheduled to be repealed on January 1, 2010) 5 Sec. 18. A roster showing the names and addresses of all 6 structural engineers licensed under this Act shall be prepared 7 by the Department each year. This roster shall be available 8 upon written request and payment of the required fee. 9 (Source: P.A. 86-711.)

10 (225 ILCS 340/19) (from Ch. 111, par. 6619)

11 (Section scheduled to be repealed on January 1, 2010)

Sec. 19. Professional design firm registration;
conditions.

(a) Nothing in this Act prohibits the formation, under the
 provisions of the Professional Service Corporation Act, as
 amended, of a corporation to practice structural engineering.

17 business, including Professional Service Anv а 18 Corporation, that includes within its stated purposes, practices, or holds itself out as available to practice, 19 20 structural engineering, shall registered with be the 21 Department pursuant to the provisions of this Section.

Any sole proprietorship not owned and operated by an Illinois licensed design professional licensed under this Act shall be prohibited from offering structural engineering SB0122 Enrolled - 61 - LRB096 02999 ASK 14724 b

1 public. "Illinois services to the licensed design professional" means a person who holds an active license as a 2 structural engineer under this Act, as an architect under the 3 Illinois Architecture Practice Act of 1989, or 4 as а 5 professional engineer under the Professional Engineering 6 Practice Act of 1989. Any sole proprietorship owned and operated by a structural engineer with an active license issued 7 8 under this Act and conducting or transacting such business 9 under an assumed name in accordance with the provisions of the 10 Assumed Business Name Act shall comply with the registration 11 requirements of а professional design firm. Any sole 12 proprietorship owned and operated by a structural engineer with 13 an active license issued under this Act and conducting or transacting such business under the real name of the sole 14 15 proprietor is exempt from the registration requirements of a 16 professional design firm.

Any partnership which includes within its purpose, practices, or holds itself out as available to practice structural engineering, shall register with the Department pursuant to the provisions set forth in this Section.

(b) Any professional design firm seeking to be registered under the provisions of this Section shall not be registered unless <u>at least one</u> a managing agent in charge of structural engineering activities in this State is designated by the professional design firm. A <u>designated</u> managing agent must at all times maintain a valid, active license to practice SB0122 Enrolled - 62 - LRB096 02999 ASK 14724 b

1 structural engineering in Illinois.

2 No individual whose license to practice structural 3 engineering in this State is currently in a suspended or 4 revoked status shall act as a managing agent for a professional 5 design firm.

6 (c) No business shall practice or hold itself out as 7 available to practice structural engineering until it is 8 registered with the Department.

9 (d) Any business seeking to be registered under this 10 Section shall apply for a certificate of registration on a form 11 provided by the Department and shall provide such information 12 as requested by the Department, which shall include but shall 13 not be limited to:

(1) the name and license number of the person 14 15 designated as the managing agent in responsible charge of 16 the practice of structural engineering in Illinois. In the 17 case of a corporation, the corporation shall also submit a certified copy of the resolution by the board of directors 18 19 designating the managing agent. In the case of a limited 20 liability company, the company shall submit a certified copy of either its articles of organization or operating 21 22 agreement designating the managing agent;

(2) the names and license numbers of the directors, in the case of a corporation, the members, in the case of a limited liability company, or general partners, in the case of a partnership; SB0122 Enrolled

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(3) a list of all locations at which the professional
 design firm provides structural engineering services to
 the public; and

4 (4) A list of all assumed names of the business.
5 Nothing in this Section shall be construed to exempt a
6 professional design firm, sole proprietorship, or
7 professional service corporation from compliance with the
8 requirements of the Assumed Business Name Act.

9 It shall be the responsibility of the professional design 10 firm to provide the Department notice, in writing, of any 11 changes in the information requested on the application.

12 (e) In the event a managing agent is terminated or 13 terminates his status as managing agent of the professional 14 design firm, such managing agent and professional design firm 15 shall notify the Department of this fact in writing, by 16 certified mail, within 10 business days of such termination.

17 Thereafter, the professional design firm, if it has so informed the Department, shall have 30 days in which to notify 18 the Department of the name and registration number of a newly 19 20 designated managing agent. If a corporation, the corporation shall also submit a certified copy of a resolution by the board 21 22 of directors designating the new managing agent. If a limited 23 liability company, the company shall also submit a certified copy of either its articles of organization or operating 24 25 agreement designating the new managing agent. The Department 26 may, upon good cause shown, extend the original 30 day period.

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If the professional design firm fails to notify the 1 2 Department in writing by certified mail within the specified 3 time, the registration shall be terminated without prior hearing. Notification of termination shall be sent to the 4 5 address of record by certified mail to the last known address of the business. If the professional design firm continues to 6 7 operate and offer structural engineering services after the 8 termination, the Department may seek prosecution under 9 Sections 20, 34, and 34a of this Act for the unlicensed 10 practice of structural engineering.

11 (f) No professional design firm shall be relieved of 12 responsibility for the conduct or acts of its agents, 13 employees, members, managers, or officers by reason of its compliance with this Section, nor shall any individual 14 15 practicing structural engineering be relieved of the 16 responsibility for professional services performed by reason 17 individual's employment or relationship with a of the professional design firm registered under this Section. 18

(g) Disciplinary action against a professional design firm 19 20 registered under this Section shall be administered in the same 21 manner and on the same grounds as disciplinary action against a 22 licensed structural engineer. All disciplinary action taken or 23 pending against a corporation or partnership before the effective date of this amendatory Act of 1993 shall be 24 25 continued or remain in effect without the Department filing 26 separate actions.

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1	It is unlawful for any person to practice, or to attempt to
2	practice, structural engineering, without being licensed under
3	this Act. It is unlawful for any business not subject to the
4	sole proprietorship exemption to offer or provide structural
5	engineering services without active registration issued by the
6	Department as a professional design firm or professional
7	service corporation.
8	(Source: P.A. 91-91, eff. 1-1-00.)
9	(225 ILCS 340/20) (from Ch. 111, par. 6620)
10	(Section scheduled to be repealed on January 1, 2010)
11	Sec. 20. Refusal; revocation; suspension.
12	(a) The Department may refuse to issue or renew, or may
13	revoke a license, or may suspend, place on probation, fine, or
14	take any disciplinary or non-disciplinary action as the
15	Department may deem proper, including a fine not to exceed
16	\$10,000 for each violation, with regard to any licensee for any
17	one or combination of the following reasons: The Department
18	may, singularly or in combination, refuse to issue, renew, or
19	restore, or may suspend or revoke any license or certificate of
20	registration, or may place on probation, reprimand, or fine,
21	with a civil penalty not to exceed \$10,000 for each violation,
22	any person, corporation, partnership, or professional design
23	firm registered or licensed under this Act for any of the
24	following reasons:
25	(1) Material misstatement in furnishing information to

25 (1) Material misstatement in furnishing information to

1 the Department;

2 (2) Negligence, incompetence or misconduct in the
3 practice of structural engineering;

4 (3) Making any misrepresentation for the purpose of
 5 obtaining licensure;

6 (4) The affixing of a licensed structural engineer's 7 seal to any plans, specifications or drawings which have 8 not been prepared by or under the immediate personal 9 supervision of that licensed structural engineer or 10 reviewed as provided in this Act;

11 (5) Conviction of, or entry of a plea of guilty or nolo 12 contendere to, any crime that is a felony under the laws of 13 the United States or of any state or territory thereof, or 14 that is a misdemeanor an essential element of which is 15 dishonesty, or any crime that is directly related to the 16 practice of the profession Conviction of any crime under 17 the laws of the United States, or any state or territory thereof, which is a felony, whether related to the practice 18 19 of Structural Engineering or not, or conviction of any 20 crime, whether a felony, misdemeanor, or otherwise, an 21 essential element of which is dishonesty, or which is 22 directly related to the practice of structural 23 engineering;

24 (6) Making a statement of compliance pursuant to the
25 Environmental Barriers Act, as now or hereafter amended,
26 that a plan for construction or alteration of a public

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1 facility or for construction of a multi-story housing unit 2 is in compliance with the Environmental Barriers Act when 3 such plan is not in compliance;

4

5

(7) Failure to comply with any of the provisions of this Act or its rules;

6 (8) Aiding or assisting another person in violating any
7 provision of this Act or its rules;

8 (9) Engaging in dishonorable, unethical or 9 unprofessional conduct of a character likely to deceive, 10 defraud or harm the public, as defined by rule;

(10) <u>Habitual or excessive use or addiction to alcohol</u>, <u>narcotics</u>, <u>stimulants</u>, <u>or any other chemical agent or drug</u> <u>that results in the inability to practice with reasonable</u> <u>judgment</u>, <u>skill</u>, <u>or safety</u> <u>Habitual intoxication or</u> <u>addiction to the use of drugs</u>;

16 (11) <u>Failure of</u> A finding by the Board that an 17 applicant or licensee has failed to pay a fine imposed by 18 the Department or a licensee whose license has been placed 19 on probationary status has violated the terms of probation;

20 (12) Discipline by another state, territory, foreign country, the District of Columbia, the United States 21 22 government, or any other governmental agency, if at least 23 the grounds for discipline is the of same one or 24 substantially equivalent to those set forth in this 25 Section;

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(13) Failure to provide information in response to a

1 2 written request made by the Department within 30 days after the receipt of such written request; or

3 (14) Physical illness, <u>including but not limited to</u>, 4 <u>deterioration through the aging process or loss of motor</u> 5 <u>skill, mental illness, or disability</u> which results in the 6 inability to practice the profession of structural 7 engineering with reasonable judgment, skill, or safety.; 8 or

9 (a-5) In enforcing this Section, the Department or Board, 10 upon a showing of a possible violation, may order a licensee or 11 applicant to submit to a mental or physical examination, or 12 both, at the expense of the Department. The Department or Board may order the examining physician to present testimony 13 14 concerning his or her examination of the licensee or applicant. No information shall be excluded by reason of any common law or 15 16 statutory privilege relating to communications between the 17 licensee or applicant and the examining physician. The examining physicians shall be specifically designated by the 18 19 Board or Department. The licensee or applicant may have, at his 20 or her own expense, another physician of his or her choice present during all aspects of the examination. Failure of a 21 22 licensee or applicant to submit to any such examination when 23 directed, without reasonable cause as defined by rule, shall be 24 grounds for either the immediate suspension of his or her 25 license or immediate denial of his or her application.

26 If the Secretary immediately suspends the license of a

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1 licensee for his or her failure to submit to a mental or 2 physical examination when directed, a hearing must be convened 3 by the Department within 15 days after the suspension and 4 completed without appreciable delay.

5 If the Secretary otherwise suspends a license pursuant to the results of the licensee's mental or physical examination, a 6 7 hearing must be convened by the Department within 15 days after the suspension and completed without appreciable delay. The 8 9 Department and Board shall have the authority to review the licensee's record of treatment and counseling regarding the 10 11 relevant impairment or impairments to the extent permitted by 12 applicable federal statutes and regulations safeguarding the 13 confidentiality of medical records.

14 Any licensee suspended under this subsection (a-5) shall be afforded an opportunity to demonstrate to the Department or 15 16 Board that he or she can resume practice in compliance with the 17 acceptable and prevailing standards under the provisions of his or her license. In enforcing this Section, the Board upon a 18 19 showing of a possible violation may compel a person licensed to 20 practice under this Act, or who has applied for licensure or 21 certification pursuant to this Act, to submit to a mental or 22 physical examination, or both, as required by and at the expense of the Department. The examining physicians shall 23 those specifically designated by the Board. The Board or 24 the 25 Department may order the examining physician to present 26 testimony concerning this mental or physical examination of the

licensee or applicant. No information shall be excluded by 1 2 reason of any common law or statutory privilege relating to communications between the licensee or applicant and the 3 examining physician. The person to be examined may have, at his 4 5 or her own expense, another physician of his or her choice present during all aspects of the examination. Failure of any 6 7 person to submit to a mental or physical examination, when directed, shall be grounds for suspension of a license until 8 the person submits to the examination if the Board finds, after 9 10 notice and hearing, that the refusal to submit to the 11 examination was without reasonable cause.

12 If the Board finds a person unable to practice because of the reasons set forth in this Section, the Board may require 13 that person to submit to care, counseling, or treatment by 14 physicians approved or designated by the Board as a condition, 15 16 term, or restriction for continued, reinstated, or renewed 17 licensure to practice; or, in lieu of care, counseling, or treatment, the Board may recommend to the Department to file a 18 complaint to immediately suspend, revoke, or otherwise 19 20 discipline the license of the person. Any person whose license was granted, continued, reinstated, renewed, disciplined, or 21 22 supervised subject to such terms, conditions, or restrictions and who fails to comply with such terms, conditions, 23 or restrictions shall be referred to the Director for a 24 determination as to whether the person shall have his or her 25 26 license suspended immediately, pending a hearing by the Board.

(b) The determination by a circuit court that a licensee is 1 2 subject to involuntary admission or judicial admission, as provided in the Mental Health and Developmental Disabilities 3 4 Code, operates as an automatic suspension. Such suspension will 5 end only upon a finding by a court that the patient is no 6 longer subject to involuntary admission or judicial admission, 7 the issuance of an order so finding and discharging the patient, and the recommendation of the Board to the Secretary 8 9 Director that the licensee be allowed to resume practice.

10 <u>(c) The Department shall deny a license or renewal</u> 11 <u>authorized by this Act to a person who has defaulted on an</u> 12 <u>educational loan or scholarship provided or guaranteed by the</u> 13 <u>Illinois Student Assistance Commission or any governmental</u> 14 <u>agency of this State in accordance with subdivision (a)(5) of</u> 15 <u>Section 15 of the Department of Professional Regulation Law of</u> 16 <u>the Civil Administrative Code of Illinois.</u>

17 (d) In cases where the Department of Healthcare and Family Services (formerly the Department of Public Aid) has previously 18 19 determined that a licensee or a potential licensee is more than 20 30 days delinquent in the payment of child support and has 21 subsequently certified the delinquency to the Department, the 22 Department shall refuse to issue or renew or shall revoke or 23 suspend that person's license or shall take other disciplinary 24 action against that person based solely upon the certification 25 of delinquency made by the Department of Healthcare and Family Services in accordance with subdivision (a) (5) of Section 15 of 26

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<u>the Department of Professional Regulation Law of the Civil</u>
 Administrative Code of Illinois.

3 The Department shall deny a license or renewal (e) authorized by this Act to a person who has failed to file a 4 return, to pay the tax, penalty, or interest shown in a filed 5 return, or to pay any final assessment of tax, penalty, or 6 7 interest as required by any tax Act administered by the Department of Revenue, until such time as the requirements of 8 9 the tax Act are satisfied in accordance with subsection (q) of 10 Section 15 of the Department of Professional Regulation Law of 11 the Civil Administrative Code of Illinois.

12 The Department may refuse to issue, or may suspend, the license of any person who fails to file a return, or to pay 13 the tax, penalty or interest shown in a filed return, or to pay any 14 15 final assessment of tax, penalty or interest, as required by 16 any tax Act administered by the Illinois Department of Revenue, 17 until such time as the requirements of such tax Act are 18 satisfied.

19 (f) Persons who assist the Department as consultants or 20 expert witnesses in the investigation or prosecution of alleged 21 violations of the Act, licensure matters, restoration 22 proceedings, or criminal prosecutions, are not liable for 23 damages in any civil action or proceeding as a result of such assistance, except upon proof of actual malice. The Attorney 24 25 General of the State of Illinois shall defend such persons in 26 any such action or proceeding.

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1 (Source: P.A. 91-91, eff. 1-1-00.)

2 (225 ILCS 340/20.5)

3

(Section scheduled to be repealed on January 1, 2010)

4 Sec. 20.5. Unlicensed practice; violation; civil penalty.

5 (a) Any person who practices, offers to practice, attempts 6 to practice, or holds oneself out to practice structural 7 engineering without being licensed under this Act shall, in 8 addition to any other penalty provided by law, pay a civil 9 penalty to the Department in an amount not to exceed \$10,000 10 $\frac{55,000}{100}$ for each offense as determined by the Department. The 11 civil penalty shall be assessed by the Department after a 12 hearing is held in accordance with the provisions set forth in 13 this Act regarding the provision of a hearing for the 14 discipline of a licensee.

(b) The Department has the authority and power toinvestigate any and all unlicensed activity.

17 (c) The civil penalty shall be paid within 60 days after 18 the effective date of the order imposing the civil penalty. The 19 order shall constitute a judgment and may be filed and 20 execution had thereon in the same manner as any judgment from 21 any court of record.

22 (Source: P.A. 89-474, eff. 6-18-96.)

23 (225 ILCS 340/21) (from Ch. 111, par. 6621)

24 (Section scheduled to be repealed on January 1, 2010)

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Sec. 21. (a) If any person violates a provision of this 1 2 Act, the Secretary Director may, in the name of the People of the State of Illinois, through the Attorney General of the 3 State of Illinois, petition for an order enjoining such 4 5 violation or for an order enforcing compliance with this Act. Upon the filing of a verified petition in such court, the court 6 7 may issue a temporary restraining order, without notice or 8 bond, and may preliminarily and permanently enjoin such violation. If it is established that such person has violated 9 10 or is violating the injunction, the Court may punish the 11 offender for contempt of court. Proceedings under this Section 12 are in addition to, and not in lieu of, all other remedies and penalties provided by this Act. 13

(b) If any person practices as a licensed structural engineer or holds himself out as a structural engineer without being licensed under the provisions of this Act, then any licensed structural engineer, any interested party or any person injured thereby may, in addition to the <u>Secretary</u> <u>Director</u>, petition for relief as provided in subsection (a) of this Section.

(c) Whenever in the opinion of the Department any person violates any provision of this Act, the Department may issue a rule to show cause why an order to cease and desist should not be entered against that person. The rule shall clearly set forth the grounds relied upon by the Department and shall provide a period of 7 days from the date of the rule to file an SB0122 Enrolled - 75 - LRB096 02999 ASK 14724 b

1 answer to the satisfaction of the Department. Failure to answer
2 to the satisfaction of the Department shall cause an order to
3 cease and desist to be issued immediately.

4 (Source: P.A. 86-711.)

5 (225 ILCS 340/22) (from Ch. 111, par. 6622)

6 (Section scheduled to be repealed on January 1, 2010)

7 22. Investigation; notice. The Sec. Department may 8 investigate the actions of any applicant or any person or 9 entity holding or claiming to hold a license or registration or 10 any person or entity practicing, or offering to practice 11 engineering. Before the initiation structural of an 12 investigation the matter shall be reviewed by a subcommittee of 13 the Board according to procedures established by rule for the 14 Complaint Committee. The Department shall, before refusing to 15 issue, restore or renew a license or registration, or 16 discipline a licensee or registrant, at least 30 days prior to the date set for the hearing, notify in writing the applicant 17 for, or holder of, a license or registration of the nature of 18 the charges and that a hearing will be held on the date 19 20 designated. The Department shall direct the applicant or 21 licensee or registrant or entity to file a written answer to 22 the Board under oath within 20 days after the service of the 23 notice and inform the applicant or licensee or registrant or 24 entity that failure to file an answer will result in default 25 being taken against the applicant or entity or licensee or

registrant and that the license or certificate may be 1 2 suspended, revoked, placed on probationary status, or other 3 disciplinary action may be taken, including limiting the scope, nature or extent of practice, as the Secretary Director may 4 5 deem proper. Written notice may be served by personal delivery or certified or registered mail to the respondent at the 6 7 address of record his last notification to the Department. In 8 case the person or entity fails to file an answer after 9 receiving notice, his or her license or certificate may, in the 10 discretion of the Department, be suspended, revoked, or placed 11 on probationary status, or the Department may take whatever 12 disciplinary action deemed proper, including limiting the scope, nature, or extent of the practice or the imposition of a 13 14 fine, without a hearing, if the act or acts charged constitute 15 sufficient grounds for such action under this Act. At the time 16 and place fixed in the notice, the Board shall proceed to hear 17 the charges and the parties or their counsel shall be accorded ample opportunity to present such statements, testimony, 18 19 evidence and argument as may be pertinent to the charges or 20 their defense. The Board may continue a hearing from time to time. 21

22 (Source: P.A. 87-1031; 88-428.)

23 (225 ILCS 340/23) (from Ch. 111, par. 6623)

24 (Section scheduled to be repealed on January 1, 2010)

25 Sec. 23. Record; transcript. The Department, at its

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expense, shall preserve a record of all proceedings at the 1 2 formal hearing of any case involving the refusal to issue, restore or renew a license or the discipline of a licensee. The 3 notice of hearing, complaint and all other documents in the 4 5 nature of pleadings and written motions filed in the proceedings, the transcript of testimony, the report of the 6 7 Board and the orders of the Department shall be the record of 8 the proceedings. The Department shall furnish a transcript of 9 the record to any person interested in the hearing upon payment 10 of the fee required under Section 2105 115 of the Department of 11 Professional Regulation Law (20 ILCS 2105/2105-115).

12 (Source: P.A. 91-239, eff. 1-1-00.)

13 (225 ILCS 340/24) (from Ch. 111, par. 6624)

14 (Section scheduled to be repealed on January 1, 2010) 15 Sec. 24. Subpoenas; depositions; oaths. The Department has 16 the power to subpoena documents, books, records or other materials and to bring before it any person and to take 17 18 testimony either orally or by deposition, or take written interrogatories, or any combination thereof, with the same fees 19 20 and mileage and in the same manner as is prescribed in civil 21 cases in the courts of this State. The Department has power to 22 subpoena and bring before it any person in this State and to take testimony either orally or by deposition, or both, with 23 24 the same fees and mileage and in the same manner as prescribed 25 by law in judicial proceedings in civil cases in circuit courts

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1 of this State.

The <u>Secretary</u>, the designated hearing officer Director, and any member of the Board designated by the Director shall each have <u>the</u> power to administer oaths to witnesses at any hearing which the Department is authorized by law to conduct, and any other oaths required or authorized in any Act administered by the Department.

8 (Source: P.A. 86-711.)

9 (225 ILCS 340/26) (from Ch. 111, par. 6626)

10 (Section scheduled to be repealed on January 1, 2010)

Sec. 26. At the conclusion of the hearing, the The Board 11 12 shall present to the Secretary Director its written report of 13 its findings and recommendations. A copy of the report shall be served upon the accused person, either personally or to the 14 15 address of record by certified or registered mail. The Board 16 may take into consideration in making its recommendations for discipline all facts and circumstances bearing upon 17 the reasonableness of the conduct of the respondent and 18 the potential for future harm to the public, including but not 19 20 limited to previous discipline by the Department, intent, 21 degree of harm to the public and likelihood of harm in the 22 future, any restitution made, and whether the incident or incidents complained of appear to be isolated or a pattern of 23 24 conduct. In making its recommendations for discipline, the 25 Board shall endeavor to ensure that the severity of the

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discipline recommended bears some reasonable relationship to 1 2 the severity of the violation. Within 20 days after such 3 service, the accused person may present to the Department a motion in writing for a rehearing, which shall specify the 4 5 particular grounds for rehearing. If the accused person orders 6 and pays for a transcript of the record as provided in this Section, the time elapsing after payment and before the 7 8 transcript is ready for delivery shall not be counted as part 9 of such 20 days. If no motion for rehearing is filed, then upon 10 the expiration of the time specified for filing the motion, or 11 if a motion for rehearing is denied, then upon such denial, the 12 Secretary Director may enter an order in accordance with 13 recommendations of the Board except as provided Section 8 of 14 this Act.

15 Whenever the Secretary Director is not satisfied that 16 substantial justice has been done, he may order a rehearing by 17 the same or another special board. At the expiration of the time specified for filing a motion for a rehearing, 18 the 19 Secretary Director has the right to take the action recommended 20 by the Board. Upon the suspension or revocation of his license, a licensee shall be required to surrender his license to the 21 22 Department, and upon his failure or refusal to do so, the 23 Department shall have the right to seize the same. (Source: P.A. 86-711.) 24

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(225 ILCS 340/27) (from Ch. 111, par. 6627)

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(Section scheduled to be repealed on January 1, 2010) 1 2 Sec. 27. Notwithstanding the provisions of Section 26 of 3 this Act, the Secretary Director shall have the authority to appoint any attorney duly licensed to practice law in the State 4 5 of Illinois to serve as the hearing officer in any action for discipline of a licensee. The Director shall notify the Board 6 of any such appointment. The hearing officer has full authority 7 8 to conduct the hearing. The Board has the right to have at 9 least one member present at any hearing conducted by such 10 hearing officer. The hearing officer shall report his findings 11 of fact, conclusions of law and recommendations to the Board 12 and the Secretary Director. The Board shall have 60 days from receipt of the report to review the report of the hearing 13 officer and present their findings of fact, conclusions of law 14 15 and recommendations to the Secretary Director. If the Board 16 fails to present its report within the 60 day period, the 17 Secretary Director shall issue an order based on the report of the hearing officer. If the Secretary Director disagrees in any 18 19 regard with the report of the Board or hearing officer, he may 20 issue an order in contravention thereof. The Secretary Director 21 shall notify provide a written explanation to the Board on any 22 such deviation, and shall specify with particularity the reasons for such action in the final order. 23

(Source: P.A. 86-711.) 24

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(225 ILCS 340/28) (from Ch. 111, par. 6628)

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(Section scheduled to be repealed on January 1, 2010) 1 2 Sec. 28. Order or certified copy; prima facie proof. An order or a certified copy thereof, over the seal of the 3 Department and purporting to be signed by the Secretary 4 5 Director, shall be prima facie proof that: 1. the signature is the genuine signature of 6 the 7 Secretary Director; 8 2. the Secretary Director is duly appointed and 9 qualified; and 10 3. the Board and the members thereof are qualified to 11 act. 12 Such proof may be rebutted. (Source: P.A. 91-357, eff. 7-29-99.) 13 14 (225 ILCS 340/31) (from Ch. 111, par. 6631) 15 (Section scheduled to be repealed on January 1, 2010) 16 Sec. 31. The Secretary Director may temporarily suspend the a structural engineer without 17 license of а hearing, 18 simultaneously with the institution of proceedings for a hearing provided for in Section 22 of this Act, if the 19 20 Secretary **Director** finds that evidence in his possession

21 indicates that a structural engineer's continuation in 22 practice would constitute an imminent danger to the public. In 23 the event that the <u>Secretary Director</u> temporarily suspends the 24 license of a structural engineer without a hearing, a hearing 25 by the Board must be commenced within 30 days after such

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1 2	suspension has occurred. (Source: P.A. 86-711.)						
3 4	(225 ILCS 305/15 rep.) Section 20. The Illinois	s Architect	ure Pra	actice	Act	of 1989)
5	is amended by repealing Sect	ion 15.					
6	(225 ILCS 340/13 rep.)						
7	Section 25. The Structur	al Engineer	ring Pr	actice	Act	of 1989)
8	is amended by repealing Sect	ion 13.					
9	Section 99. Effective	date. This	Act t	akes	effe	ct upon	L

10 becoming law.