State’s Fiscal Outlook is Guarded

The National Bureau of Economic Research recently concluded that the U.S. economy has been in a recession since March. In November, a National Conference of State Legislatures (NCSL) survey found that nearly all states are suffering revenue shortfalls, and in over a third spending is exceeding budgets.

The Illinois Economic and Fiscal Commission (IEFC) in October updated its economic outlook and revenue projections for fiscal year 2002. It reported that general funds revenues in the first 3 months of FY 2002 were down considerably from the same period last year; but later reports show modest gains in October and November revenues:

<table>
<thead>
<tr>
<th>Month in FY 2002</th>
<th>Revenues compared to FY 2001 (millions)</th>
</tr>
</thead>
<tbody>
<tr>
<td>July</td>
<td>$ -99</td>
</tr>
<tr>
<td>August</td>
<td>-45</td>
</tr>
<tr>
<td>September</td>
<td>-152</td>
</tr>
<tr>
<td>October</td>
<td>72</td>
</tr>
<tr>
<td>November</td>
<td>8</td>
</tr>
<tr>
<td>Total for 5 months</td>
<td>-216</td>
</tr>
</tbody>
</table>

Table 1 on page 2 shows changes by revenue source. Since the IEFC’s original forecast in July 2001, it has reduced its general funds forecast for FY 2002 by about $300 million, to $24.526 billion. That would be only $420 million (1.7%) over FY 2001 receipts. Major revenue sources responsible for that forecast reduction are shown below (in millions).

<table>
<thead>
<tr>
<th></th>
<th>July-September FY 2002 vs. 2001</th>
<th>Change in full-year forecast</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal income tax</td>
<td>$ -52</td>
<td>$ -175</td>
</tr>
<tr>
<td>Corporate income tax</td>
<td>-61</td>
<td>-66</td>
</tr>
<tr>
<td>Sales tax (see note below)</td>
<td>15</td>
<td>-158</td>
</tr>
<tr>
<td>Interest earnings</td>
<td>-23</td>
<td>-30</td>
</tr>
<tr>
<td>Federal funds newly identified</td>
<td>100</td>
<td>—</td>
</tr>
</tbody>
</table>

The reported increase in sales tax receipts is misleading because no sales tax was being collected on motor fuel last fall. Adjusting for that, sales tax receipts are down about 2.4% from last year’s July-September quarter. The $100 million in newly identified federal funds, reported by the Bureau of the Budget, does not appear to be a renewable funding source.

The IEFC said its new projections attempt to balance the current poor economic climate with stimulative federal policy. In mid-December, IEFC staffers reported that they expect the national recession to end during the January-March quarter of 2002. But further revisions could be necessary. The IEFC plans to release a FY 2003 Budget Forecast in February.

(continued on p. 2)
Fiscal Outlook
Guarded  (continued from p. 1)

State’s Balance Sheet
The state had a general funds balance of $1.126 billion on June 30. But after $826 million in lapse-period spending, only $300 million remained. Based on the Bureau of the Budget’s projected FY 2002 spending data and the IEFC’s revenue projections, the June 30, 2002 balance will be $776 million; but after lapse-period spending it will fall to -$74 million—the first negative balance in total general funds since FY 1996.

The Budget Stabilization (“rainy day”) Fund received $225 million in tobacco settlement money at the start of FY 2002. But in November, that money was transferred to the General Revenue Fund to help the state budget. Under Public Act 92-11, that amount is to be repaid to the rainy day fund by next June 30.

Nicole Babcock
Research Associate

New York Flag Raising
September 2001

Table 1: General Funds Receipts, July-September Quarter (millions)

<table>
<thead>
<tr>
<th></th>
<th>FY 2001</th>
<th>FY 2002</th>
<th>Change</th>
<th>% change</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>STATE TAXES</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Personal income tax</td>
<td>$1,870</td>
<td>$1,818</td>
<td>-52</td>
<td>-2.8%</td>
</tr>
<tr>
<td>Sales taxes</td>
<td>1,518</td>
<td>1,533</td>
<td>15</td>
<td>1.0</td>
</tr>
<tr>
<td>Corporate income tax (regular)</td>
<td>267</td>
<td>206</td>
<td>-61</td>
<td>-22.8</td>
</tr>
<tr>
<td>Public utility taxes (regular)</td>
<td>266</td>
<td>233</td>
<td>-33</td>
<td>-12.4</td>
</tr>
<tr>
<td>Cigarette tax</td>
<td>100</td>
<td>100</td>
<td>0</td>
<td>0.0</td>
</tr>
<tr>
<td>Inheritance tax (gross)</td>
<td>96</td>
<td>98</td>
<td>2</td>
<td>2.1</td>
</tr>
<tr>
<td>Cook County (medicaid match)</td>
<td>77</td>
<td>77</td>
<td>0</td>
<td>0.0</td>
</tr>
<tr>
<td>Interest on state funds</td>
<td>73</td>
<td>50</td>
<td>-23</td>
<td>-31.5</td>
</tr>
<tr>
<td>Insurance taxes and fees</td>
<td>51</td>
<td>49</td>
<td>-2</td>
<td>-3.9</td>
</tr>
<tr>
<td>Corporate franchise tax &amp; fees</td>
<td>34</td>
<td>32</td>
<td>-2</td>
<td>-5.9</td>
</tr>
<tr>
<td>Liquor gallonage taxes</td>
<td>34</td>
<td>25</td>
<td>-9</td>
<td>-26.5</td>
</tr>
<tr>
<td>Vehicle use tax</td>
<td>10</td>
<td>10</td>
<td>0</td>
<td>0.0</td>
</tr>
<tr>
<td>Other sources</td>
<td>51</td>
<td>47</td>
<td>-4</td>
<td>-7.8</td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td>$4,447</td>
<td>$4,278</td>
<td>-169</td>
<td>-3.8%</td>
</tr>
<tr>
<td><strong>TRANSFERS</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lottery</td>
<td>$103</td>
<td>$118</td>
<td>15</td>
<td>14.6%</td>
</tr>
<tr>
<td>Gaming fund transfer</td>
<td>136</td>
<td>130</td>
<td>-6</td>
<td>-4.4</td>
</tr>
<tr>
<td>Other</td>
<td>97</td>
<td>56</td>
<td>-41</td>
<td>-42.3</td>
</tr>
<tr>
<td>Total state sources</td>
<td>4,783</td>
<td>4,582</td>
<td>-201</td>
<td>-4.2</td>
</tr>
<tr>
<td><strong>FEDERAL SOURCES</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>$1,017</td>
<td>$924</td>
<td>-93</td>
<td>-9.1%</td>
</tr>
<tr>
<td><strong>Total federal &amp; state sources</strong></td>
<td>$5,800</td>
<td>$5,506</td>
<td>-294</td>
<td>-5.1%</td>
</tr>
<tr>
<td><strong>MINUS FUND DISTRIBUTIONS:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Refund fund</td>
<td>$-133</td>
<td>$-139</td>
<td>-6</td>
<td>4.5%</td>
</tr>
<tr>
<td>Corporate income tax</td>
<td>-51</td>
<td>-47</td>
<td>4</td>
<td>-7.8</td>
</tr>
<tr>
<td><strong>Net General Funds</strong></td>
<td>$5,616</td>
<td>$5,320</td>
<td>-296</td>
<td>-5.3%</td>
</tr>
</tbody>
</table>


For links to the texts of the IEFC reports mentioned in this article, go to this Internet site:

http://www.legis.state.il.us/commission/ecfisc/ecfisc_home.html

(The “_” after the second “ecfisc” is an underline.)
Abstracts of Reports Required to Be Filed With General Assembly

The Legislative Research Unit staff is required to prepare abstracts of reports required to be filed with the General Assembly. Legislators may receive copies of entire reports by sending the enclosed form to the State Government Report Distribution Center at the Illinois State Library. Abstracts are published quarterly. Legislators who wish to receive them more often may contact the executive director.

Aging Department
Community-Based Residential Facilities Demo Project, 2000.
Project’s goal is reducing medicaid expenditures for long-term care by allowing clients to remain in communities rather than nursing homes. It provided alternatives to nursing homes to over 300 people in FY 2000. Weinberg Community for Senior Living in Deerfield was the first demonstration facility. The Department will help establish statewide assisted living criteria under Assisted Living and Shared Housing Act. (20 ILCS 105/402(b); Jan. 2001, 31 pp.)

Central Management Services Department
Business Enterprise Program report, FY 2000
State agencies and universities made $367 million in contracts to businesses under Business Enterprise Program for Minorities, Females, and Persons with Disabilities, surpassing goal of $351 million and constituting 16% of $2.31 billion in covered contracts. Business owned by white women got $161 million (7.0%); by minority men, $130 million (5.6%); by disabled persons, $43 million (1.7%); and by minority women, $31 million (1.3%). Total value of these contracts rose 25% from fiscal 1999. Value of contracts to firms owned by white or minority women up 14%; to minority men up 34%; and to the disabled 64%. Also lists individual agencies’ and universities’ goals, and value of contracts to firms under the program. (30 ILCS 575/8f; Mar. 2001, 31 pp.)

Recycling and Recycled Paper Procurement Update, FY 2000
The I-CYCLE program coordinates wastepaper collection and recycling in state offices, including 221 facilities and involving almost 40,000 employees. In FY 2000, 2,348 tons of paper and cardboard were recycled, and 52% of paper products bought by state agencies had recycled content. I-CYCLE earned $133,976 from recycling. (415 ILCS 2013(j); undated, rec’d Oct. 2001, 8 pp.)

State-owned and surplus real property report
Lists real estate owned by each state agency or university, including buildings and land. Also lists surplus properties and conveyances by legislative district. (30 ILCS 605/7.1; Jan. 2001, 58 pp.)

Supported Employment Program report, FY 2001
Begun in 1991, the program uses counselors and job training to help employ persons with severe physical or mental disabilities. Its 14 participants in late 2001 were at the Departments of Human Services (six), Central Management Services and Transportation (three each), and Children and Family Services (two). But with no long-term funding and because the Americans with Disabilities Act promotes hiring of disabled persons, CMS has concluded that the program is no longer viable. (5 ILCS 390/9; Nov. 2001, 2 pp.)

Commerce & Community Affairs Department
High Impact Business designation report
The Pampered Chef plans to invest $50 million, creating 500 full-time jobs in Addison. It is made eligible for tax credits and exemptions for up to 20 years, contingent on the minimum investments and new jobs required by the Enterprise Zone Act. (20 ILCS 655/5.5(h); May 2001, 2 pp.)

Comptroller
Annual report of revenues and expenditures, FY 2000
On a cash (not accrual) basis, net revenue to the state’s appropriated and nonappropriated funds totaled $46.5 billion—up 9.4% from FY 1999. The largest, the General Revenue Fund (GRF), received $21.8 billion, up 6.4%. Net spending, including lapse-period spending, totaled $45.7 billion. (15 ILCS 405/20; Jan. 2001, 647 pp.)

Economic & Fiscal Commission
State employees’ group insurance cost for FY 2002
Department of Central Management Services projected that this program would cost the state $1.177 billion in FY 2002 (up 10% from FY 2001); (continued on p. 6)
Biographies of Members Appointed to 92nd G.A.

Representative Marlow H. Colvin (D-31, Chicago)
Representative Colvin was appointed to complete the term of former Representative Todd Stroger. He has a bachelor’s degree in political science from Chicago State University. He has recently served in the Cook County assessor’s office as an administrator of industrial and commercial valuation databases.

Legislative assignments: Appropriations–Public Safety; Cities and Villages; Computer Technology; Counties and Townships; and Insurance.

Representative Charles E. Jefferson (D-67, Rockford)
Representative Jefferson was appointed to finish the term of former Representative Doug Scott. A 10-year member of the Winnebago County Board, he has been active in the Rockford community for many years. He is president of the New Zion Day Care Center and of the Rockford Sportsmen Golf Association, which organizes after-school programs for underprivileged youth. He is a past president of the Rockford West Lions Club and a member of the Welcome Star Masonic Lodge. He has three sons.

Legislative assignments: Committees on Aging; Aviation; Mental Health & Patient Abuse; Urban Revitalization.

Senator Claude “Bud” Stone (R-45, Lincoln)
Senator Stone was appointed to complete the term of former Senator Robert A. Madigan. He was born in Peoria; has degrees from Cornell University in NY, Bradley University in Peoria, and an M.B.A. from Stanford University. A retiree from Caterpillar, he is married with three children and six grandchildren.

Legislative assignments: Committees on Agriculture & Conservation; Licensed Activities.
Representative Jim Watson (R-97, Jacksonville)
Representative Watson was appointed to complete the term of former Representative Tom Ryder. He has bachelor’s and master’s degrees in history from Eastern Illinois University, and an M.B.A. from the University of Illinois. He served in the Marines (including Operation Desert Storm), and taught in high school and two area colleges. Since 1991 he has been vice-president for marketing and food service for Wareco convenience stores. He is married and has three children.

Representative Watson’s committee assignments have not yet been determined.

Representative Jonathan C. Wright (R-90, Hartsburg)
Representative Wright was appointed to the seat of former Representative John W. Turner.

A graduate of Monmouth College and the Chicago-Kent College of Law, Representative Wright has been in private practice except for service in the Attorney General’s office in 1994-95. He now practices in his own firm in Lincoln. He is married and has three children.

Legislative assignments: Committees on Agriculture; Judiciary I-Civil Law; Judiciary II-Criminal Law; Labor; Prosecutorial Misconduct (Spokesperson); Tobacco Settlement Proceeds.

92nd General Assembly Session Schedules

<table>
<thead>
<tr>
<th>House Schedule</th>
<th>Senate Schedule</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jan. 3 perf., 9, 10, 29, 30 31</td>
<td>Jan. 9, 10, 29, 30, 31</td>
</tr>
<tr>
<td>Feb. 5, 6, 7, 11 perf., 13, 14, 15, 20 21 22</td>
<td>Feb. 5, 6, 7, 20, 21, 22, 26, 27, 28</td>
</tr>
<tr>
<td>Mar. 20, 21, 22</td>
<td>Mar. 5, 6, 7, 20, 21, 22</td>
</tr>
<tr>
<td>Apr. 1, 2, 3, 4, 5, 9, 10, 11, 16, 17, 18, 23, 24, 25</td>
<td>Apr. 2, 3, 4, 5, 9, 10, 11, 16, 17, 18, 23, 24, 25</td>
</tr>
<tr>
<td>May 1, 2, 3, 7, 8, 9, 10, 13, 14, 15, 16, 17 Adjournment</td>
<td>May 6, 7, 8, 9, 10, 13, 14, 15, 16, 17 Adjournment</td>
</tr>
</tbody>
</table>

House deadlines
- Jan. 18 LRB Requests
- Feb. 11 introduction of substantive HB’s
- Feb. 22 substantive HB’s/prior year SB’s out of comm.
- Apr. 5 3rd Reading HB’s
- Apr. 18 out of comm. SB’s
- May 3 3rd reading SB’s
- May 17 Conf. comm. reports/joint action motions
IEFC projected $1.202 billion (up 12%). The percentage of enrollees choosing managed care has barely risen for 3 years and is now 54%. In part because the indemnity plans (medical, dental, etc.) tend to attract less healthy people, their average total cost per person in FY 2001 was an estimated $3,691, far above the $1,977 in HMO plans. (25 ILCS 155/4(b)(2); March 2001, 15 pp.)

**Teachers’ Retirement Insurance Program 2001 update**

TRIP’s health insurance for retired teachers has three funding sources: teacher payroll deductions, retired teachers’ premiums, and General Revenue Fund contributions. These sources are inadequate, bringing a fiscal crisis. Possible solutions are premium increases, contributions from school districts, and more state funding. Another option is reducing benefits. CMS projects premium increases over 70% in 2002 with current funding. (25 ILCS 155/4(b)(2); April 2001, 10 pp.)

**Educational Facilities Authority Annual report, FY 2000**

The Authority accepted applications from 14 institutions for $380 million of financial aid (generally loans). Largest amounts went to University of Chicago, $150 million; Art Institute of Chicago, $94 million; an entity for use of DePaul University, $70 million; Field Museum of Natural History, $30 million; and Illinois Masonic Medical Center, $25 million. Includes financial statements (unaudited). (110 ILCS 1015/8; undated, rec’d May 2001, 13 pp. + financial statements.)

**Environmental Protection Agency Report on 1999 toxic chemical report forms**

In 1999, 1,318 Illinois facilities released or transferred 197.9 million pounds of toxic chemicals. Zinc compounds had the highest reported releases and transfers (43.6 million pounds). Over the years 1995-1999, facilities in Danville reported both the highest total of air emissions and highest total emissions of chemicals with significant health effects. But releases to air and water showed a declining trend from 1988 to 1999. Tables and charts give detailed data including top 20 facilities releasing or transferring toxic chemicals; amounts released by county and ZIP Code; and 5-year totals by county. (415 ILCS 5/25b-4; April 2001, 59 pp.)

**Annual report on nonhazardous solid waste management and landfill capacity, 1999**

Illinois’ 53 landfills received over 50 million cubic yards (15.3 million tons) of waste, up 13% from 1998. New landfills and expansion of existing ones increased landfill capacity 5.8% to 793 million cubic yards. At present disposal rates, the state has 16 years of capacity (only 6 years in Chicago metro area). Of 15.3 million tons of trash discarded, 71% was landfilled, 25% recycled, 2% incinerated, and 2% composted. (415 ILCS 20/4; Jan. 2001, 256 pp. + appendices.)

**Farm Development Authority Annual report, 2000**

Authority approved 84 loans totalling $10,249,613 for new farmers, and expected to make $12 million in loans in 2001. No Agricultural Manufacturing Bond Loans were made, or expected in 2001. There were 12 loans totalling $3.91 million for Restructuring Agricultural Debt program, expected to increase to about 30 in 2001. Authority has made 57 guarantees totalling $8,571,403 under Agricultural Loan Guarantee Fund. Authority approved 12 loans totalling $4,299,000 for Specialized Livestock Guarantee Program. Lists recipients of loans and guarantees. (20 ILCS 3605/5; undated, rec’d May 2001, 18 pp.)

**Guardianship & Advocacy Commission Annual report, 2000**

Commission protects rights of persons with disabilities through Human Rights Authority, Legal Advocacy Service, and Office of State Guardian. It served as guardian for 6,000 wards in Illinois; a guardianship representative’s average caseload was 132. Commission provided legal services for involuntary admission or involuntary treatment for mental illness or developmental disabilities, general information, or referrals for 8,241 clients. It investigated 412 cases of alleged human rights violations for disabled persons, affecting over 10,000 people. (20 ILCS 3955/5; May 2001, 24 pp.)

**Human Services Department Home Services Program annual report, FY 2000**

The Home Services Program is designed to help people under 60, with disabilities, stay in their own homes and retain control over the services they receive instead of placement in an institution. Some 20,700 people received home services in FY 2000, up from 18,600 in FY 1999. The cost was $168.9 million (averaging $8,143 each), up from $143.7 million. (ILCS 2405/3; June 2001, 8 pp.)
Inspector General’s report on domestic abuse of adults with disabilities, FY 2001
The Inspector General received 446 complaints of domestic abuse, exploitation, or neglect. Among the 325 cases eligible under the law, 73 claims were substantiated, 116 were not substantiated, and 85 remained open. Lists offices to which reports were referred; discusses outcomes of 9 sample cases. (20 ILCS 2435/60; Sept. 2001, 14 pp.)

Illinois Housing Development Authority
Annual report, 2000
IHDA allocated $30.4 million in Affordable Housing Trust Fund money and $12.8 million in Housing Credits, funding 1,521 total units (including 1,242 for low-income residents). Through the federal HOME program, IHDA awarded $23.4 million to 67 projects. It issued 331 mortgage credit certificates (MCCs) worth $30 million. Tables show locations of projects funded by IHDA. Audited financial statements show assets, liabilities, and financial activity. (20 ILCS 3805/5; undated, rec’d Jan. 2001, 24 pp. + financial data.)

Legislative Reference Bureau
Review of court cases through mid-2001
Major Illinois Supreme Court holdings: (1) Prohibition in the Wrongs to Children Act of failure to take “reasonable steps” to prevent child sexual abuse is unconstitutionally vague; (2) the local governmental tort immunity act bars malpractice suits against Cook County Hospital for failure to examine or diagnose a patient properly; and (3) a Public Act that addressed mostly criminal topics—but also amended part of the Juvenile Court Act on hearings for abused and neglected minors—violated the Illinois Constitution’s single-subject rule because that last topic (unlike juvenile delinquency hearings) is not related to criminal matters.

Major Illinois Appellate Court holdings: (1) under the AIDS Confidentiality Act, if a police officer contacts an arrested suspect’s bodily fluid, the arrestee can be tested for HIV infection without consent; but the Act does not force the hospital to inform the police officer of the results; and (2) the names of parties to an adoption are not protected by the Adoption Act from disclosure in non-adoption suits (an Appellate Court panel recommended legislative attention to this anomaly). (25 ILCS 135/5.05; Dec. 2001, 134 pp. + indices.)

Public Aid Department
Annual report, FY 2000
DPA spent $4.9 billion (not counting funding to the Cook County and U of I Hospitals) for Medicaid for about 1.5 million people. Enrollment of children and pregnant women in KidCare grew 167% from the preceding year, to 104,600. DPA encouraged establishment of “supportive living facilities” (three so far) to care for frail elderly persons who do not need full nursing care. About 58,000 persons in 786 Illinois nursing homes were supported by Medicaid. (305 ILCS 5/5-5 and 5/5-5.5; undated, rec’d May 2001, 58 pp.)

Public Health Department
Report under Nursing Home Care Act and Abused and Neglected Long-Term Care Facility Residents Reporting Act, 2000
Illinois had 1,328 nursing homes with 130,449 beds in 2000. Allegations of physical abuse by certified nurse aides rose slightly from 575 in 1998 to 581 in 2000; IDPH put findings of such abuse into the listings of 182 persons in the Nurse Aide Registry, making them ineligible to work in nursing homes. Total reports of abuse or neglect more than doubled from 1,410 in 1998 to 3,212 in 2000; IDPH found 21% valid in both 1999 and 2000. IDPH found 41 out of 91 allegations of unlicensed nursing homes valid and sent them to the Attorney General for prosecution. (210 ILCS 45/3-804 and 30/6; Oct. 2001, 37 pp. + apps.)

Sports Facilities Authority
Annual report, FY 2000
At the Authority’s Comiskey Park, 1.9 million attended White Sox games and the White Sox paid $1.6 million in rent. The Authority began building a Conference and Learning Center and renovating Comiskey Park. Its role in renovating Soldier Field was to begin in FY 2001. (70 ILCS 3205/18; April 2001, 16 pp.)

Transportation Department
Proposed airport improvement program, FYs 2002-2006
Department plans to spend $747 million ($616 million federal, $94 million state, and $36 million local) on Illinois airports in FYs 2002-2006. Expected federal aid distribution is: primary airports 72%, relievers 17%, and general aviation 11%. First-phase engineering for a new airport for Chicago is complete. The Governor allocated $75 million of Illinois FIRST money for land acquisition, including $44.5 million to be available in FY 2002. Projected cost is $500 to $600 million for a projected 4,112 acres; one 12,000-foot runway; a terminal with 10 to 15 gates; a control tower; radar; and ground connections including an I-57 interchange. (20 ILCS 2705/49.16; spring 2001, 65 pp.)
2001-2002 Legislative Staff Interns

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Yuthakorn Chaimongkol, University of Illinois, Champaign
Melody Fisher, University of Southern Mississippi
Randon Gardley, DePaul University, University of Minnesota

Senate Republican Staff
Shirley Johnson, Chicago State, University of Illinois, Chicago
Daniel Taylor, North Central College
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Amalia Jorns, University of Illinois, Urbana
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Ursula Mackey, University of Alabama, University of Western Ontario
Rebekah Tromble, Knox College

FIRST READING
A publication of the Legislative Research Unit
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