96TH GENERAL ASSEMBLY

State of Illinois

2009 and 2010

HB5778

Introduced 2/9/2010, by Rep. Kevin A. McCarthy

SYNOPSIS AS INTRODUCED:

See Index

Amends the State Employees Group Insurance Act of 1971. Provides that eligibility to participate in the program of health benefits for community college benefit recipients and community college dependent beneficiaries shall be determined by the appropriate community college and the determination will be provided to the State Universities Retirement System (was, determined by the State Universities Retirement System). Provides that nothing in provisions concerning the program of health benefits for community college benefit recipients and community college dependent beneficiaries and contribution to the Community College Health Insurance Security Fund establishes a duty on the part of the State Universities Retirement System to certify, verify, audit, or otherwise ensure the appropriateness or adequacy of the information received from the community colleges. Amends the Illinois Pension Code. Requires that all pension funds and retirement systems established under the Code be administered in a manner required to satisfy the applicable qualification requirements for a qualified governmental plan, as provided by the U.S. Internal Revenue Code. Specifies that provisions concerning approval of travel or educational missions do not apply to expenses necessarily incurred in the State of Illinois for attending board or board committee meetings or for carrying out duties as a trustee of the retirement system, pension fund, or investment board. Makes changes concerning an annual report to the Governor identifying economic opportunity investments made by pension funds. Makes changes concerning the appointment and election of trustees of the Board of Trustees of the State Universities Retirement System. Effective immediately.

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FISCAL NOTE ACT MAY APPLY PENSION IMPACT NOTE ACT MAY APPLY

1

AN ACT concerning public employee benefits.

2 Be it enacted by the People of the State of Illinois, 3 represented in the General Assembly:

4 Section 5. The State Employees Group Insurance Act of 1971 5 is amended by changing Sections 6.9 and 6.10 as follows:

6 (5 ILCS 375/6.9)

Sec. 6.9. Health benefits for community college benefit
recipients and community college dependent beneficiaries.

9 (a) Purpose. It is the purpose of this amendatory Act of 10 1997 to establish a uniform program of health benefits for 11 community college benefit recipients and their dependent 12 beneficiaries under the administration of the Department of 13 Central Management Services.

14 (b) Creation of program. Beginning July 1, 1999, the Department of Central Management Services shall be responsible 15 16 for administering a program of health benefits for community 17 college benefit recipients and community college dependent beneficiaries under this Section. The State Universities 18 19 Retirement System and the boards of trustees of the various 20 community college districts shall cooperate with the 21 Department in this endeavor.

(c) Eligibility. All community college benefit recipientsand community college dependent beneficiaries shall be

eligible to participate in the program established under this 1 2 Section, without any interruption or delay in coverage or limitation as to pre-existing medical conditions. Eligibility 3 to participate shall be determined by the appropriate community 4 5 college and the determination will be provided to the State 6 Universities Retirement System. The State Universities 7 Retirement System may rely on this information in making deductions from annuity payments for premiums. Eligibility 8 9 information shall be communicated to the Department of Central 10 Management Services in a format acceptable to the Department.

(d) Coverage. The health benefit coverage provided under this Section shall be a program of health, dental, and vision benefits.

The program of health benefits under this Section may include any or all of the benefit limitations, including but not limited to a reduction in benefits based on eligibility for federal medicare benefits, that are provided under subsection (a) of Section 6 of this Act for other health benefit programs under this Act.

20 (e) Insurance rates and premiums. The Director shall determine the insurance rates and premiums for community 21 22 college benefit recipients and community college dependent 23 beneficiaries. Rates and premiums may be based in part on age and eligibility for federal Medicare coverage. The Director 24 25 shall also determine premiums that will allow for the 26 establishment of an actuarially sound reserve for this program.

- The cost of health benefits under the program shall be paid as follows:
- 3 (1) For a community college benefit recipient, up to
 4 75% of the total insurance rate shall be paid from the
 5 Community College Health Insurance Security Fund.

6 (2) The balance of the rate of insurance, including the 7 entire premium for any coverage for community college 8 dependent beneficiaries that has been elected, shall be 9 paid by deductions authorized by the community college 10 benefit recipient to be withheld from his or her monthly 11 annuity or benefit payment from the State Universities 12 Retirement System; except that (i) if the balance of the 13 cost of coverage exceeds the amount of the monthly annuity 14 or benefit payment, the difference shall be paid directly 15 to the State Universities Retirement System by the 16 community college benefit recipient, and (ii) all or part 17 of the balance of the cost of coverage may, at the option of the board of trustees of the community college district, 18 19 be paid to the State Universities Retirement System by the 20 board of the community college district from which the 21 community college benefit recipient retired. The State 22 Universities Retirement System shall promptly deposit all 23 moneys withheld by or paid to it under this subdivision 24 (e) (2) into the Community College Health Insurance 25 Security Fund. These moneys shall not be considered assets 26 of the State Universities Retirement System.

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1 (f) Financing. All revenues arising from the 2 administration of the health benefit program established under this Section shall be deposited into the Community College 3 Health Insurance Security Fund, which is hereby created as a 4 5 nonappropriated trust fund to be held outside the State 6 Treasury, with the State Treasurer as custodian. Any interest 7 earned on moneys in the Community College Health Insurance 8 Security Fund shall be deposited into the Fund.

9 Moneys in the Community College Health Insurance Security 10 Fund shall be used only to pay the costs of the health benefit 11 program established under this Section, including associated 12 administrative costs and the establishment of a program 13 reserve. Beginning January 1, 1999, the Department of Central 14 Management Services may make expenditures from the Community 15 College Health Insurance Security Fund for those costs.

16 (g) Contract for benefits. The Director shall by contract, 17 self-insurance, or otherwise make available the program of health benefits for community college benefit recipients and 18 19 their community college dependent beneficiaries that is 20 provided for in this Section. The contract or other arrangement for the provision of these health benefits shall be on terms 21 22 deemed by the Director to be in the best interest of the State 23 of Illinois and the community college benefit recipients based 24 on, but not limited to, such criteria as administrative cost, 25 service capabilities of the carrier or other contractor, and the costs of the benefits. 26

1 (h) Continuation of program. It is the intention of the 2 General Assembly that the program of health benefits provided 3 under this Section be maintained on an ongoing, affordable 4 basis. The program of health benefits provided under this 5 Section may be amended by the State and is not intended to be a 6 pension or retirement benefit subject to protection under 7 Article XIII, Section 5 of the Illinois Constitution.

8 (i) Other health benefit plans. A health benefit plan 9 provided by a community college district (other than a 10 community college district subject to Article VII of the Public 11 Community College Act) under the terms of a collective 12 bargaining agreement in effect on or prior to the effective 13 date of this amendatory Act of 1997 shall continue in force 14 according to the terms of that agreement, unless otherwise 15 mutually agreed by the parties to that agreement and the 16 affected retiree. A community college benefit recipient or 17 community college dependent beneficiary whose coverage under such a plan expires shall be eligible to begin participating in 18 the program established under this Section without any 19 interruption or delay in coverage or limitation as 20 to 21 pre-existing medical conditions.

This Act does not prohibit any community college district from offering additional health benefits for its retirees or their dependents or survivors.

25 (j) Nothing in this Section establishes a duty on the part
 26 of the State Universities Retirement System to certify, verify,

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1 <u>audit, or otherwise ensure the appropriateness or adequacy of</u> 2 <u>the information received from the community colleges.</u> 3 (Source: P.A. 90-497, eff. 8-18-97; 90-655, eff. 7-30-98.)

4 (5 ILCS 375/6.10)

Sec. 6.10. Contributions to the Community College Health
Insurance Security Fund.

7 (a) Beginning January 1, 1999, every active contributor of 8 the State Universities Retirement System (established under 9 Article 15 of the Illinois Pension Code) who (1) is a full-time 10 employee of a community college district (other than a 11 community college district subject to Article VII of the Public 12 Community College Act) or an association of community college boards and (2) is not an employee as defined in Section 3 of 13 14 this Act shall make contributions toward the cost of community 15 college annuitant and survivor health benefits at the rate of 16 0.50% of salary.

17 These contributions shall be deducted by the employer and 18 paid to the State Universities Retirement System as service 19 agent for the Department of Central Management Services. The 20 System may use the same processes for collecting the 21 contributions required by this subsection that it uses to 22 collect the contributions received from those employees under Section 15-157 of the Illinois Pension Code. An employer may 23 24 agree to pick up or pay the contributions required under this 25 subsection on behalf of the employee; such contributions shall

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1 be deemed to have been paid by the employee.

2 The State Universities Retirement System shall promptly deposit all moneys collected under this subsection (a) into the 3 Community College Health Insurance Security Fund created in 4 5 Section 6.9 of this Act. The moneys collected under this Section shall be used only for the purposes authorized in 6 7 Section 6.9 of this Act and shall not be considered to be Universities 8 of the State Retirement assets System. 9 Contributions made under this Section are not transferable to 10 other pension funds or retirement systems and are not 11 refundable upon termination of service.

12 (b) Beginning January 1, 1999, every community college 13 district (other than a community college district subject to Article VII of the Public Community College Act) or association 14 15 of community college boards that is an employer under the State 16 Universities Retirement System shall contribute toward the 17 cost of the community college health benefits provided under Section 6.9 of this Act an amount equal to 0.50% of the salary 18 19 paid to its full-time employees who participate in the State 20 Universities Retirement System and are not members as defined in Section 3 of this Act. 21

These contributions shall be paid by the employer to the State Universities Retirement System as service agent for the Department of Central Management Services. The System may use the same processes for collecting the contributions required by this subsection that it uses to collect the contributions

received from those employers under Section 15-155 of the
 Illinois Pension Code.

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The State Universities Retirement System shall promptly 3 deposit all moneys collected under this subsection (b) into the 4 5 Community College Health Insurance Security Fund created in Section 6.9 of this Act. The moneys collected under this 6 7 Section shall be used only for the purposes authorized in Section 6.9 of this Act and shall not be considered to be 8 assets 9 of the State Universities Retirement System. 10 Contributions made under this Section are not transferable to 11 other pension funds or retirement systems and are not 12 refundable upon termination of service.

13 The Department of Healthcare and Family Services, or any 14 successor agency designated to procure healthcare contracts 15 pursuant to this Act, is authorized to establish funds, 16 separate accounts provided by any bank or banks as defined by 17 the Illinois Banking Act, or separate accounts provided by any savings and loan association or associations as defined by the 18 Illinois Savings and Loan Act of 1985 to be held by the 19 Director, outside the State treasury, for the purpose of 20 21 receiving the transfer of moneys from the Community College 22 Health Insurance Security Fund. The Department may promulgate 23 rules further defining the methodology for the transfers. Any interest earned by moneys in the funds or accounts shall inure 24 25 to the Community College Health Insurance Security Fund. The 26 transferred moneys, and interest accrued thereon, shall be used

for 1 exclusively transfers to administrative service 2 organizations or their financial institutions for payments of claims to claimants and providers under the self-insurance 3 health plan. The transferred moneys, and interest accrued 4 5 thereon, shall not be used for any other purpose including, but not limited to, reimbursement of administration fees due the 6 administrative service organization pursuant to its contract 7 8 or contracts with the Department.

9 (c) On or before November 15 of each year, the Board of 10 Trustees of the State Universities Retirement System shall certify to the Governor, the Director of Central Management 11 12 Services, and the State Comptroller its estimate of the total 13 amount of contributions to be paid under subsection (a) of this 14 Section for the next fiscal year. Beginning in fiscal year 15 2008, the amount certified shall be decreased or increased each 16 vear by the amount that the actual active employee 17 contributions either fell short of or exceeded the estimate used by the Board in making the certification for the previous 18 19 fiscal year. The State Universities Retirement System shall 20 calculate the amount of actual active employee contributions in fiscal years 1999 through 2005. Based upon this calculation, 21 22 the fiscal year 2008 certification shall include an amount 23 equal to the cumulative amount that the actual active employee contributions either fell short of or exceeded the estimate 24 25 used by the Board in making the certification for those fiscal 26 years. The certification shall include a detailed explanation

of the methods and information that the Board relied upon in preparing its estimate. As soon as possible after the effective date of this Section, the Board shall submit its estimate for fiscal year 1999.

5 (d) Beginning in fiscal year 1999, on the first day of each 6 month, or as soon thereafter as may be practical, the State 7 Treasurer and the State Comptroller shall transfer from the 8 General Revenue Fund to the Community College Health Insurance 9 Security Fund 1/12 of the annual amount appropriated for that 10 fiscal year to the State Comptroller for deposit into the 11 Community College Health Insurance Security Fund under Section 12 1.4 of the State Pension Funds Continuing Appropriation Act.

(e) Except where otherwise specified in this Section, the
definitions that apply to Article 15 of the Illinois Pension
Code apply to this Section.

16 (f) Nothing in this Section establishes a duty on the part 17 of the State Universities Retirement System to certify, verify, 18 audit, or otherwise ensure the appropriateness or adequacy of 19 the contributions made by or information received from the 20 community colleges.

21 (Source: P.A. 94-839, eff. 6-6-06; 95-632, eff. 9-25-07.)

22 Section 10. The Illinois Pension Code is amended by by 23 adding Section 1-117.1 and changing Sections 1-150, 1A-108.5, 24 and 15-159 as follows:

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1	(40 ILCS 5/1-117.1 new)				
2	Sec. 1-117.1. Qualified governmental plan. All pension				
3	funds and retirement systems established under this Code shall				
4	be administered in a manner required to satisfy the applicable				
5	qualification requirements for a qualified governmental plan,				
6	as provided by the U.S. Internal Revenue Code. If a statutory				
7	provision affecting a pension fund or retirement system				
8	conflicts with a qualification requirement in Section 401 of				
9	the U.S. Internal Revenue Code or the pension fund's or				
10	retirement system's status as a governmental plan under Section				
11	414(d) of the U.S. Internal Revenue Code with consequent				
12	federal regulations, then the provision is either ineffective				
13	or must be interpreted to conform with the federal				
14	qualification requirements and allow the pension fund or				
15	retirement system plan to retain its qualified status.				

16 (40 ILCS 5/1-150)

Sec. 1-150. Approval of travel or educational mission. The 17 expenses for travel or educational missions of a board member 18 of a retirement system, pension fund, or investment board 19 20 created under this Code, except those whose investments are 21 restricted by Section 1-113.2 of this Code, must be approved by a majority of the board prior to the travel or educational 22 23 mission. This Section does not apply to expenses necessarily incurred in the State of Illinois for attending board or board 24 25 committee meetings or for carrying out duties as a trustee of

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the retirement system, pension fund, or investment board.
Source: P.A. 96-6, eff. 4-3-09.)

3 (40 ILCS 5/1A-108.5)

4 Sec. 1A-108.5. Economic opportunity investments.

5 (a) For the purposes of this Section:

6 "Economic opportunity investment" means а qualified 7 investment, managed passively or actively by the pension fund, 8 that promotes economic development within the State of Illinois 9 by providing financially prudent investment opportunities in 10 through the use of (a) Illinois businesses or (b) or 11 Illinois-based projects that promote the economy of the State a region of the State, including without limitation 12 or 13 promotion of venture capital programs, coal and other natural 14 resource development, tourism development, infrastructure 15 development, real estate development, and job development 16 within the State of Illinois, while producing a competitive rate of return commensurate with the risk of investment. 17

18 "Illinois business" means a business, including an 19 investment adviser, that is headquartered in Illinois.

"Illinois-based project" means an individual project of a business, including the provision of products and investment and other services to the pension fund, that will result in the conduct of business within the State, the employment of individuals within the State, or the acquisition of real property located within the State. (b) It is the pu

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1 (b) It is the public policy of the State of Illinois to 2 encourage the pension funds, and any State entity investing 3 funds on behalf of pension funds, to promote the economy of 4 Illinois through the use of economic opportunity investments to 5 the greatest extent feasible within the bounds of financial and 6 fiduciary prudence.

7 (c) Each pension fund, except pension funds created under Articles 3 and 4 of this Code, shall submit a report to the 8 9 Governor and the General Assembly by January September 1 of 10 each year, beginning in 2011 2009, that identifies the economic 11 opportunity investments made by the fund, the primary location 12 of the business or project, the percentage of the fund's assets in economic opportunity investments, and the actions that the 13 14 fund has undertaken to increase the use of economic opportunity investments to the greatest extent feasible within the bounds 15 16 of financial and fiduciary prudence.

(d) Pension funds created under Articles 2, 14, 15, 16, and
18 of this Act, and any State agency investing funds on behalf
of those pension funds, must make reasonable efforts to invest
in economic opportunity investments.

(e) In making economic opportunity investments, trustees and fiduciaries must comply with the relevant requirements and restrictions set forth in Sections 1-109, 1-109.1, 1-109.2, 1-110, and 1-111 of this Code. Economic opportunity investments that otherwise comply with this Code shall not be deemed imprudent solely because they are investments in an Illinois HB5778 - 14 - LRB096 17907 AMC 33275 b

1 business or Illinois-based project.

2 (Source: P.A. 96-753, eff. 8-25-09.)

3 (40 ILCS 5/15-159) (from Ch. 108 1/2, par. 15-159)

4 Sec. 15-159. Board created.

5 <u>(a)</u> A board of trustees constituted as provided in this 6 Section shall administer this System. The board shall be known 7 as the Board of Trustees of the State Universities Retirement 8 System.

9 (b) Until July 1, 1995, the Board of Trustees shall be 10 constituted as follows:

11 Two trustees shall be members of the Board of Trustees of the University of Illinois, one shall be a member of the Board 12 of Trustees of Southern Illinois University, one shall be a 13 14 member of the Board of Trustees of Chicago State University, one shall be a member of the Board of Trustees of Eastern 15 16 Illinois University, one shall be a member of the Board of Trustees of Governors State University, one shall be a member 17 18 of the Board of Trustees of Illinois State University, one shall be a member of the Board of Trustees of Northeastern 19 Illinois University, one shall be a member of the Board of 20 21 Trustees of Northern Illinois University, one shall be a member 22 of the Board of Trustees of Western Illinois University, and 23 one shall be a member of the Illinois Community College Board, 24 selected in each case by their respective boards, and 2 shall 25 be participants of the system appointed by the Governor for a 6

year term with the first appointment made pursuant to this 1 2 amendatory Act of 1984 to be effective September 1, 1985, and 3 one shall be a participant appointed by the Illinois Community College Board for a 6 year term, and one shall be a participant 4 5 appointed by the Board of Trustees of the University of 6 Illinois for a 6 year term, and one shall be a participant or annuitant of the system who is a senior citizen age 60 or older 7 8 appointed by the Governor for a 6 year term with the first 9 appointment to be effective September 1, 1985.

10 The terms of all trustees holding office under this 11 subsection (b) on June 30, 1995 shall terminate at the end of 12 that day and the Board shall thereafter be constituted as 13 provided in subsection (c).

14 (c) Beginning July 1, 1995, the Board of Trustees shall be 15 constituted as follows:

16 The Board shall consist of 9 trustees appointed by the 17 Governor. Two of the trustees, designated at the time of 18 appointment, shall be participants of the System. Two of the 19 trustees, designated at the time of appointment, shall be 20 annuitants of the System who are receiving retirement annuities 21 under this Article. The 5 remaining trustees may, but need not, 22 be participants or annuitants of the System.

The term of office of trustees appointed under this subsection (c) shall be 6 years, beginning on July 1. However, of the initial trustees appointed under this subsection (c), 3 shall be appointed for terms of 2 years, 3 shall be appointed

1 for terms of 4 years, and 3 shall be appointed for terms of 6 2 years, to be designated by the Governor at the time of 3 appointment.

The terms of all trustees holding office under this 4 5 subsection (c) on the effective date of this amendatory Act of the 96th General Assembly shall terminate on that effective 6 7 date. The Governor shall make nominations for appointment under 8 this Section within 60 days after the effective date of this 9 amendatory Act of the 96th General Assembly. A trustee sitting 10 on the board on the effective date of this amendatory Act of 11 the 96th General Assembly may not hold over in office for more 12 than 90 days after the effective date of this amendatory Act of 13 the 96th General Assembly. Nothing in this Section shall 14 prevent the Governor from making a temporary appointment or nominating a trustee holding office on the day before the 15 16 effective date of this amendatory Act of the 96th General 17 Assembly.

(d) Beginning on the 90th day after the effective date of
this amendatory Act of the 96th General Assembly, the Board of
Trustees shall be constituted as follows:

(1) The Chairperson of the Board of Higher Education,
who shall act as president chairperson of this Board.

(2) Four trustees appointed by the Governor with the
advice and consent of the Senate who may not be members of
the system or hold an elective State office and who shall
serve for a term of 6 years, except that the terms of the

initial appointees under this subsection (d) shall be as
 follows: 2 for a term of 3 years, expiring on June 30, 2012
 and 2 for a term of 6 years, expiring on June 30, 2015.

4 (3) Four active participants of the system to be 5 elected from the contributing membership of the system by 6 the contributing members, no more than 2 of which may be 7 from any of the University of Illinois campuses, who shall 8 serve for a term of 6 years, except that the terms of the 9 initial electees shall be as follows: 2 for a term of 3 10 years and 2 for a term of 6 years.

11 (4) Two annuitants of the system who have been 12 annuitants for at least one full year, to be elected from 13 and by the annuitants of the system, no more than one of 14 which may be from any of the University of Illinois 15 campuses, who shall serve for a term of 6 years, except 16 that the terms of the initial electees shall be as follows: 17 one for a term of 3 years and one for a term of 6 years.

For the purposes of this Section, the Governor may make a nomination and the Senate may confirm the nominee in advance of the commencement of the nominee's term of office.

(e) The 6 elected trustees shall be elected within 90 days after the effective date of this amendatory Act of the 96th General Assembly for a term beginning on the 90th day after the effective date of this amendatory Act. Trustees shall be elected thereafter as terms expire for a 6-year term beginning July <u>1</u> 15 next following their election, and such election

shall be <u>concluded</u> held on May 1, or on May 2 when May 1 falls 1 2 on a Sunday. The board may establish rules for the election of trustees to implement the provisions of this amendatory Act of 3 the 96th General Assembly and for future elections. Candidates 4 5 for the participating trustee shall be nominated by petitions in writing, signed by not less than 400 participants with their 6 addresses shown opposite their names. Candidates for the 7 8 annuitant trustee shall be nominated by petitions in writing, 9 signed by not less than 100 annuitants with their addresses 10 shown opposite their names. If there is more than one qualified 11 nominee for each elected trustee position, then the board shall 12 conduct a secret ballot election by mail for that trustee, in 13 accordance with rules as established by the board. If there is 14 only one qualified person nominated by petition for each 15 elected trustee position, then the election as required by this 16 Section shall not be conducted for that trustee and the board 17 shall declare such nominee duly elected. A vacancy occurring in the elective membership of the board shall be filled with a 18 19 qualified person for the remainder of the unexpired term by the 20 elected trustees serving on the board for the remainder of the

21 term.

(f) A vacancy <u>occurring in the appointed membership of</u> on the board of trustees caused by resignation, death, expiration of term of office, or other reason shall be filled by a qualified person appointed by the Governor for the remainder of the unexpired term.

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(q) Trustees (other than the trustees incumbent on June 30, 1 2 1995 or as provided in subsection (c) of this Section) shall 3 continue in office until their respective successors are appointed and have qualified, except that a trustee appointed 4 5 to one of the participant positions shall be disqualified immediately upon the termination of his or her status as a 6 7 participant and a trustee appointed to one of the annuitant 8 positions shall be disqualified immediately upon the 9 termination of his or her status as an annuitant receiving a 10 retirement annuity.

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(h) Each trustee must take an oath of office before a notary public of this State and shall qualify as a trustee upon the presentation to the board of a certified copy of the oath. The oath must state that the person will diligently and honestly administer the affairs of the retirement system, and will not knowingly violate or wilfully permit to be violated any provisions of this Article.

Each trustee shall serve without compensation but shall be reimbursed for expenses necessarily incurred in attending board meetings and carrying out his or her duties as a trustee or officer of the system.

(i) This amendatory Act of 1995 is intended to supersede
the changes made to this Section by Public Act 89-4.
(Source: P.A. 96-6, eff. 4-3-09; revised 4-14-09.)

25 Section 99. Effective date. This Act takes effect upon 26 becoming law.

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