1

AN ACT concerning administrative hearings.

Be it enacted by the People of the State of Illinois,represented in the General Assembly:

Section 5. The Illinois Administrative Procedure Act is
amended by changing Sections 1-5, 1-15, 1-30, 10-5, 10-15,
10-20, 10-25, 10-45, 10-50, 10-60, and 10-65 and adding
Section 1-13 and Article 12 as follows:

(5 ILCS 100/1-5) (from Ch. 127, par. 1001-5)

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Sec. 1-5. Applicability.

10 (a) This Act applies to every agency as defined in this Act. Beginning January 1, 1978, in case of conflict between 11 12 the provisions of this Act and the Act creating or conferring 13 power on an agency, this Act shall control. If,-however,-an 14 agency-(or-its-predecessor-in-the-case-of-an-agency-that--has 15 been--consolidated-or-reorganized)-has-existing-procedures-on 16 July-1,-1977,-specifically-for-contested-cases-or--licensing, 17 those-existing-provisions-control,-except-that-this-exception 18 respecting--contested--cases--and-licensing-does-not-apply-if 19 the-Act-creating-or-conferring-power-on-the-agency-adopts--by 20 express--reference-the-provisions-of-this-Act. Where the Act 21 creating or conferring power on an agency establishes 22 administrative procedures not covered by this Act, those procedures shall remain in effect. 23

The provisions of this Act do not apply to 24 (i) (b) preliminary hearings, investigations, or practices where no 25 final determinations affecting State funding are made by the 26 27 State Board of Education, (ii) legal opinions issued under Section 2-3.7 of the School Code, (iii) as to State colleges 28 29 universities, their disciplinary and and grievance proceedings, academic irregularity and capricious grading 30 31 proceedings, and admission standards and procedures, and (iv)

1 the class specifications for positions and individual 2 position descriptions prepared and maintained under the Personnel Code. Those class specifications shall, however, 3 4 be made reasonably available to the public for inspection and copying. The provisions of this Act do not apply to hearings 5 6 under Section 20 of the Uniform Disposition of Unclaimed 7 Property Act.

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(c) Section 5-35 of this Act relating to procedures for rulemaking does not apply to the following:

(1) Rules adopted by the Pollution Control Board 10 that, in accordance with Section 7.2 of the Environmental 11 Protection Act, are identical in substance to federal 12 13 regulations or amendments to those regulations implementing the following: Sections 3001, 3002, 14 3003. 3005, and 9003 of the Solid Waste Disposal Act; 15 3004, 16 Section 105 of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980; Sections 307(b), 17 307(c), 307(d), 402(b)(8), and 402(b)(9) of the Federal 18 19 Water Pollution Control Act; and Sections 1412(b), 1414(c), 1417(a), 1421, and 1445(a) of the Safe Drinking 20 21 Water Act.

(2) Rules adopted by the Pollution Control Board
that establish or amend standards for the emission of
hydrocarbons and carbon monoxide from gasoline powered
motor vehicles subject to inspection under Section
13A-105 of the Vehicle Emissions Inspection Law and rules
adopted under Section 13B-20 of the Vehicle Emissions
Inspection Law of 1995.

(3) Procedural rules adopted by the Pollution
Control Board governing requests for exceptions under
Section 14.2 of the Environmental Protection Act.

32 (4) The Pollution Control Board's grant, pursuant
33 to an adjudicatory determination, of an adjusted standard
34 for persons who can justify an adjustment consistent with

subsection (a) of Section 27 of the Environmental
 Protection Act.

3 (5) Rules adopted by the Pollution Control Board
4 that are identical in substance to the regulations
5 adopted by the Office of the State Fire Marshal under
6 clause (ii) of paragraph (b) of subsection (3) of Section
7 2 of the Gasoline Storage Act.

8 (d) Pay rates established under Section 8a of the 9 Personnel Code shall be amended or repealed pursuant to the 10 process set forth in Section 5-50 within 30 days after it 11 becomes necessary to do so due to a conflict between the 12 rates and the terms of a collective bargaining agreement 13 covering the compensation of an employee subject to that 14 Code.

(e) Section 10-45 of this Act shall not apply to any
hearing, proceeding, or investigation conducted under Section
13-515 of the Public Utilities Act.

(f) Article 10 of this Act does not apply to any 18 19 hearing, proceeding, or investigation conducted by the State Council for the State of Illinois created under Section 20 3-3-11.05 of the Unified Code of Corrections or by the 21 22 Interstate Commission Commision for Adult Offender 23 Supervision created under the Interstate Compact for Adult 24 Offender Supervision.

25 (Source: P.A. 92-571, eff. 6-26-02; revised 7-25-02.)

26

(5 ILCS 100/1-13 new)

Sec. 1-13. "Administrative hearing" means any hearing
 required to comply with the provisions of this Act concerning
 a contested case.

30 (5 ILCS 100/1-15) (from Ch. 127, par. 1001-15)

31 Sec. 1-15. "Administrative law judge" means the 32 presiding officer or officers at the initial <u>administrative</u> -4- LRB093 03842 JAM 03877 b

hearing before each agency and each continuation of that <u>administrative</u> hearing. The term also includes but is not limited to hearing examiners, hearing officers, referees, and arbitrators.

5 (Source: P.A. 87-823.)

6 (5 ILCS 100/1-30) (from Ch. 127, par. 1001-30)

7 Sec. 1-30. "Contested case" means an adjudicatory 8 proceeding (not including ratemaking, rulemaking, or quasi-legislative, informational, or similar proceedings) in 9 10 which the individual legal rights, duties, or privileges of a 11 party are required by law to be determined by an agency only after an opportunity for <u>an administrative</u> a hearing. 12 (Source: P.A. 87-823.) 13

14 (5 ILCS 100/10-5) (from Ch. 127, par. 1010-5)

Sec. 10-5. Rules required for hearings. All agencies shall adopt rules establishing procedures for <u>administrative</u> eentested-case hearings.

18 (Source: P.A. 87-823.)

19 (5 ILCS 100/10-15) (from Ch. 127, par. 1010-15)

Sec. 10-15. Standard of proof. Unless otherwise provided by law or stated in the agency's rules, the standard of proof in any <u>administrative</u> contested--case hearing conducted under this Act by an agency shall be the preponderance of the evidence.

25 (Source: P.A. 87-823.)

26 (5 ILCS 100/10-20) (from Ch. 127, par. 1010-20)
27 Sec. 10-20. Qualifications of administrative law judges.
28 All Agencies shall adopt rules concerning the minimum
29 qualifications of administrative law judges for
30 <u>administrative</u> contested-case hearings <u>not subject to Article</u>

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1 <u>12 of this Act</u>. The agency head or an attorney licensed to 2 practice law in Illinois may act as an administrative law 3 judge or panel for an agency without adopting any rules under 4 this Section. <u>The</u> These rules may be adopted using the 5 procedures in either Section 5-15 or 5-35.

6 (Source: P.A. 87-823.)

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(5 ILCS 100/10-25) (from Ch. 127, par. 1010-25)

8 Sec. 10-25. <u>Notice of</u> contested cases; <u>administrative</u>
9 notice; hearing.

10 (a) In a contested case, all parties shall be afforded 11 an opportunity for <u>an administrative</u> a hearing after 12 reasonable notice. The notice shall be served personally or 13 by certified or registered mail or as otherwise provided by 14 law upon the parties or their agents appointed to receive 15 service of process and shall include the following:

16 (1) A statement of the time, place, and nature of
17 the <u>administrative</u> hearing.

18 (2) A statement of the legal authority and
19 jurisdiction under which the <u>administrative</u> hearing is to
20 be held.

(3) A reference to the particular Sections of the
 substantive and procedural statutes and rules involved.

23 (4) Except where a more detailed statement is 24 otherwise provided for by law, a short and plain 25 statement of the matters asserted, the consequences of a 26 failure to respond, and the official file or other 27 reference number.

(5) The names and mailing addresses of the administrative law judge, all parties, and all other persons to whom the agency gives notice of the administrative hearing unless otherwise confidential by law.

33 (b) An opportunity shall be afforded all parties to be

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represented by legal counsel and to respond and present
 evidence and argument.

3 (c) Unless precluded by law, disposition may be made of 4 any contested case by stipulation, agreed settlement, consent 5 order, or default.

6 (Source: P.A. 87-823.)

7 (5 ILCS 100/10-45) (from Ch. 127, par. 1010-45)

8 10-45. Proposal for decision. Sec. Except where otherwise expressly provided by law, when in a contested case 9 10 a majority of the officials of the agency who are to render final decision has not heard the case or read the 11 the record, the decision, if adverse to a party to the proceeding 12 other than the agency, shall not be made until a proposal for 13 14 decision is served upon the parties and an opportunity is 15 afforded to each party adversely affected to file exceptions and to present a brief and, if the agency so permits, oral 16 17 argument to the agency officials who are to render the The proposal for decision shall contain a 18 decision. statement of the reasons therefor and of each issue of fact 19 20 or law necessary to the proposed decision and shall be 21 prepared by the persons who conducted the administrative 22 hearing or one who has read the record.

23 (Source: P.A. 87-823.)

24 (5 ILCS 100/10-50) (from Ch. 127, par. 1010-50)

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Sec. 10-50. Decisions and orders.

(a) A final decision or order adverse to a party (other
than the agency) in a contested case shall be in writing or
stated <u>on</u> in the record. A final decision shall include
findings of fact and conclusions of law, separately stated.
Findings of fact, if set forth in statutory language, shall
be accompanied by a concise and explicit statement of the
underlying facts supporting the findings. If, in accordance

1 with agency rules, a party submitted proposed findings of 2 fact, the decision shall include a ruling upon each proposed Parties or their agents appointed to receive 3 finding. 4 service of process shall be notified either personally or by registered or certified mail of any decision or order. 5 Upon request a copy of the decision or order shall be delivered or 6 7 mailed forthwith to each party and to each his attorney of 8 record.

9 (b) All agency orders shall specify whether they are 10 final and subject to the Administrative Review Law.

11 (c) A decision by any agency in a contested case under 12 this Act shall be void unless the proceedings are conducted 13 in compliance with the provisions of this Act relating to 14 contested cases, except to the extent those provisions are 15 waived under Section 10-70 and--except--to-the-extent-the 16 agency-has-adopted-its--own--rules--for--contested--cases--as 17 authorized-in-Section-1-5.

18 (Source: P.A. 92-16, eff. 6-28-01.)

19 (5 ILCS 100/10-60) (from Ch. 127, par. 1010-60)

20 Sec. 10-60. Ex parte communications.

21 Except in the disposition of matters that agencies (a) 22 are authorized by law to entertain or dispose of on an ex 23 parte basis, agency heads, agency employees, and 24 administrative law judges shall not, after notice of hearing 25 in a contested case or licensing to which the procedures of a contested case apply under this Act, communicate, directly or 26 indirectly, in connection with any issue of fact, with any 27 28 person or party, or in connection with any other issue with any party or the representative of any party, without except 29 upon notice and opportunity for all parties to participate. 30

31 (b) However, an agency member may communicate with other 32 members of the agency, and an agency member or administrative 33 law judge may have the aid and advice of one or more personal 1 assistants.

2 (c) An ex parte communication received by any agency head, agency employee, or administrative law judge shall be 3 4 made a part of the record of the pending matter, including 5 all written communications, all written responses to the 6 communications, and a memorandum stating the substance of all 7 oral communications and all responses made and the identity 8 of each person from whom the exparte communication was 9 received.

10 (d) Communications regarding matters of procedure and 11 practice, such as the format of pleadings, number of copies 12 required, manner of service, <u>scheduling</u>, and status of 13 proceedings, are not considered ex parte communications under 14 this Section.

15 (Source: P.A. 87-823.)

16 (5 ILCS 100/10-65) (from Ch. 127, par. 1010-65)
17 Sec. 10-65. Licenses.

(a) When any licensing is required by law to be preceded
by notice and an opportunity for <u>an administrative</u> a hearing,
the provisions of this Act concerning contested cases shall
apply.

(b) When a licensee has made timely and sufficient application for the renewal of a license or a new license with reference to any activity of a continuing nature, the existing license shall continue in full force and effect until the final agency decision on the application has been made unless a later date is fixed by order of a reviewing court.

(c) An application for the renewal of a license or a new license shall include the applicant's social security number. Each agency shall require the licensee to certify on the application form, under penalty of perjury, that he or she is not more than 30 days delinquent in complying with a child

1 support order. Every application shall state that failure to 2 so certify shall result in disciplinary action, and that making a false statement may subject the licensee to contempt 3 4 of court. The agency shall notify each applicant or licensee 5 who acknowledges a delinquency or who, contrary to his or her 6 certification, is found to be delinquent or who after 7 receiving notice, fails to comply with a subpoena or warrant 8 relating to a paternity or a child support proceeding, that 9 the agency intends to take disciplinary action. Accordingly, the agency shall provide written notice of the facts or 10 11 conduct upon which the agency will rely to support its proposed action and the applicant or licensee shall be given 12 an opportunity for <u>an administrative</u> a hearing in accordance 13 with the provisions of the Act concerning contested cases. 14 15 Any delinquency in complying with a child support order can 16 be remedied by arranging for payment of past due and current Any failure to comply with a subpoena or warrant 17 support. 18 relating to a paternity or child support proceeding can be 19 remedied by complying with the subpoena or warrant. Upon a final finding of delinquency or failure to comply with a 20 21 subpoena or warrant, the agency shall suspend, revoke, or refuse to issue or renew the license. In cases in which the 22 23 Department of Public Aid has previously determined that an applicant or a licensee is more than 30 days delinquent 24 in 25 the payment of child support and has subsequently certified the delinquency to the licensing agency, and in cases in 26 which a court has previously determined that an applicant or 27 licensee has been in violation of the Non-Support Punishment 28 29 Act for more than 60 days, the licensing agency shall refuse 30 to issue or renew or shall revoke or suspend that person's license based solely upon the certification of delinquency 31 32 made by the Department of Public Aid or the certification of violation made by the court. Further process, hearings, or 33 34 redetermination of the delinquency or violation by the

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licensing agency shall not be required. The licensing agency may issue or renew a license if the licensee has arranged for payment of past and current child support obligations in a manner satisfactory to the Department of Public Aid or the court. The licensing agency may impose conditions, restrictions, or disciplinary action upon that license.

8 (d) Except as provided in subsection (c), no agency 9 shall revoke, suspend, annul, withdraw, amend materially, or refuse to renew any valid license without first giving 10 11 written notice to the licensee of the facts or conduct upon 12 which the agency will rely to support its proposed action and an opportunity for <u>an administrative</u> a hearing in accordance 13 with the provisions of this Act concerning contested cases. 14 15 At the administrative hearing, the licensee shall have the 16 right to show compliance with all lawful requirements for the retention, continuation, or renewal of the license. 17 If, however, the agency finds that the public interest, safety, 18 19 or welfare imperatively requires emergency action, and if the agency incorporates a finding to that effect in its order, 20 21 summary suspension of a license may be ordered pending 22 proceedings for revocation or other action. Those 23 proceedings shall be promptly instituted and determined.

(e) Any application for renewal of a license that
contains required and relevant information, data, material,
or circumstances that were not contained in an application
for the existing license shall be subject to the provisions
of subsection (a).

ARTICLE 12. OFFICE OF ADMINISTRATIVE HEARINGS

29 (Source: P.A. 90-18, eff. 7-1-99; 91-613, eff. 10-1-99.)

30

(5 ILCS 100/Art. 12 heading new)

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32

(5 ILCS 100/12-5 new)

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1	Sec. 12-5. Applicability. This Article applies to all
2	agencies under the jurisdiction of the Governor other than
3	the following:
4	(a) Illinois Labor Relations Board and the State Panel
5	and Local Panel created under the Illinois Public Labor
б	<u>Relations Act;</u>
7	(b) Illinois Educational Labor Relations Board;
8	(c) Illinois Commerce Commission;
9	(d) Illinois Industrial Commission;
10	(e) Civil Service Commission;
11	(f) Pollution Control Board;
12	(g) Illinois State Police Merit Board;
13	(h) Property Tax Appeal Board; and
14	(i) Human Rights Commission.
15	(5 ILCS 100/12-10 new)
16	Sec. 12-10. Office of Administrative Hearings.
17	(a) The Office of Administrative Hearings (Office) is
18	established. The Office is an independent State agency in
19	the executive branch and is responsible for conducting
20	administrative hearings in accordance with the legislative
21	intent expressed by this Act.
22	(b) The Office is under the direction of a Chief
23	Administrative Law Judge, appointed by the Governor, by and
24	with the advice and consent of the Senate. The Chief
25	Administrative Law Judge, as a condition of appointment, must
26	have been admitted to practice law in the State of Illinois
27	for at least 10 years, must have substantial knowledge and
28	experience suitable to the duties of the Office, and may be
29	removed only for good cause following notice and an
30	opportunity for an adjudicative hearing.
31	(c) The Chief Administrative Law Judge must maintain his
32	or her principal office in Springfield and may maintain any
33	other offices that may be necessary. The Chief

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Administrative Law Judge may purchase or lease any equipment and supplies that may be necessary to carry out his or her duties and must maintain records and files of the work of the Office.

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(5 ILCS 100/12-15 new)

6 <u>Sec. 12-15. Term of office and salary.</u>

7 (a) The Chief Administrative Law Judge shall serve for a
8 term of 6 years, provided that he or she shall hold office
9 until a successor is appointed.

(b) The Chief Administrative Law Judge shall receive an
 annual salary of \$95,000 or the amount established by the
 Compensation Review Board, whichever is greater.

13 (5 ILCS 100/12-20 new)

Sec. 12-20. Oath. Each prospective Chief Administrative Law Judge, before taking office, must take and subscribe to the oath or affirmation prescribed by Section 3 of Article XIII of the Illinois Constitution, an executed copy of which must be filed with the Secretary of State.

19 (5 ILCS 100/12-25 new)

20 <u>Sec. 12-25. Powers and Duties of the Chief</u> 21 <u>Administrative Law Judge. The Chief Administrative Law Judge</u> 22 <u>has the following powers and duties:</u>

23 (a) The Chief Administrative Law Judge may select any 24 administrative law judges that are necessary to carry out the purposes of this Article. The Chief Administrative Law Judge 25 may establish different levels of administrative law judge 26 positions. The Chief Administrative Law Judge may employ and 27 direct other staff, including administrative, technical, 28 29 clerical, and other specialized or technical personnel that 30 may be necessary to carry out the purposes of this Article. 31 (1) Except as otherwise provided in paragraph (2) of

1 this subsection, each administrative law judge must have 2 been admitted to practice as an attorney in this State 3 for at least 5 years and must have a demonstrated 4 knowledge of and experience in administrative law and 5 procedure that is suitable to the duties of the Office. An administrative law judge must be a full-time or 6 7 part-time employee of the Office, except that the Chief 8 Administrative Law Judge may contract for the services of 9 an attorney to serve as an administrative law judge for a 10 specific case, when necessary, because of a lack of 11 available employees with the expertise required to handle a specialized contested case. 12

13 (2) The Chief Administrative Law Judge may employ 14 persons who are not admitted to practice as an attorney <u>act as administrative law judges if they are</u> 15 to 16 transferred to the Office under subsection (c) of Section 17 12-40 of this Article. The Chief Administrative Law Judge may also employ or contract with persons not admitted to 18 practice law if those persons have the requisite 19 knowledge of administrative law and procedure and the 20 specialized subject-matter expertise to act as 21 22 administrative law judges in highly technical cases.

(b) Employees of the Office are not subject to the 23 Personnel Code. The Chief Administrative Law Judge must 24 establish hiring procedures based upon merit and fitness and 25 may discipline and terminate employees based only upon good 26 cause. The Chief Administrative Law Judge must fix salaries 27 of Office employees and adopt personnel rules establishing a 28 29 general salary schedule according to a classification of employees, subject to merit increases, that applies to all 30 31 employees. The Chief Administrative Law Judge must adopt a code of conduct and rules concerning the hiring, discipline, 32 and termination of employees. 33

34 (c) The Chief Administrative Law Judge must assign an

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1 administrative law judge for any proceeding that is required 2 by this Article to be conducted by the Office and for any proceeding for which the Office has agreed to furnish an 3 4 administrative law judge as provided in subsection (d). Any administrative law judge so assigned does not become an 5 employee of the agency during the assignment and is not 6 7 subject to the direction or the supervision of the agency to whose proceeding the administrative law judge has been 8 9 assigned.

10 (d) The Office may enter into an interagency agreement 11 with any agency to furnish administrative law judges to 12 conduct administrative hearings not otherwise required to be 13 conducted by the Office. The Office may also enter into an 14 agreement with a unit of local government or school district 15 to furnish administrative law judges to conduct 16 administrative hearings.

17 (e) In assigning administrative law judges, the Chief 18 Administrative Law Judge must, when possible, use personnel 19 having experience in the field or subject matter of the 20 hearing and assign administrative law judges primarily to the 21 hearings of particular agencies on a long-term basis. The 22 Chief Administrative Law Judge may act as an administrative 23 law judge in a particular case.

24 (f) The Office may adopt rules as necessary to carry out its powers and duties under this Act. The rules must 25 include, but are not limited to, the procedures for 26 requesting the assignment of administrative law judges. No 27 agency, however, may select any individual administrative law 28 judge for any proceeding or reject any individual 29 30 administrative law judge, except in accordance with the 31 provisions of this Article regarding disqualifications.

32 (g) The Office must develop and institute a program of 33 continuing education and training for administrative law 34 judges and may permit administrative law judges and hearing -15-LRB093 03842 JAM 03877 b

1 examiners employed by other agencies to participate in its program. The Office may develop and institute other 2 3 educational programs in the area of administrative law and 4 procedure for the benefit of State employees and those who 5 participate in administrative hearings. (h) The Office must: 6 (1) annually collect information on administrative 7 law and procedure in Illinois and must study 8 9 administrative law and procedure for the purpose of improving the fairness, efficiency, and uniformity of 10 11 administrative adjudicatory proceedings in Illinois; 12 (2) monitor the quality and cost of State 13 administrative hearings; and (3) annually report its findings and 14 recommendations to the Governor and to the General 15 16 Assembly no later than March 15 of each year. 17 (5 ILCS 100/12-30 new) Sec. 12-30. Proceedings. Beginning on January 1, 2005, 18 an administrative law judge of the Office shall preside over 19 any administrative hearing of any agency subject to this 20 21 Article, except that an administrative hearing in a contested case commenced before January 1, 2005 and pending before an 22 23 administrative law judge not transferred to the Office of 24 Administrative Hearings by operation of Section 12-40 of this 25 Article shall not be heard by an administrative law judge of the Office without the agreement of the parties. 26 27 (5 ILCS 100/12-35 new) Sec. 12-35. Administrative Hearing Procedures. 28 29 (a) Time and place of hearing. The Office must consult 30 the agency and determine the place and the time of 31 commencement of the administrative hearing. (b) Powers of administrative law judge. The 32

1 administrative law judge presides at the administrative 2 hearing and may: 3 (1) administer oaths and affirmations; 4 (2) rule on offers of proof and receive relevant 5 <u>evidence;</u> (3) regulate the schedule and the course of the 6 7 <u>hearing;</u> 8 (4) dispose of procedural requests or similar 9 <u>matters;</u> 10 (5) sign and issue subpoenas in the name of the 11 agency requiring attendance and giving of testimony by witnesses and the production of books, papers, and other 12 13 documentary evidence; (6) exercise any other powers relating to the 14 15 conduct of the administrative hearing that are lawfully 16 delegated to him or her by the agency or by the examining, advisory, or disciplinary board. Whenever, 17 after an agency head or an examining, advisory, or 18 disciplinary board has commenced hearing a case with an 19 20 administrative law judge presiding, a quorum no longer 21 exists, the administrate law judge who is presiding must complete the hearing as if sitting alone and must render 22 a proposed decision in accordance with subsection (e) of 23 24 this Section; and (7) perform other necessary and appropriate acts in 25 the performance of his or her duties. 26 27 (c) Disqualifications. (1) An administrative law judge of the Office must 28 voluntarily disqualify himself or herself and withdraw 29 from any case for bias, prejudice, interest, or any other 30 31 cause for which, under the laws of this State, a State court judge is disqualified from hearing a particular 32 case. An administrative law judge should perform the 33 34 duties of the Office impartially and diligently.

1 (2) Any party may petition for the disqualification 2 of any administrative law judge by filing an affidavit 3 stating with particularity the grounds upon which it is 4 claimed that a fair and impartial hearing cannot be accorded. The affidavit must be filed before the taking 5 of evidence or, if evidence has already been taken, 6 7 promptly upon discovering facts establishing grounds for 8 disqualification.

9 <u>(3) The administrative law judge whose</u> 10 <u>disqualification is requested must determine whether to</u> 11 <u>grant the petition, stating facts and reasons for the</u> 12 <u>determination.</u>

13 (4) If an administrative law judge becomes
14 unavailable as a result of recusal or any other reasons,
15 the Chief Administrative Law Judge must assign another
16 administrative law judge to preside at the administrative
17 hearing.

(d) Ex parte communications. Except in disposition of 18 matters that are authorized by law to be disposed of on an ex 19 parte basis, no administrative law judge of the Office may, 20 21 after notice of an administrative hearing in a contested 22 case, communicate, directly or indirectly, in connection with 23 any issue of fact, with any person or party, or in connection 24 with any other issue with any party or his or her representative, without notice and opportunity for all 25 parties to participate. An administrative law judge, 26 however, may communicate with other employees of the Office. 27 No member of the Office may communicate regarding pending 28 29 matters to any member of an agency or of an examining, advisory, or disciplinary board if the agency or board is 30 31 hearing the case with the administrative law judge. An administrative law judge may have the aid and advice of one 32 33 or more assistants.

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(e) Proposed decisions. When a majority of the members

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of an agency or of an examining, advisory, or disciplinary board has not heard a case with the administrative law judge, any proposed decision prepared by an administrative law judge of the Office is subject to this subsection (e) and Section 10-45 of this Act.

(1) When an administrative law judge hears a case 6 7 alone, he or she must prepare a proposed decision in a 8 form that may be adopted as the decision in the case. 9 The administrative law judge must submit the proposed 10 decision to the agency or, in the case of proceedings 11 that an examining, advisory, or disciplinary board is authorized by an Act to hear and make a recommended 12 13 decision, to the examining, advisory, or disciplinary 14 board.

15 (2) When an administrative law judge hears a case 16 with an agency head or with an examining, advisory, or 17 disciplinary board, the administrative law judge must be 18 present during the consideration of the case and must, if 19 requested by the agency or by the board, prepare a 20 proposed decision and submit it to the agency or board.

21 (3) In reviewing a proposed decision submitted by 22 an administrative law judge of the Office, an agency head or an examining, advisory, or disciplinary board is not 23 24 bound by the proposed decision and may adopt all, some, or none of the proposed decision as its recommended 25 decision. If the agency head or examining, advisory, or 26 disciplinary board does not adopt the proposed decision 27 in its entirety, it must either (i) recommend a decision 28 29 in the case based upon the record, including transcript, or (ii) remand the case to the same administrative law 30 31 judge to take additional evidence.

32 (4) If a case has been remanded to an
 33 administrative law judge to take additional evidence or
 34 to include more detailed findings of fact or conclusions

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1 of law, the administrative law judge must prepare a 2 proposed decision upon the additional evidence and upon 3 the transcript and other papers that are part of the 4 record of the prior hearing and must submit the proposed 5 decision to the agency or to the examining, advisory, or disciplinary board. If the administrative law judge who 6 heard the case originally is unavailable to take the 7 8 additional evidence, by reason of illness or other 9 disability or because he or she is no longer employed by the Office, the Chief Administrative Law Judge must 10 11 assign a different administrative law judge to take the additional evidence. 12

13

(5 ILCS 100/12-40 new)

14 <u>Sec. 12-40. Transition.</u>

15 (a) The Governor must appoint a Chief Administrative Law
 16 Judge to take office on July 1, 2004.

17 (b) No later than July 1, 2004, each agency must provide 18 to the Chief Administrative Law Judge all relevant 19 information concerning hearings, number of hearings, 20 personnel used as hearing officers and support staff, and 21 actual expenditures for contracted hearing officer services, 22 equipment, and travel.

23 (c) All full-time administrative law judges used 24 principally to preside over administrative hearings conducted 25 by an agency subject to the provisions of this Act for at 26 least one year before July 1, 2004 must be administratively 27 transferred to the Office no later than January 1, 2005.

28 (d) All full-time employees who have principally served 29 as support staff of those employees transferred under 30 subsection (c) of this Section must be administratively 31 transferred to the Office no later than January 1, 2005.

32 (e) All equipment or other tangible property, in
 33 possession of agencies, used or held principally by personnel

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1 transferred under this Section must be transferred to the 2 Office not later than January 1, 2005, unless the head of the 3 agency and the Chief Administrative Law Judge determine that 4 the equipment or property will be more efficiently used by 5 the agency if not transferred.

6 Section 10. The Personnel Code is amended by changing7 Section 4c as follows:

8 (20 ILCS 415/4c) (from Ch. 127, par. 63b104c)

9 Sec. 4c. General exemptions. The following positions in 10 State service shall be exempt from jurisdictions A, B, and C, 11 unless the jurisdictions shall be extended as provided in 12 this Act:

13

(1) All officers elected by the people.

14 (2) All positions under the Lieutenant Governor,
15 Secretary of State, State Treasurer, State Comptroller,
16 State Board of Education, Clerk of the Supreme Court, and
17 Attorney General.

18 (3) Judges, and officers and employees of the19 courts, and notaries public.

20 (4) All officers and employees of the Illinois
21 General Assembly, all employees of legislative
22 commissions, all officers and employees of the Illinois
23 Legislative Reference Bureau, the Legislative Research
24 Unit, and the Legislative Printing Unit.

(5) All positions in the Illinois National Guard
and Illinois State Guard, paid from federal funds or
positions in the State Military Service filled by
enlistment and paid from State funds.

29 (6) All employees of the Governor at the executive
30 mansion and on his immediate personal staff.

31 (7) Directors of Departments, the Adjutant General,
 32 the Assistant Adjutant General, the Director of the

1 Illinois Emergency Management Agency, members of boards 2 and commissions, and all other positions appointed by 3 the Governor by and with the consent of the Senate.

4 (8) The presidents, other principal administrative officers, and teaching, research and extension faculties 5 of Chicago State University, Eastern Illinois University, 6 Governors State University, Illinois State University, 7 8 Northeastern Illinois University, Northern Illinois 9 University, Western Illinois University, the Illinois Community College Board, Southern Illinois University, 10 11 Illinois Board of Higher Education, University of Illinois, State Universities Civil Service System, 12 University Retirement System of Illinois, and the 13 administrative officers and scientific and technical 14 15 staff of the Illinois State Museum.

16 (9) All other employees except the presidents, other principal administrative officers, and teaching, 17 research and extension faculties of the universities 18 under the jurisdiction of the Board of Regents and the 19 colleges and universities under the jurisdiction of the 20 Board of Governors of State Colleges and Universities, 21 22 Illinois Community College Board, Southern Illinois 23 University, Illinois Board of Higher Education, Board of Governors of State Colleges and Universities, the Board 24 25 of Regents, University of Illinois, State Universities Civil Service System, University Retirement System of 26 Illinois, so long as these are subject to the provisions 27 of the State Universities Civil Service Act. 28

29 (10) The State Police so long as they are subject
30 to the merit provisions of the State Police Act.

31 (11) The scientific staff of the State Scientific32 Surveys and the Waste Management and Research Center.

33 (12) The technical and engineering staffs of the34 Department of Transportation, the Department of Nuclear

1 Safety, the Pollution Control Board, and the Illinois 2 Commerce Commission, and the technical and engineering 3 staff providing architectural and engineering services in 4 the Department of Central Management Services.

5 (13) All employees of the Illinois State Toll6 Highway Authority.

7

(14) The Secretary of the Industrial Commission.

8 (15) All persons who are appointed or employed by 9 the Director of Insurance under authority of Section 202 of the Illinois Insurance Code to assist the Director of 10 11 Insurance in discharging his responsibilities relating to the rehabilitation, 12 liquidation, conservation, and dissolution of companies that are subject to the 13 jurisdiction of the Illinois Insurance Code. 14

15 (16) All employees of the St. Louis Metropolitan16 Area Airport Authority.

17 (17) All investment officers employed by the18 Illinois State Board of Investment.

19 (18) Employees of the Illinois Young Adult
20 Conservation Corps program, administered by the Illinois
21 Department of Natural Resources, authorized grantee under
22 Title VIII of the Comprehensive Employment and Training
23 Act of 1973, 29 USC 993.

(19) Seasonal employees of the Department of
Agriculture for the operation of the Illinois State Fair
and the DuQuoin State Fair, no one person receiving more
than 29 days of such employment in any calendar year.

(20) All "temporary" employees hired under the
Department of Natural Resources' Illinois Conservation
Service, a youth employment program that hires young
people to work in State parks for a period of one year or
less.

33 (21) All hearing officers of the Human Rights34 Commission.

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(22) All employees of the Illinois Mathematics and 1 2 Science Academy. (23) All employees of the Kankakee River Valley 3 4 Area Airport Authority. (24) All employees of the Office of Administrative 5 6 <u>Hearings.</u> (Source: P.A. 90-490, eff. 8-17-97; 91-214, eff. 1-1-00; 7 91-357, eff. 7-29-99.) 8

9 Section 99. Effective date. This Act takes effect upon10 becoming law.

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